

# ***Bristol Schools Forum***

**Agenda Tuesday 27<sup>th</sup> November 2018 at 5.00pm,**

**CITY HALL, Writing Room**

**please note meeting starts at 5.00 – tea and coffee available from 4.30pm**

	Start	Item	Action	Owner	Paper
1	5.00	Welcome	A	Chair	
2	5.05	Forum standing business <ul style="list-style-type: none"> <li>▪ Apologies for Absence</li> <li>▪ Confirmation meeting is quorate</li> <li>▪ Appointment of new members</li> <li>▪ Notification of Vacancies</li> <li>▪ Declarations of Interest</li> </ul>	A	Clerk	Verbal
3	5.10	Minutes of meeting held on 25 <sup>th</sup> September 2018 Corrections and approval Matters arising not covered on agenda <ul style="list-style-type: none"> <li>• Item 3 – Core place funding reduction - meeting individual Academies to discuss (AS)</li> <li>• Item 7 – St Bedes &amp; cost implications (AS)</li> <li>• Item 7 – Oversubscription criteria – check wording with Legal (DT)</li> </ul>	A	Chair	Attached
4	5.25	Correspondence	I	Chair	
5	5.30	DSG Budget Monitoring 2018/19	I	DET	Attached
6	5.45	DSG Overview 2019/20	De	DET	Attached
7	6.15	Schools Block: De-delegation and formula 2019/20	De	DET	Attached
8	6.30	Central Services	I	AS	Verbal
9	6.45	High Needs Update	I	EWJ	Attached
10	7.00	Early Years	C	SJ	Attached
11	7:15	Forum Constitution & Membership	De	CR	Attached
12	7:30	Any Other Business			

(\*) A = Admin, I = Information, De = Decision required, C = Consultation, Di = Discussion

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**Chair:** Carew Reynell (contact via clerk)

## FUTURE MEETINGS

Date	Items
16 <sup>th</sup> January 2019 <b>WEDNESDAY</b>	High Needs Update Budget Monitoring Final DSG Proposals Central Services Early Years <u>Non Teaching Pay 2019/20</u>
2 <sup>nd</sup> April 2019	High Needs Update Budget Monitoring EY Growth Funding Place Planning Capital Programme
21 <sup>st</sup> May 2019	High Needs Update Budget Monitoring EY
16 <sup>th</sup> July 2019	High Needs Update Budget Monitoring EY Education Overview

# Bristol Schools' Forum

## Minutes of the meeting held on Tuesday 25<sup>th</sup> September 2018 at 17.00 hrs at City Hall

### Present:

Massimo Bonaddio	Maintained Primary Headteacher Rep, Blaise Primary
Victoria Boomer	Academy Secondary Headteacher Rep, Oasis John Williams
Jo Butler	Academy Secondary Headteacher Rep, Cotham School
Emma Cave	Special School Governor Rep, Claremont
Graham Clark	Maintained Primary Governor Rep, Sea Mills Primary
Patricia Dodds	Academy Primary Governor Rep, Fishponds Academy
Simon Eakins	Academy Primary Headteacher Rep, Cathedral Primary
Rob Endley	Recognised Teaching Professional Association Rep
Peter Evans	Special School Headteacher Rep, Knowle DGE
Simon Holmes	Nursery Head Rep, St Phillips Marsh Nursery
Sarah Lovell	Academy Secondary Headteacher Rep, Bristol Metropolitan Academy
Garry Maher	Diocese of Clifton Dept for Schools & Colleges Rep
Emma McAvoy	Academy Secondary Governor Rep, Bristol Brunel Academy
Kate Matheson	Maintained Primary Governor Rep, St Barnabas Primary
Cllr Ruth Pickersgill	Nursery Governor Rep, Rosemary Nursery
Chris Pring	Maintained Primary Headteacher Rep, Cabot Primary
Carew Reynell	Academy Secondary Governor Rep, Henbury School
Anne Rutherford	Academy Primary Headteacher Rep, Filton Avenue Academy
Cedric Sanguinol	Maintained Primary Governor Rep, Bishop Road Primary
Simon Shaw	Maintained Secondary Headteacher Rep, St Mary Redcliffe & Temple
Christine Townsend	Maintained Primary Governor Rep, Whitehall Primary
David Yorath	Academy Secondary Governor Rep, Cotham School
Wendy Weston	Support Staff Rep

### In attendance:

Billy Forsythe	Clerk to Schools Forum
Sally Jaeckle	Service Manager, Early Years
Cllr Anna Keen	Councillor
Denise Murray	Service Director Finance
Alan Stubbersfield	Interim Director Education Learning & Skills Improvement
David Tully	Interim Finance Business Partner
Emilie Williams Jones	Head of Special Education, Autism & Travel
Travis Young	Corporate Finance

### Observers:

Anne Sheridan  
Cllr Brian Price  
Ruth Campbell

	Action
<b>1. Welcome and introductions</b>	
The Clerk opened the meeting at 17:00 pending the election of the Chair.	

<p><b>2. Forum standing business</b></p> <p><b>Apologies</b> Karen Brown, Tim Browse, Chrysta Garnett, Tracey Jones, Sam Packer, Jez Piper, Mary Taylor, Will Shield Clerk confirmed meeting was quorate.</p> <p><b>New members</b> Simon Shaw – Head Rep Maintained Secondary - St Mary Redcliffe &amp; Temple replacing Graham Diles who stepped down Emma McAvoy – Head Rep Secondary Academy Governor Kate Matheson – Maintained Primary Governor – St Barnabas Primary Will Shield – Primary Academy Governor – Cathedral Primary Apols Rob Endley has replaced Michelle Willis as the recognised teaching professional rep Yvonne Craggs has stepped down as she is no longer a governor and her vacancy has been filled by Christine Townend – Whitehall Primary.</p> <p><b>Vacancies:</b> Maintained Primary Head – requested applications – none received</p> <p>No declarations of interest were expressed.</p>	
<p><b>3. Election of Chair &amp; Vice Chair</b></p> <p>DY nominated CR for chair. No other nominations received so CR duly elected.</p> <p>CP nominated SL for vice-chair RP nominated CT for vice chair</p> <p>CR advised that there have been twin Vice Chairs before and having an Academy &amp; maintained vice chair would be helpful. CR proposed that Forum vote for both as joint vice chair. Forum agreed</p>	
<p><b>4. Minutes of the meeting held on 22<sup>nd</sup> May 2018</b></p> <p>Minutes were accepted as correct with the following corrections: DY was present at the meeting EC raised that SR had said budgets would not reduce.- EC to advise exact place in minutes to be amended. (Post mtg note – already added in previous minutes in Item 6) GM advised that in item 7 - growth fund – SR had advised she would meet with all affected schools not just St Bedes.</p> <p><b>Matters Arising</b> DY asked if schools had been visited and had the wording of the growth fund been clarified? DT advised that no school was visited and he will have to get back on the growth fund wording for 19/20. DY added he was concerned that issues have not been picked up from May to September and there is no idea now how growth fund will impact on schools. Forum shared his concerns.</p>	<p>DT</p>

CP clarified that the TWS Report missing is the financial report not the annual report.	
Core place funding – AS introduced himself – this is day 2 and he will address outstanding SR issues.	AS
DM suggested a quick review and report back rather than wait until November meeting. Will come back with a briefing note to be issued with the minutes.	AS
GM asked if a meeting could be set up with St Bedes.	AS
<b>5. Correspondence</b>	
No Correspondence.	
<b>6. DSG Overview</b>	
CR advised that the three reports 6, 7,& 8 were interconnected and overlap. He proposed to discuss the 3 reports without decisions to clarify all the pieces of the jigsaw and then work through the decisions.	
DT presented the DSG report looking at 18/19 and 19/20 position.	
2018/19 position shows the DSG overall is heading for a cumulative £1.3m overspend by end of financial year. The position has worsened. Minor underspend on de delegated budget, Schools Central Services has an unallocated amount because DfE have confirmed they won't claw back £566K. Last year the EY block underspent but need to wait for Oct 18 & Jan 19 census to confirm amounts. EY predict an under spend but not guaranteed.	
18/19 High Needs Block – in year overspend of £0.9m. Cumulative £2.7m overspend. 18/19 – High Needs paper will be considered at Cabinet & Council. Judicial review quashed original Council decision so a new decision needs to be made.	
Schools Forum is asked to give a view on now or in future to transfer Central schools unallocated block and unallocated EY block.	
CT questioned the de-delegation b/f £357K. DT advised £313K will be spent this year - so surplus of £44K is projected for the end of financial year. CT asked if there is an under spend why are we still proposing to de-delegate. CR advised to discuss when we look at de-delegation.	
DT added that gross budget is £1.7m so underspend is relatively small.	
RP advised that she found the reports very difficult to follow and asked for more plain English. She added that it was not good practice to take money off EY for HN as this was counterproductive in the long run.	
SJ confirmed that the EY Nursery schools supplement is needed . DFE allowed for 3 years but then cut the level. Forum has agreed to maintain the funding supplement until the DFE clarify.	

<p>DT advised that the Central Services block includes some formulaic and also historic commitments. The prudential loan arrangements have now ended so we will not need to use the £566 for prudential borrowing.</p> <p>SH asked the Forum not to make a decision now as position is so unclear. If we take money off EY for HNB we are hitting the most vulnerable children in the city. Mental Health is in crisis and affecting younger and younger children. Money invested now is much more cost effective.</p> <p>Point 5 19/20</p> <p>DT advised that DFE has provided indicative block information but nothing on EY. Table 2 sets out the changes. Budget will increase by £6m of which £4.1m will be for PFI affordability gap. £2m represents DFE working thru the formula. Current estimates are based on last year's census but the final figures will be based on Oct census. Not clear re growth fund in schools block. Element based on census figures. DFE also have a growth factor for pupils not on census. Provisional £5.2m is a helpful situation as more than needed to cover growth fund commitments.</p> <p>Movements between blocks – LA must consult schools if there is a proposal to move money between SB &amp; HNB. The decision would need to be made by the end of November. Secretary of State agreed £2m transfer last year so we can move up to that again. If Forum doesn't agree the LA may need to refer the matter to Secretary of State. LA will need to consult over next few weeks. At this stage not clear what level of transfer would be required but would want to consult so LA had widest range of options.</p> <p>Table 3 has 3 options.</p> <p>Current projection is projected overspend of £4m for HNB and LA would like to consult all schools on options to transfer to HNB from CSB.</p> <p>Recommendations were revisited when all three reports discussed</p> <p><b>Recommendations</b></p> <p>2a – Forum noted the 2018/19 spending overview</p> <p>2b – 1 – Forum agreed to the transfer of unallocated central services funding to the High Needs Block</p> <p>2b - 2 – Forum deferred a decision on the transfer of any unspent Early Years funding to end of financial year</p> <p>2c – comments were made on the provisional financial strategy in the course of the meeting</p> <p>2d – Forum agreed to the proposed consultation on options for moving funding between DSG blocks for 2019/20</p>	
<p><b>7. Schools Block Update</b></p>	
<p>DT reported that the Forum sub group has met 3 times to look at NFF &amp; growth fund. Their views are in the report.</p> <p>a) Should we change the local formula? NFF would give schools a little less but funding would be allocated differently. Larger schools with higher levels of</p>	

deprivation and lower levels of prior attainment would benefit.

- b) MFG – DFE has not prescribed the range so we can decide.
- c) Split site – should we change the criteria?
- d) Should we change the growth fund. May want to consider when final figures are known.
- e) LA will consult on de-delegation and details will come in November,

CT asked what is a medium super output area? DT advised this is a geographical area of around 1,000 pupils, often used for statistical purposes as a way of measuring deprivation.

CR added that the sub group's view was that the money in Schools Block should be sufficient to allow more than a cash freeze in funding per pupil Options for using that headroom are – set MFG as high as poss. Or set MFG at lower level and use headroom to allocate more to schools with high level of need. Or use money to transfer to HNB to help offset the projected gap.

Forum is being asked for a view to help Cabinet make a decision.

PE asked if this was all schools or just maintained. DT confirmed maintained.

CT repeated that money in EY has a greater impact. Putting money into EY stage would take pressure off HNB.

SE added that the sub group agreed that 93 schools will lose out from NFF. Overall funding will be maximised in the medium term if we can keep the local formula.

VB asked about winners & losers. DT advised that the majority of schools would not benefit from moving to NFF. Those that would benefit are in higher areas of deprivation.

## Recommendations

2.1 a & b– The Finance sub group suggested that, in the light of the anticipated Schools Block allocation, it would be reasonable to have a positive MFG (i.e. greater than 0%) **and** to make initial steps towards NFF by added into deprivation factors, not AWPUP. D T added that we would need to consult all schools on this proposal. Forum agreed with the sub group's recommendation.

2c – DT advised that the DFE advice was confusing. The Finance sub group thought that the existing wording should continue but that it should be applied more rigorously in practice.

SH asked why his nursery did not get funding as they have 2 separate buildings. DT advised that split funding only applies to schools – not nurseries that are paid thru the EY block. Forum agreed with the sub group's recommendation.

2d As there is continuing uncertainty of future levels of funding, the Finance sub group thought that there was no need to change the Growth Fund policy at this stage. Forum agreed with this recommendation.

2e – Forum noted that de delegation will be consulted on. MB asked how will schools be

<p>consulted. TY advised that a form will be emailed to Heads &amp; SBMs for all maintained schools. TY will send MB a copy of the form.</p>	
<p><b>8. High Needs Budget 2018/19</b></p>	
<p>EWJ presented the High Needs report. Bristol had a SEND Judicial review and a Heads briefing on Friday gave details.</p> <p>The Council's decision was challenged as it had failed to consult adequately and had not undertaken equality impact assessments The judge ruled that the Council had acted unlawfully and quashed the decisions.</p> <p>LA had to reconsider the funding allocations at this time as the court quashed the HN budget</p> <p>The Forum are being asked to comment on the proposed approach for the HN budget.</p> <p>£5.1 m was identified as savings in 18/19. After quashing the budget the LA was advised that they could continue spending but had to reconsider the HNB and present a budget to Council for decision.</p> <p>Increased workload is reflected in other LAs and Bristol is not unique in our increasing spending problems. Approximately 1 in 14 children in Bristol have SEN with a wide range of needs. Special schools place forecasting is also an issue.</p> <p>We need to consider alternative learning. PEX continues to fall but ALP has risen. Majority are spot purchased and are for secondary age young people, with a significant increase this year. Primary PEX has risen sharply this year.</p> <p>There is a significant gap in attainment between children with SEN and those with no SEN. The gap is also wide between Bristol and national average.</p> <p>Inclusion Ref group keeps a focus on the issues and a High Needs transformation plan is being developed to improve outcomes for children.</p> <p>Options on overspend are:</p> <ul style="list-style-type: none"> <li>1 - fund overspend from general resources</li> <li>2 - part fund from general resources and schools budget</li> <li>3 - not fund any overspend and carry forward to schools budget in next year</li> </ul> <p>LA has reviewed all aspects and agreed that no policy decisions flowing from the original decision will be taken.</p> <p>Current forecast is £54.6m for 18/19.</p> <p>We also have to take into account that claimants legal costs will need to be paid.</p> <p>GC asked how did we end up going to a judicial review that we were never going to win. DM advised that consultation is generally taken with Schools Forum &amp; SEN groups and</p>	

we thought we had followed normal procedure.

AS added that this legal judgement has come to his attention in his role with another authority. This is a landmark case and Bristol is the first LA to be challenged in this way and other LAs are showing interest.

EWJ added that the LA cannot change 18/19 but can consult and do EIAs for any future changes and make sure public consultation takes place.

AK advised that her concern is that our outcomes are so out of line with our statistical neighbours. We need to be clear what good looks like and what are the financial implications. We need to consult on 19/20 and we are not clear enough about what we need. We have a choice not to make any changes for 19/20.

EWJ added that the transformation plan has to be done properly.

GC asked who was heading up review – EWJ confirmed it was her.

PE advised that special schools have taken brunt of cuts. He thought the double funding of ALPs needs to be investigated and asked what had happened to the EIB review?

AWJ confirmed that the review had not been picked up and this is disappointing.

PE asked what had happened to SRs commission of review into costs of Special schools. AWJ advised that the quality of the report that was written was not adequate and this is being picked up with the consultant. AS & EWJ are discussing and hope to have the report out by early October.

PE also asked what work has Bristol done so Health are paying their share of costs. EWJ advised she & Jaqui Jensen are meeting with Health to look at contracts in place.

SL asked if the Council was looking to support SEN with general funds?

DM advised it was too early to say until our own position is clear.

Recommendations were revisited when all three reports discussed

### **Recommendations**

Schools Forum agreed on the following points to convey to Cabinet:

- a) the agreed transfer of £0.566m unallocated funding from the central school services block for 2018/19 would increase the proposed High Needs budget of £53.905m to £54.471m;
- b) a strategy that included continuing transfers from other blocks to the High Needs Block would not be sustainable in the long term;
- c) in view of the pressures on all areas of the education service, the Council should consider all possible sources of funds, and not just the Dedicated Schools Grant (DSG);
- d) in view of the pressures, the Council should consider extending the timetable for returning the DSG to balance.

## **9. School Places Planning**

<p>Report was noted in IB absence.</p> <p>CR advised that it was helpful to have contextual information. It would be useful to have a fuller picture of LAs proposals to inform consideration of financial demands against the growth fund.</p> <p>CT added that the Forum needed to understand the places in Bristol South schools allocated to Bristol children.</p>	
<p><b>10. TwS Annual Report</b></p>	
<p>Annual Report was noted in CGs absence.</p> <p>CR noted that the report had no information on the surplus..</p> <p>Forum are waiting to see the Central Services report.</p> <p>CP asked why the income figures in the annual report are different from the covering details in the report? £10,751 &amp; £9411.92 (Update after the meeting: the higher figure reflects the management accounting position for TWS, including its internal recharges apportioning costs across its different services; the lower figure represents the income generated from customers (ie schools and other council services).)</p> <p>CP also noted that TwS income has dropped by 20% but staffing has increased by 10%. Schools are being asked to trim but apparently TwS is not.</p>	<p>AS</p>
<p><b>11. AOB</b></p>	
<p>CR advised that the November meeting is very close to the deadline for any proposal to transfer money.</p>	

The meeting closed at 19.30hrs

**Bristol Schools Forum**  
**DSG Overview - Monitoring 2018/19**

<b>Date of meeting:</b>	27 <sup>th</sup> November 2018
<b>Time of meeting:</b>	5.00 pm
<b>Venue:</b>	Writing Room, City Hall

**1 Purpose of report**

- 1.1 This report provides an update on the forecast financial position for the DSG overall as at Period 6 (to end September 2018)).

**2 Recommendation**

**2.1 Schools Forum is invited to:**

- a) note the in-year 2018/19 position for the overall DSG

**3 Background**

- 3.1 The report for the September meeting updated Schools Forum on the position at Period 4 2018/19.

**4 Budget monitoring 2018/19**

- 4.1 The previously reported position in July 2018 was a forecast £0.3m in-year deficit on the Dedicated Schools Budget for Period 4 2018/19. This would have increased the brought forward deficit on the DSG from £1.0m to £1.3m.
- 4.2 At the meeting, Schools Forum agreed that £0.566m of unallocated funding in the School Central Services Block could be transferred to the High Needs Block. This was agreed by Cabinet at its meeting in October 2018. While this does not change the overall position, it improves the position on the High Needs Block for 2018/19.
- 4.3 This position has improved by £0.1m since then. The adverse movement is within the High Needs Block. The Period 4 position is set out in **Table 1** with more detail set out in **Appendix 1**.

**Table 1: Forecast position on overall DSG for 2018/19 at Period 6 (September 2018) \***

	Brought forward 2018/19 £'000	Funding 2018/19 £'000	Period 6 Forecast 2018/19 £'000	In-year variance £'000	Carry-forward 2018/19 £'000	Previous Forecast £'000	Change £'000
Schools Block		253,423	253,423				0
De-delegation	-357		10	10	-347	-44	-303
Schools Central Block		2,262	2,262			-566	+566
Early Years	-500	36,600	35,235	-1,365	-1,865	-848	-1,017
High Needs Block	2,055	54,471	54,230	-241	1,814	2,759	-945
Funding	-182	-346,756	-346,574	182			0
<b>Total</b>	<b>1,016</b>		<b>-1,414</b>	<b>-1,414</b>	<b>-398</b>	<b>1,300</b>	<b>-1,699</b>

Note \*: this reflects the corporately reported Period 6 position, but has an updated section of de-delegated items to assist with decision-making by maintained mainstream school representatives in the Schools Block paper, elsewhere on the agenda.

4.4 **De-delegated resources** is expected to underspend, particularly in the Schools in Financial Difficulties budget. While there are schools in deficit, funding is only released where there are particular initiatives which are mutually agreed as being necessary to bring about the changes required in each school. Commitments for 2018/19 on Schools in Financial Difficulties currently amount to £67k and may rise to £97k by year-end. This would leave an underspend of £290k to carry forward into 2019/20. This should be sufficient for 2019/20's requirements, too. So, the Schools Block proposal is not to request any additional contribution for this function for 2019/20 and to revisit the position in a year's time.

4.5 **Table 2** below summarises the position on each of the de-delegated items.

**Table 2: Summary Period 6 2018/19 forecast position for de-delegated services**

	Brought forward 2018/19 £'000	Funding 2018/19 £'000	Period 6 Forecast 2018/19 £'000	In-year variance £'000	Carry-forward 2018/19 £'000
Educational Psychology	0	115	115	0	0
Free School Meal Eligibility	0	24	24	0	0
Insurance	0	675	675	0	0
Maternity absence	0	610	610	0	0
Schools in Financial Difficulty	-290	97	97	0	-290
Roving Health and Safety reps	+5	19	19	0	+5
Trade Union Facilities	-72	78	88	+10	-62
<b>Total</b>	<b>-357</b>	<b>1,618</b>	<b>1,627</b>	<b>+10</b>	<b>-347</b>

4.6 **School Central Services Block** is forecasting a breakeven position, now that the unallocated £0.566m for prudential borrowing has been transferred to the High Needs Block. A verbal update on the position on the Central Services Block will be given later in the agenda.

- 4.7 **Early Years** budgets are forecasting an underspend of -£1.9m, but extreme care should be taken in concluding that this is the certain outcome for this financial year. In 2017/18 there was too much emphasis on taking a prudent approach which erred on the side of forecasting to budget in the absence of a robust analysis of the underlying position. For 2018/19, officers have attempted to align the income and expenditure forecasts to the expected underlying data (ie there are estimates of pupil numbers for each of the three censuses in this financial year for all maintained settings and there is verified data about the January 2018 census for all settings).
- 4.8 The DSG income is based 5/12ths on the January 2018 census. We have that information so we can be sure about how much that will provide us. It is also based on 7/12ths of the January 2019 census. We do not have that information. All we have is what happened in January 2018 and the rates that apply for 2018/19 financial year. So, an income estimate has been done on that basis.
- 4.9 The DSG expenditure is largely driven by the numbers of pupils in the May 2018, October 2018 and January 2019 termly censuses. Only information on the May census was available at the time of the Period 6 forecast. For maintained settings, illustrative budgets have been used for the purposes of monthly payments using the 2017/18 financial year census data as a proxy for the missing 2018/19 data, at 2018/19 rates. For PVI settings, we have used the proportionate difference estimated between May and October 2018 for school settings for PVI settings, too. So, an expenditure estimate has been done on this basis.
- 4.10 This is a more considered approach than 2017/18's monitoring process. Nonetheless, it is difficult to draw too many hard conclusions from it. This is because we only have proper data on 5/12ths of the income side of the equation and one-third of the data on the expenditure side. As the year goes on we will get more information, but the January 2019 census still accounts for a large part of the income and expenditure.
- 4.11 **High Needs** budget has a headline in-year underspend of -£0.2m. With the brought forward deficit of £2.0m from 2017/18, this produces a forecast cumulative deficit of £1.8m.
- 4.12 There is a separate report on this agenda which explains the position on the High Needs Budget for 2018/19 and beyond.

## Appendix 1

### Forecast position for Overall DSG 2018/19 as at Period 6

	Brought forward 1.4.18 £'000	Funding 2018/19 £'000	Outturn (as at Mar 2019) 2018/19 £'000	In-year movement £'000	Carry forward 31.3.19 £'000
Maintained Schools		(87,321)	87,321	0	0
Academy Recoupment		(163,516)	163,516	0	0
Growth Fund		(2,586)	2,586	0	0
<b>Schools Block</b>	<b>0</b>	<b>(253,423)</b>	<b>253,423</b>	<b>0</b>	<b>0</b>
<b>De-delegation Services</b>	<b>(358)</b>	<b>0</b>	<b>10</b>	<b>10</b>	<b>(348)</b>
Admissions		(461)	461	0	0
Centrally Retained		(1,800)	1,800	(0)	(0)
<b>Schools Central Services</b>	<b>0</b>	<b>(2,262)</b>	<b>2,262</b>	<b>(0)</b>	<b>(0)</b>
National Formula		(26,900)	27,270	369	369
Funding Accrued		(1,060)	0	(1,060)	(1,060)
2 Year Old Funding		(4,576)	3,924	(652)	(652)
Pupil Premium (EYPP)		(366)	366	0	0
Additional Support Services		(1,081)	1,088	7	7
SEN Top up		(913)	915	2	2
Staffing		(1,607)	1,575	(32)	(32)
Disability Access Fund		(97)	97	0	0
Committed reserve	(500)	0	0	0	(500)
<b>Early Years Block</b>	<b>(500)</b>	<b>(36,600)</b>	<b>35,235</b>	<b>(1,365)</b>	<b>(1,865)</b>
Commissioned Services		(2,440)	2,949	509	509
Core Place Funding		(7,220)	8,363	1,143	1,143
Staffing		(895)	934	39	39
Top Up		(21,640)	22,772	1,132	1,132
Placements		(8,556)	8,901	345	345
Pupil Support		(314)	425	111	111
Schools in Financial Difficulty		(307)	307	0	0
HOPE Virtual School		(236)	236	1	1
Committed reserve	2,056	0	0	0	2,056
Planned funding for historic deficit		(3,519)	(0)	(3,520)	(3,520)
Academy Recoupment		(9,345)	9,345	0	0
<b>High Needs Block</b>	<b>2,056</b>	<b>(54,471)</b>	<b>54,230</b>	<b>(241)</b>	<b>1,815</b>
<b>Early Help Project</b>	<b>(182)</b>		<b>182</b>	<b>182</b>	<b>0</b>
<b>Total</b>	<b>1,016</b>	<b>(346,756)</b>	<b>345,341</b>	<b>(1,414)</b>	<b>(398)</b>

**Bristol Schools Forum**  
**DSG Overview 2019/20**

<b>Date of meeting:</b>	27 <sup>th</sup> November 2018
<b>Time of meeting:</b>	5.00 pm
<b>Venue:</b>	Writing Room, City Hall

## **1 Purpose of report**

- 1.1 This report provides an update on the 2019/20 DSG position and seeks a decision on whether up to £3.4m of Schools Block funding for 2019/20 may be transferred to the High Needs Block.

## **2 Recommendation**

### **2.1 Schools Forum is invited to:**

- a) **note the 2019/20 position for the overall DSG;**
- b) **agree to the transfer of £0.566m funding from the School Central Services Block to the High Needs Block in 2019/20;**
- c) **in considering the outcomes of the consultation, decide which of the following propositions Schools Forum can support:**
  - i. **Schools Forum supports a transfer of £2m funding from the Schools Block to the High Needs Block in 2019/20;**
  - ii. **Schools Forum supports a transfer of £3.4m funding from the Schools Block to the High Needs Block in 2019/20;**
- d) **Indicate if there is a different transfer value which Schools Forum could agree, should the Growth Funding exceed the estimated £3.9m.**

## **3 Background**

- 3.1 In July 2018, the EFSA issued the operational guidance on schools funding for 2019/20.
- 3.2 At the same time, the EFSA published provisional allocations for 2019/20 for the Schools Block, Central Services Block and the High Needs Block. No information has yet been announced about the Early Years Block arrangements for 2019/20.

- 3.3 The report to Schools Forum in September 2018 outlined the key points emerging from that. Schools Forum agreed to a consultation with all schools which ran from 19/10/2018 to 07/11/2018.
- 3.4 This report explains the issues in the consultation paper, the outcomes and the latest position in order for Schools Forum to make decisions about transfers between blocks for 2019/20.

#### **4 School Funding Arrangements 2019/20**

- 4.1 In July 2018, DfE announced the provisional operating arrangements for the DSG for 2019/20. This includes providing an illustration of the impact of the changes on the amount of DSG that individual authorities would receive for three of the four DSG sub-blocks. There is no updated information currently for the Early Years Block. The illustrations were based on the data provided by the October 2017 pupil census. The final allocations will be based on the October 2018 census and this will be available in the latter half of December 2018.
- 4.2 **Table 2** indicates that the headline increase for the indicative DSG would be an increase of £6m, compared to the latest DSG allocations for 2018/19. £4.1m of this increase arises because the DfE has accepted Bristol City Council's higher level of Private Finance Initiative (PFI) Affordability Gap. This was a pressure being borne by the General Fund and, for 2018/19, the costs were included in the PFI factor in the mainstream schools' funding formula. For 2018/19, a matched amount of £4.1m was provided by the General Fund to support the Schools Budget, in the hope that the NFF would acknowledge this higher cost. This plan has been successful and the General Fund contribution for 2018/19 will no longer be needed to support PFI in 2019/20 and ongoing.
- 4.3 The other increase of £2.0m (0.6% in the blocks that have been updated (ie nothing yet on Early Years) arises from the application of the national funding formulae for each of those three blocks.
- 4.4 All of the figures will be subject to the differences between the use of the October 2017 census (used for these indicative budgets) and the use of the October 2018 census. The final budgets will use the funding rates in the indicative budgets with the October 2018 census data.

**Table 2: Changes in DSG sub-block totals between the 2018/19 (July 2018) position and the indicative 2019/20 (July 2018) position.**

<b>DSG Blocks</b>	<b>2018/19 DSG (July 2018) £m</b>	<b>Impact of DSG recognising the higher BCC PFI Affordability Gap £m</b>	<b>Other changes, based on the same pupil numbers (July 2018) £m</b>	<b>Total indicative 2019/20 £m</b>
Schools block	252.023	4,100	1,466	<b>257.589</b>
Central school services block	2.828	0	0.035	<b>2.863</b>
High needs block	51.023	0	0.471	<b>51.494</b>
Early Years	36.574	0	0	<b>36.574</b>
<b>Total</b>	<b>342.448</b>	<b>4.100</b>	<b>1.972</b>	<b>348.520</b>

- 4.5 **Schools Block.** There is a separate report on this agenda which deals with the detailed issues arising from the DfE guidance on the Schools Block. The issue, which is explored in the next section, is that of transferring funding between blocks for 2019/20.
- 4.6 **Central School Service Block** is again funded in two parts. The first part (£1.165m) is for historic responsibilities and this will be funded at historic costs, for as long as those specific commitments exist. These are for Combined Services and Prudential Borrowing. Although the Prudential Borrowing initiative (£0.566m) ceased during 2017/18, DfE have indicated that this historic funding will continue to be included in the DSG for 2019/20, so is available for reallocation elsewhere.
- 4.7 The second part (£1.698m) is for on-going responsibilities and these will be funded on a formulaic basis from 2018/19. These cover Admissions , Licences, Servicing of Schools Forum and the core centrally retained duties of the LA (transferred from the Education Services Grant).
- 4.8 A separate report on the proposed components for 2019/20 is on this agenda.
- 4.9 **High Needs Block** indicative allocation for 2018/19 is £51.5m. This provides +£0.5m (+0.9%) additional funding compared to 2018/19. There is a separate report on this agenda which provides a detailed assessment of the financial position for 2019/20.
- 4.10 **Early Years Block** has not been included in the EFSA information, but the Early Years Block allocation of £36.6m has been included for illustrative purposes. A separate paper on the Early Years block is included on this agenda.

## 5 Considerations on movement between DSG Blocks for 2019/20.

- 5.1 Because this issue straddles the Schools, School Central Services and the High Needs Blocks, it is put forward here for consideration.
- 5.2 The High Needs Block indicative allocation of £51.5m for 2019/20 is around £5.7m less than the estimated spend (on a like-for-like basis) of up to £57.2m in that year, if no changes are made to policies or practices. (NB The forecast has also been updated to include £1m more for the transfer into the DSG of the place funding for a Special Free School, where a compensating increase in DSG is expected to neutralize the financial impact of that transfer ie the headline High Needs spend for 2019/20 is now **£58.2m**). Details of this are in the separate High Needs budget report.
- 5.3 The previous assessment (of £55.5m reported to Schools Forum in September) was based on the 2018/19 position plus 2% for demand and cost pressures. The updated position recognizes a higher underlying position and takes account of future natural changes and indicates £58.2m may be required if no changes to current policies were made. Taking account of a forecast deficit on High Needs of £1.8m for 2018/19, the cumulative position by March 2020, if there were no transfers from other funds and no change to existing policies, would be a **deficit of £7.5m**.
- 5.4 As outlined in the 2018/19 budget report even with the significant resource allocations the outcomes for Children with SEND and Alternative Provision needs to improve. An outcomes focused improvement programme for the High Needs Block will be developed and will require strong local leadership and ownership and effective joined up working arrangements. More detail on this, too, is in the separate High Needs budget paper.
- 5.5 We are committed to working closely with key partners, children, young people and those who care for them as well as providers in developing system wide transformation that seeks to promote, protect and improve the outcomes for children and young people with SEND and Alternative Provision over the next 5 years and the details of this programme will be publicly consulted upon once developed.
- 5.6 In the meantime, allocation for the High Needs Block will continue to be based on available resources and actual expenditure on take up.
- 5.7 Transferring funding to the High Needs Block from other blocks is an option.
- 5.8 There are no restrictions on transfers from the Central Services Block to High Needs Block, other than that Schools Forum must be consulted.

- 5.9 In 2018/19, the Secretary of State allowed Bristol to transfer £2.0m (0.8%) of the Schools Block to the High Needs Block. Because of that, if Schools Forum were to agree, up to £2.0m Schools Block to High Needs Block could transfer in 2019/20, too, without the need to obtain the Secretary of State's permission again.
- 5.10 If the Authority wished to transfer more than £2.0m, the excess would need the Secretary of State's permission and the Schools Forum would have had to have expressed a view.
- 5.11 If Schools Forum did not agree to any part of a transfer and the Authority still wished to proceed, it would need the Secretary of State's permission afresh.
- 5.12 Schools Forum agreed to consultation with all schools on the proposals to transfer funding from the schools block to the high needs block.
- 5.13 The consultation paper is included as a separate document despatched with this agenda (because the issue of transferring between blocks is dealt with in this report and the other matters are dealt with in the Schools Block report).
- 5.14 Within the Schools Block total of £257.6m the parts of the block where the transferred funding might come from are:
- 5.15 The sum of the **national funding formula allocations** for pupils on roll in October 2017 is £252.4m. The maximum that would be possible to transfer from this would be **£4.8m**, but that would mean that every mainstream school's budget would be 1.5% less per pupil than the 2018/19 budget. If, instead, all of the £4.8m stayed with mainstream schools, they would still only receive an average of 0.5% more than their 2018/19 per pupil allocation.
- 5.16 The sum of the **indicative allocation for growth** during 2019/20 is £5.2m. We expect to need £2.4m for this in 2019/20 and the allocation is £5.2m, but there is no guarantee that this will be the final allocation. Indeed, figures provided by the DfE after the Schools Forum meeting, identified that £5.2m was the 2018/19 growth allocation. Growth for 2019/20 will be distributed on the basis of a new methodology. The technical basis is that the DfE will count growing pupil numbers in Middle Super Output Areas between October 2017 and October 2018 and it will ignore reductions. If this approach had been used to calculate growth for 2018/19, Bristol would have been entitled to £2.7m.
- 5.17 The 2019/20 allocation for growth cannot be less than a reduction of 0.5% of the overall Schools Block DSG. This is because the DfE have set that as a funding floor. This points to a minimum allocation for 2019/20 of £3.9m if

the October 2018 count calculates an amount for growth, using the new methodology, that is lower than that.

- 5.18 It is difficult to assess quite how different the 2019/20 Growth calculation will be than the pure growth formula calculation of £2.7m; the detailed information is not yet available. For increased pupil numbers to constitute more than £3.9m, however, this would require an increase in growth of 50%. Without a detailed analysis of the 2018 census, such a large change looks to be improbable, because expected changes in pupil numbers for October 2018 are not that high. The DfE methodology is new, however, and it may prove to be erratic in practice (ie it counts areas with increases and ignores decreases).
- 5.19 This suggests **a range of between £1.5m and £2.8m** could be available for transfer to High Needs Block or to increase available funding for mainstream schools. (This could be £1.5m if we receive the minimum growth of £3.9m, less commitments of £2.4m; £2.8m if we receive the indicative growth of £5.2m, less commitments of £2.4m). In the longer term, if pupil numbers are flat and the national funding formula is introduced for individual schools, there will be no room for manoeuvre here.
- 5.20 Within the **Central Services block** of £2.9m the scope for considering possible transfers is that there are commitments of £2.3m, with £0.6m for ceased prudential borrowing costs, which is available for reallocation. That **£0.6m** could be transferred to High Needs Block with no service impact.
- 5.21 The scenarios for transferring between blocks are explained in **Table 2**.

**Table 2 Scenarios for moving funding between blocks that were consulted on**

<b>Consultation proposals</b>	<b>Amount £m</b>	<b>Source of funding and any dependencies</b>
<b>Proposal A:</b> No schools block transfer.	£0.566m	Funding in the Central School Services Block (CSSB) is available to transfer as there are no commitments that it is currently supporting.
<b>Proposal B:</b> Up to maximum that SF can agree itself.	<£2,566m	As per Proposal A plus <b>up to £2m transfer from Schools Block</b> . If Growth is at £5.2m, all of this £2m could be met from there. If Growth is only £3.9m, £1.5m would come from Growth and £0.9m would come from NFF allocated monies.
<b>Proposal C:</b> Up to the whole of the in-year shortfall on the High Needs Block	<£4m	As per Proposal B plus a further £1.4m transfer from Schools Block (ie <b>£3.4m in total from Schools Block</b> ). If Growth is at £5.2m, £2.8m could come from here, with the remaining £0.6m coming from NFF allocated monies. If Growth is only £3.9m, £1.5m would come from Growth and £1.9m would come from NFF allocated monies.

5.22 The consultation paper gave illustrations of how much would be distributed to schools under different scenarios. **Table 3** below summarises the options on the basis of:

- A transfer from Schools Block to High Needs Block of Nil, £2m or £3.4m.
- The indicative 2019/20 Schools Block DSG with indicative Growth of either £5.2m or £3.9m.

**Table 3: Summary of funding available to schools with different transfers and different levels of Growth in 2019/20**

Indicative Schools Block DSG 2019/20 plus £5.2m Growth allocation				Indicative Schools Block DSG 2019/20 plus £3.9m Growth allocation			
Proposal and Illustration	High Needs contribution	Available to schools	%age increase on standstill of £252.7m	Proposal and Illustration	High Needs contribution	Available to schools	%age increase on standstill of £252.7m
A.1	£0m	£256.9m	+1.7%	A.2	£0m	£255.6m	+1.1%
B.1	£2m	£254.9m	+0.9%	B.2	£2m	£253.6m	+0.4%
C.1	£3.4m	£253.5m	+0.3%	C.2	£3.4m	£252.2m	-0.2%

5.23 Final DSG figures will be provided by the Department for Education in December 2018. It is expected that these will vary only by the final Growth allocations for 2019/20 and the effect of using pupil numbers from the October 2018 census. The Primary and Secondary Units of Funding (PUFs and SUFs) have been confirmed, so more pupils will result in more funding and fewer pupils will result in less.

5.24 The consultation responses are summarised in **Appendix 1**. The consultation was open to all maintained schools and academies in the city. 29 schools commented on the issues associated with transferring between blocks. This includes one special academy and one PRU academy. The second section of the consultation (dealt with in the Schools Block paper) was only for mainstream primary and secondary schools and academies and the third section was only for maintained primary and secondary schools.

5.25 The first question asked about whether respondents agreed with a financial transfer of up to £2m from the Schools Block to the High Needs Block for 2019/20 financial year. Three quarters either agreed, strongly agreed or neither agreed nor disagreed. **Appendix 1** includes the individual comments provided by respondents to explain their reasoning.

5.26 The second question asked about whether respondents agreed with a financial transfer of up to £3.4m from the Schools Block to the High Needs Block for 2019/20 financial year. Three quarters either disagreed, strongly

disagreed or neither agreed nor disagreed. **Appendix 1** includes the individual comments provided by respondents to explain their reasoning.

- 5.27 Schools Forum are invited to consider the overall responses received, including the comments on the fifth question about other aspects of the transfer of funding which they would like decision-makers to take into account.
- 5.28 A decision from Schools Forum is sought on whether or not the two rates of transfer from Schools Block to High Needs Block are supported.

**LOCAL AUTHORITY CONSULTATION WITH BRISTOL SCHOOLS  
ON SCHOOLS BLOCK FUNDING ARRANGEMENTS FOR 2019/20 FINANCIAL YEAR**

**1. Purpose**

1.1 This paper is consulting on the following issues:

<b>Issue</b>	<b>Schools being consulted</b>
1. Transfer of funding from the Schools Block in the Dedicated Schools Grant (DSG) to the High Needs Block in the DSG for 2019/20	All maintained schools and academies (ie including maintained nurseries, mainstream primary, secondary and all-through schools and academies, special schools and academies and Pupil Referral Units (PRUs).
2. How the local funding formula for mainstream schools and academies should work in 2019/20	Primary, secondary and all through mainstream schools and academies
3. De-delegation of funding for services to maintained primary and secondary schools 2019/20	Maintained primary and secondary schools (i.e. NOT academies).

1.2 The responses to this consultation paper will be collated for Schools Forum, when it meets on 27<sup>th</sup> November 2018 where they will consider their views.

**2. Key consultation points**

2.1 The Department for Education has provided indicative funding amounts for the Dedicated Schools Grant (DSG) for 2019/20, based on the pupils on roll in September 2017. The final DSG will be based on the pupils on roll in September 2018.

2.2 The DSG has four blocks: Schools Block, which pays for the delegated funding for mainstream schools and academies; School Central Services Block, which pays for a small number of central services; Early Years Block, which pays for early years education for 2, 3 and 4 year olds; and the High Needs Block, which pays for specialist provision for Special Educational Needs and Disability (SEND) and Alternative Provision (AP).

2.3 School views and comments are being sought on the following issues/matters:

**Issue 1. Transfer of funding from the Schools Block to the High Needs Block within the DSG**

The High Needs Block has historically needed more funding than the amount provided by the DSG. Funding has been transferred in the past from Schools

Block to the High Needs Block. The local authority is considering doing this again, but needs to seek the agreement of the Schools Forum before it can do this.

Two broad options are outlined to assist in making a decision on this:

- up to a total of £2m could be transferred from Schools Block to the High Needs Block; or
- up to a total of £3.4m could be transferred from Schools Block to the High Needs Block .

We seek your views on those options and ask what decision makers should take into account if they decide to pursue either transfer.

## **Issue 2. How the local funding formula for mainstream schools should operate for 2019/20**

A Schools Forum sub-group has met to consider how and whether to change the local funding formula so that it is more in line with the DfE's national funding formula (NFF). The NFF has lower amounts per pupil for primary age and Key Stage 3 pupils and amounts for lump sums (ie one per school). The NFF provides a similar amount per pupil for Key Stage 4 pupils. Where the NFF provides more funding is in other pupil related parts of the funding formula: Deprivation, English as an Additional Language and Prior Attainment.

The sub-group concluded that we should keep the local formula, but believed that, if there was any more money than the 2018/19 level of funding per pupil, a choice was desirable.

Schools Forum supported their view that the choice is between:

- Distributing the 2018/19 level of funding per pupil via the current local formula (ie distribute it in the same way as this year's formula), with any funding available beyond that channelled through National Funding Formula values for Deprivation, English as an Additional Language and Prior Attainment

OR

- Distributing all available resources through the current local formula.

We would like to know what your preference is and we would like to know what you believe decision-makers should take into account when deciding

what the arrangements should be for 2019/20. This includes your views on the level of the Minimum Funding Guarantee (which protects the level of per pupil funding from the 2018/19 values for each school).

**De-delegation of funding for mainstream schools for specific services.**

The DFE permit only certain services to be retained centrally by the local authority on behalf of maintained primary and secondary schools. The services for both sectors are: Employee & Premises Insurance; Assessment of Eligibility for free school meals; Maternity Supply Cover; Trades Union Facility Time; Health & Safety Roving Representatives; and Educational Psychology. Schools in Financial Difficulty is a service that is only available for primary schools.

The decision about whether the funding for these services for the whole of each sector (primary or secondary) is made by the relevant maintained primary and maintained secondary representatives on Schools Forum .

So, for maintained primary and secondary schools only (including all-through mainstream), we would like to know if you support the de-delegation of each of these services, or not. We would also like to know what you believe decision-makers should take into account when considering this matter.

- 2.4 Please complete the [on-line response form](#) provided at the Bristol City Council consultation website by noon on Wednesday 7<sup>th</sup> November 2018.

### 3. Background

- 3.1 In July 2018, Department for Education (DfE) announced the provisional operating arrangements for the DSG for 2019/20. This includes providing an illustration of the impact of the changes on the amount of DSG that individual authorities would receive for three of the four DSG sub-blocks. There is no updated information currently for the Early Years Block. The illustrations were based on the number of pupils on the October 2017 pupil census. The final allocations will be based on the October 2018 pupil census and this will be available in the latter half of December 2018.

**Table 1: Changes in DSG sub-block totals between the 2018/19 (July 2018) position and the indicative 2019/20 (July 2018) position.**

<b>DSG Blocks</b>	<b>2018/19 DSG (July 2018) £m</b>	<b>Impact of DSG recognising the higher BCC PFI Affordability Gap £m</b>	<b>Other changes, based on the same pupil numbers (July 2018) £m</b>	<b>Total indicative 2019/20 £m</b>
Schools block	252.023	4,100	1,466	<b>257.589</b>
Central school services block	2.828	0	0.035	<b>2.863</b>
High needs block	51.023	0	0.471	<b>51.494</b>
Early Years	36.574	0	0	<b>36.574</b>
<b>Total</b>	<b>342.448</b>	<b>4.100</b>	<b>1.972</b>	<b>348.520</b>

- 3.2 **Table 1** indicates that the increase for the indicative DSG would be an uplift of £6m, compared to the latest DSG allocations for 2018/19 (ie £342.5m in 2018/19 and £348.5m for the indicative 2019/20 figures). £4.1m of this increase arises because the DfE has accepted Bristol City Council's higher level of Private Finance Initiative (PFI) Affordability Gap. This was a pressure being borne by the General Fund (ie the Council's budget) and, for 2018/19, the costs were included in the PFI factor in the mainstream schools' funding formula. For 2018/19, a matched amount of £4.1m was provided by the General Fund to support the Schools Budget, in the hope that the NFF would acknowledge this higher cost. This plan has been successful and the General Fund contribution for 2018/19 will no longer be needed to support PFI in 2019/20 and ongoing.
- 3.3 The other increase of £2.0m (0.6% in the blocks that have been updated (i.e. nothing yet on Early Years) arises from the application of the national funding formulae for each of those three blocks.
- 3.4 All of the figures will be subject to the differences between the use of the October 2017 census (used for these indicative budgets) and the use of the October 2018

census. The final budgets will use the funding rates in the indicative budgets with the October 2018 census data.

- 3.5 **Schools Block.** This consultation paper will deal with the detailed issues arising from the DfE guidance on the Schools Block. It will firstly (and separately) consider the question of transferring funding between blocks for 2019/20.
- 3.6 **Central School Service Block** is again funded in two parts: historic responsibilities and on-going responsibilities.
- 3.7 The first part (£1.165m) is for historic responsibilities and these will be funded at historic costs, for as long as those specific commitments exist. These are for Combined Services (ie services that have an educational component, which Schools Forum agreed to support in the past) and Prudential Borrowing (ie the costs of funding capital spending that was agreed many years ago, until the borrowed money has been repaid). Although the Prudential Borrowing initiative (£0.566m) ceased during 2017/18, DfE have indicated that this historic funding will continue to be included in the DSG for 2019/20, so is available for reallocation elsewhere.
- 3.8 The second part (£1.698m) is for on-going responsibilities and these will be funded on a formulaic basis from 2018/19. These cover Admissions , Licences, Servicing of Schools Forum and the core centrally retained duties of the LA (transferred from the Education Services Grant).
- 3.9 **High Needs Block** indicative allocation for 2018/19 is £51.5m. This provides +£0.5m (+0.9%) additional funding compared to 2018/19 but this is up to £4m short of the estimated spend in 2019/20 if no changes to current policies were made.
- 3.10 **Early Years Block** has not been included in the DfE information, but the Early Years Block allocation of £36.6m has been included for illustrative purposes. An announcement is expected later in the autumn on Early Years. Unless an unexpected change to the national funding arrangements is announced in the coming months, actual funding for early years will be based on numbers of 2, 3 and 4 year olds on roll at each of the termly censuses during 2019/20. Funding is likely to be higher as the full-year effect of the move to 30 hour placements is reflected.
- 3.11 Finally, on funding, the Department for Education has announced two areas of budget pressure for schools which they will support financially. They will provide a [Teachers Pay Grant](#) for 2018-19 and 2019/20. This will provide funding on a per pupil basis, which supports the cost of the teachers pay award beyond 1%. They have also indicated that they will fully fund the costs arising from the increased

employer rate for the teachers pensions scheme. This is not expected to happen until September 2019, the precise change has not yet been agreed and the details of how funding would be distributed are not known. Nonetheless, as this is expected to amount to more than 6% of the pay bill, it is reassuring that this pressure will be supported.

#### **4. ISSUE 1: Transfer between Blocks**

- 4.1 Schools will be aware that the council was developing a medium term strategy for managing the High Needs Budget that was included in Schools Forum papers for January 2018. This, however, is now not being pursued, following a recent Judicial Review . The council has produced a [position statement](#) on the high needs budget which explains why this is
- 4.2 An outcomes focused improvement programme for the High Needs Block will be developed and will require strong local leadership and ownership and effective joined up working arrangements.
- 4.3 We are committed to working closely with key partners, children, young people, those who care for them and providers. Together we will explore possibilities for system wide transformation. This would seek to promote, protect and improve the outcomes for children and young people with SEND and Alternative Provision over the next 5 years. The details of this programme will be publicly consulted upon once developed.
- 4.4 The High Needs Block indicative allocation of £51.5m for 2019/20 is around £4m less than the estimated spend of up to £55.5m in that year, if no changes are made to policies or practices. This shortfall is the key problem.
- 4.5 In the meantime, allocations for the High Needs Block will continue to be based on available resources and actual expenditure on take up, with any remaining deficits from this approach being carried forward to future years.
- 4.6 Transferring funding to the High Needs Block from other blocks is an option.
- 4.7 The only restriction on transfers from the Central School Services Block to High Needs Block, is that Schools Forum must be consulted.
- 4.8 In 2018/19, the Secretary of State for Education allowed Bristol to transfer £2.0m (0.8%) of the Schools Block to the High Needs Block. Because of that, if Schools Forum were to agree, up to £2.0m Schools Block to High Needs Block could be

transferred in 2019/20 as well, without the need to obtain the Secretary of State's permission again. Many councils are actively pursuing an approach of transferring Schools Block funding to High Needs.

- 4.9 If the authority wished to transfer more than £2.0m, the excess would need the Secretary of State's permission and the Schools Forum would have had to have expressed a view, following a consultation process with schools.
- 4.10 If the Schools Forum did not agree to any part of a transfer and the authority still wished to proceed, any decision to transfer would need the Secretary of State's permission.
- 4.11 In order for the Schools Forum to take a decision on this matter at its 27<sup>th</sup> November 2018 meeting, the authority would need to have consulted all schools before then.
- 4.12 Even if Schools Forum agree to a transfer between blocks in November 2018, final decisions on school budgets are not made by Council for 2019/20 until February 2019. The Authority would want to leave its options open in being able to transfer funding between blocks when final decisions were made on the DSG in February 2019.
- 4.13 Within the Schools Block total of £257.6m the parts of the block where the transferred funding might come from are:
- The sum of the **national funding formula allocations** for pupils on roll in October 2017 is £252.4m. The maximum that would be possible to transfer from this would be **£4.8m**, but that would mean that every mainstream school's budget would be 1.5% less per pupil than the 2018/19 budget. If, instead, all of the £4.8m stayed with mainstream schools, they would still only receive an average of 0.5% more than their 2018/19 per pupil allocation.
  - The sum of the **indicative allocation for growth** during 2019/20 is £5.2m. We expect to need £2.4m for this in 2019/20 and the allocation is £5.2m, but there is no guarantee that this will be the final allocation. Indeed, figures provided by the DfE after the Schools Forum meeting, identified that £5.2m was the 2018/19 growth allocation. Growth for 2019/20 will be distributed on the basis of a new methodology. The technical basis is that the DfE will count growing pupil numbers in Middle Super Output Areas between October 2017 and October 2018 and it will ignore reductions. If this approach had been used to calculate growth for 2018/19, Bristol would have been entitled to £2.7m.

The 2019/20 allocation for growth cannot be less than a reduction of 0.5% of the overall Schools Block DSG. This is because the DfE have set that as a funding floor. This points to a minimum allocation for 2019/20 of £3.9m if the October 2018 count calculates an amount for growth, using the new methodology, that is lower than that.

It is difficult to assess quite how different the 2019/20 Growth calculation will be than the pure growth formula calculation of £2.7m; the detailed information is not yet available. For increased pupil numbers to constitute more than £3.9m, however, this would require an increase in growth of 50%. Without a detailed analysis of the 2018 census, such a large change looks to be improbable, because expected changes in pupil numbers for October 2018 are not that high. The DfE methodology is new, however, and it may prove to be erratic in practice (ie it counts areas with increases and ignores decreases).

This suggests **a range of between £1.5m and £2.8m** could be available for transfer to High Needs Block or to increase available funding for mainstream schools. (This could be £1.5m if we receive the minimum growth of £3.9m, less commitments of £2.4m; £2.8m if we receive the indicative growth of £5.2m, less commitments of £2.4m). In the longer term, if pupil numbers are flat and the national funding formula is introduced for individual schools, there will be no room for manoeuvre here.

4.14 Within the **Central Services block** of £2.9m the scope for considering possible transfers is:

- There are commitments of £2.3m, with £0.6m for ceased prudential borrowing costs, which is available for reallocation. That **£0.6m** could be transferred to High Needs Block with no service impact.

4.15 The scenarios for transferring between blocks are explained in **Table 2** and in **Appendix 1**.

**Table 2 Scenarios for consultation on moving funding between blocks**

<b>Consultation proposals</b>	<b>Amount £m</b>	<b>Source of funding and any dependencies</b>
<b>Proposal A:</b> No schools block transfer.	£0.566m	Funding in the Central School Services Block (CSSB) is available to transfer as there are no commitments that it is currently supporting.
<b>Proposal B:</b> Up to maximum that SF can agree itself.	<£2,566m	As per Proposal A plus <b>up to £2m transfer from Schools Block</b> . If Growth is at £5.2m, all of this £2m could be met from there. If Growth is only £3.9m, £1.5m would come from Growth and £0.9m would come from NFF allocated monies.
<b>Proposal C:</b> Up to the whole of the in-year shortfall on the High Needs Block	<£4m	As per Proposal B plus a further £1.4m transfer from Schools Block (ie <b>£3.4m in total from Schools Block</b> ). If Growth is at £5.2m, £2.8m could come from here, with the remaining £0.6m coming from NFF allocated monies. If Growth is only £3.9m, £1.5m would come from Growth and £1.9m would come from NFF allocated monies.

4.16 **Appendix 1** sets out how the total funding would affect the Schools Block totals. In order to compare like-with-like, the illustrations in **Appendix 1** are based on the full-year impact of funding pupils on roll in the October 2017 census (used in the 2018/19 Authority Proforma Tool (ie mainstream formula)) plus the full-year effect of pupils in growing schools (funded 7/12ths in 2018/19 from DSG Growth), and expected pupils in growing schools in October 2019. This has the effect of factoring in £1.3m more DSG for 2019/20 than the indicative DSG (which is only based on the October 2017 census) and £0.4m of the Growth for 2019/20 is needed in the formula to take account of new pupils in growing schools.

4.17 **Tables 3a and 3b** explain what assumptions have been made to have a fair comparison between a standstill 2018/19 budget per pupil for 2019/20 (recognising that some of the estimated pupils in growing schools will be on roll in October 2018) and an adjusted indicative DSG that recognises the same number of pupils.

4.18 The standstill budget of £254.7m requires £2.4m for growth: £0.4m of this is required for estimated pupils in growing schools in October 2019 and this would be an integral part of the mainstream formula; £2.0m of this would be held as the Growth Fund for expanding schools in October 2019, if the current Growth Fund policy is maintained.

Table 3a

<b>Funding requirement for schools, based on 2018/19 pupils</b>	<b>Required £m</b>
APT 2018/19 (53,612 full-year pupils and 7/12 <sup>ths</sup> of 336 pupils in growing schools)	£251.4m
Plus 5/12ths of growing schools pupils (336 full-year pupils @5/12 <sup>th</sup> )	£0.6m
Indexation on PFI	£0.2m
Changes in NNDR	£0.1m
New growing schools	£0.4m
New October 2019 growth allocations	£2.0m
<b>Total</b>	<b>£254.7m</b>

Table 3b

<b>Indicative Schools Block DSG 2019/20</b>	<b>Indicative funding £m</b>
Pupil led NFF (53,612 full-year pupils)	£243.2m
336 growing schools pupils provided for in 2018/19 APT will appear on Oct 18 census and will be funded by DSG for 2019/20.	£1.3m
Premises led NFF	£9.2m
Formulaic Growth NFF	£5.2m
<b>Total</b>	<b>£258.9m</b>
<b>Difference (ie amount of funding in 2019/20 DSG, compared to standstill budget using 2018/19 pupil numbers</b>	<b>+£4.2m</b>

**Consultation Questions – Transfer between blocks**

1. Would you support a transfer of up to £2m from the Schools Block to the High Needs Block for 2019/20 financial year? Are there any factors that you would want decision-makers to take into account if the Authority were to pursue such a transfer?
2. Would you support a transfer of up to £3.4m from the Schools Block to the High Needs Block for 2019/20 financial year? Are there any factors that you would want decision-makers to take into account if the Authority were to pursue such a transfer?
3. Do you have any comments on any other aspect of the possible transfer of funding between blocks?

## 5. ISSUE 2: Arrangements for the mainstream funding formula 2019/20

- 5.1 The Department for Education has been developing a National Funding Formula for mainstream schools. Currently, that National Funding Formula is used to calculate the aggregate entitlement to funding for all schools in a local area and it is the local authority's decision, in consultation with schools and Schools Forum, to distribute that funding through an appropriate local formula. That arrangement will be in place for at least another two financial years.
- 5.2 From 2021/22, the DfE expects to introduce a hard National Funding Formula (ie to use the NFF to calculate individual schools' budgets, rather than to calculate the overall Schools Block funding for the local authority to distribute). The timetable for the introduction of the hard NFF has been pushed back before. It is expected to happen at some point.
- 5.3 A sub-group of Schools Forum has met three times since April 2018 to consider, among other things, the local plan for moving to the National Funding Formula. Comparisons were made between the pure NFF and the local formula for 2018/19. The comparisons demonstrated that Bristol schools would lose out overall, if they were funded on the headline factor values in the NFF, taking account of the relevant area cost adjustment.
- 5.4 Moreover, the significant changes were in lower age-weighted pupil unit values for primary and Key Stage 3, a lower lump sum, but much more funding distributed through other pupil led factors. This tended to favour larger schools, particularly secondary schools, with high levels of deprivation or low levels of prior attainment. By contrast, this tended to adversely affect smaller, particularly primary schools, more so those with low levels of deprivation or high levels of prior attainment.
- 5.5 The latest version of the NFF has not changed materially since that provided earlier in the calendar year. There is a slight change in the amount for funding for prior attainment. **Appendix 2** provides a comparison between the 2018/19 local formula and the latest NFF. It still confirms that the headline position is still the same; Bristol overall would lose if we were funded on the NFF, but not by much.
- 5.6 **Appendix 3a** provides the pupil numbers and budget information school by school that has been used to provide illustrations of the impact of different scenarios. **Appendix 3b** sets out what the distributional impacts are of setting the amount to distribute through the formula at the levels implied by Proposals A, B or C in Table 2 in Section 4. This means, the funding available to schools if there is no transfer to the High Needs Block from the Schools Block (A), if there is £2m transferred (B) or if there is £3.4m transferred (C). Because of the uncertainty about how much growth will be available, there are two illustrations: the first shows the position, if Growth is as per the indicative DSG of £5.2m; the second shows the position, if Growth is at the minimum amount guaranteed for 2019/20 of £3.9m.

- 5.7 This produces 6 scenarios (ie each of the three proposals regarding transfers (or not) to the High Needs Block with each of the two illustrations of the level of Growth funding).
- 5.8 When Schools Forum considered the position for 2019/20, there was discussion about keeping the local formula for a standstill budget, but to distribute any extra beyond that through the deprivation, prior-attainment and English as an Additional Language factors, which are generally funded more generously in the NFF. It was felt that this might be a way of recognising that keeping the local formula was in the interests of most schools and for Bristol overall, but that some acknowledgement of NFF factors, however small, might set a path for change in subsequent years.
- 5.9 In only three of the scenarios is there sufficient additional funding beyond the Minimum Funding Guarantee to provide alternatives for the additional funding. This gives a total of 9 scenarios set out in **Appendix 3b** is as per Table 4. The allocations options are:
- **LOCAL FORMULA.** In these scenarios, the standstill budget is based on the same formula values as in 2018/19, plus indexation for PFI and reassessed amounts for 2019/20 rates (NNDR) factors. Any funding beyond the standstill is distributed proportionately to all pupil led factors (ie including age-weighted pupil units).
  - **LOCAL + NFF ELEMENTS.** In these scenarios, the standstill budget is based on the same formula values as in 2018/19, plus indexation for PFI and reassessed amounts for 2019/20 rates (NNDR) factors. Any funding beyond the standstill is distributed proportionately on the different unit values in NFF, compared to the local formula for Deprivation, English as an Additional Language and Prior-Attainment factors.
  - **LOCAL FORMULA DRIVEN BY MFG.** This is in circumstances where there is no extra funding beyond the MFG. So, whatever formula is adopted, it would still provide each school with the Minimum Funding Guarantee at the level indicated.

**Table 4: Summary of scenarios provided school by school in Appendix 3**

Proposal and Illustration	High Needs contribution	How is the funding allocated?	MFG	Available to schools	%age increase on standstill of £252.7m
A.1	£0m	LOCAL + NFF ELEMENTS	+0.5%	£256.9m	+1.7%
A.1	£0m	LOCAL FORMULA	+0.5%	£256.9m	+1.7%
B.1	£2m	LOCAL + NFF ELEMENTS	+0.25%	£254.5m	+0.7%
B.1	£2m	LOCAL FORMULA	+0.25%	£254.5m	+0.7%
C.1	£3.4m	LOCAL FORMULA DRIVEN BY MFG	+0.3%	£253.5m	+0.3%
A.2	£0m	LOCAL + NFF ELEMENTS	+0.5%	£255.6m	+1.1%
A.2	£0m	LOCAL FORMULA	+0.5%	£255.6m	+1.1%
B.2	£2m	LOCAL FORMULA DRIVEN BY MFG	+0.25%	£253.2m	+0.2%
C.2	£3.4m	LOCAL FORMULA DRIVEN BY MFG	-0.2%	£252.2m	-0.2%

- 5.10 As in previous years, the Minimum Funding Guarantee will prevail and it will protect many schools' per pupil funding. The Local Authority is able to set the MFG protection at anywhere between -1.5% and +0.5%. Schools Forum indicated that they would prefer a positive (ie higher than 0%) MFG for 2019/20. In some scenarios, outlined in Table 4, there may be little or no extra funding beyond the Minimum Funding Guarantee. For instance, in C.1 above, there is only £0.8m more funding than a standstill, so a positive MFG of 0.3% distributes all the available funding, leaving none to be distributed beyond that. C.2 above would provide -£0.5m less funding than a standstill, so the MFG cannot be positive. ,
- 5.11 The 9 scenarios in Appendix 3b use the October 2017 pupil numbers. For growing schools, we have included the part-year assumptions about new pupils in the 2018/19 formula, as if all of them would appear on the October 2018 census. For growing schools, we have assumed 7/12ths of an extra year where the school is still expected to have extra year-groups beyond October 2018.
- 5.12 Variations in pupil numbers and the impact of a different profile of pupil characteristics on the workings of the formula will have an effect which will not be known until the October 2018 census data is available in mid-December 2018. Schools need to be cautious in assuming that these illustrations would be replicated if their pupil profile were to change.
- 5.13 Where there are alternative scenarios (A.1, A.2 and B.1), just under half of schools in each case gain from the LOCAL + NFF ELEMENTS approach. These are not necessarily schools who would also gain under the NFF: the NFF involves reductions in the lump sum and in the age-weighted pupil unit values for primary and Key Stage 3 pupils, and this has not been reflected in the proposals here.
- 5.14 Just over a third gain through sticking with the LOCAL FORMULA. Around one in six schools neither gains nor loses under each model, because the MFG over-rides whichever formula distribution mechanism is used.

- 5.15 So, the main choice on the local formula is whether, in circumstances where there is sufficient funding beyond the Minimum Funding Guarantee level, you would prefer that extra funding to be allocated on the basis of the existing formula weightings or move the funding values for deprivation, prior-attainment and English as an Additional Language factors closer to those values in the NFF.

**Consultation Questions – Arrangements for mainstream funding formula 2019/20**

6. If there is sufficient funding beyond a standstill budget would you prefer to distribute that through the local formula pupil factors? Or through the difference between the local and NFF values for Deprivation, EAL and Prior-Attainment?
7. The Schools Forum proposed that the Minimum Funding Guarantee be greater than zero (up to a maximum of 0.5%) if there is sufficient funding to achieve that. Do you have any comments on that approach?
8. Do you have any other comments you wish to make about the arrangements for the operation of the mainstream funding formula that decision-makers should take into account for 2019/20?

**6. ISSUE 3: De-delegation of funding for services to maintained primary and secondary schools 2019/20**

- 6.1 In order for mainstream schools and academy schools to be funded on the same basis, the funding for a limited number of services have been identified by the DfE as services that must be delegated to schools as part of the initial funding formula.
- 6.2 Maintained schools only, by a majority vote of the Schools Forum in each sector, can opt to “de-delegate” the funding for their sector. Where funding is de-delegated the authority will hold the funds centrally for the agreed services, with that funding spent on that sector only.
- 6.3 The agreed retention will not then be paid to maintained schools in the formula allocation.

**Result of last year’s consultation**

- 6.4 During 2017-18 the authority consulted mainstream schools on proposals for de-delegation in 2018-19. The result of the consultation was provided to Schools Forum to inform the vote. The criteria for pooling the resources were that retention was for the benefit of all, provided economies of scale and value for money.
- 6.5 **Table 5** shows the funds de-delegation by maintained schools as part of the 2018-19 formula allocation, whilst **Table 6** shows the indicative 2019-20 delegated funding values.

**Table 5: 2018-19 funding from de-delegation**

2018-2019 De-delegation following consultation	Primary		Secondary	
	Total £000	£ Per Pupil	Total £000	£ Per Pupil
<b>‘Insurance’ type Services</b>				
Employee Insurance and Premises Insurance	599	<b>31.06</b>	113	39.38
Assessment of Eligibility for free school meals	22	<b>1.14</b>	3	1.14
Maternity Supply Cover	533	<b>27.68</b>	115	40.20
Schools In Financial Difficulty	100	<b>5.17</b>	n/a	n/a
Trades Union Facility Time	74	<b>3.85</b>	11	3.85
Health & Safety Roving Representatives	18	<b>0.91</b>	3	0.91
<b>Services to Schools</b>				
Educational Psychology	106	<b>5.48</b>	16	5.48
<b>Total de-delegated</b>	<b>1,451</b>	<b>75.29</b>	<b>261</b>	<b>90.96</b>

**Table 6: Indicative 2019-20 delegated funding values.**

	Primary				
	Per Pupil £	200 Pupils £	400 pupils £	600 pupils £	800 pupils £
<b>'Insurance' type Services</b>					
Employee & Premises Insurance	31.06	6,212	12,424	18,636	24,848
Assessment of Eligibility for free school meals	1.14	228	456	684	912
Maternity Supply Cover	27.68	5,536	11,072	16,608	22,144
Schools In Financial Difficulty	5.17	1,034	2,068	3,102	4,136
TU Facility Time	3.85	770	1,540	2,310	3,080
Health & Safety Roving Reps	0.91	182	364	546	728
<b>Services to Schools</b>					
Educational Psychology	5.48	1,096	2,192	3,288	4,384
	Secondary				
	£ per Pupil £	600 pupils £	800 Pupils £	1,000 Pupils £	1,200 Pupils £
<b>'Insurance' type Services</b>					
Employee & Premises Insurance	39.38	23,628	31,504	39,380	47,256
Assessment of Eligibility for free school meals	1.14	684	912	1,140	1,369
Maternity Supply cover	40.20	24,120	32,160	40,200	48,240
TU Facility Time	3.85	2,310	3,080	3,850	4,620
Health & Safety Roving Reps	0.91	546	728	910	1,092
<b>Services to Schools</b>					
Education Psychology	5.48	3,288	4,384	5,480	6,576

## APPENDIX 1

Tables illustrating impact of transferring funding to High Needs Budget (or not) in 2019/20							
ILLUSTRATION 1 (indicative growth)	Unadjusted Schools Block totals £m	Proposal A.1		Proposal B.1		Proposal C.1	
		No transfer to HNB from SB		£2m transfer to HNB from SB		£3.4m transfer to HNB from SB	
		Movement from unadjusted £m	Revised total £m	Movement from unadjusted £m	Revised total £m	Movement from unadjusted £m	Revised total £m
NFF mainstream	253.7	3.2	256.9	0.8	254.5	-0.2	253.5
Growth INDICATIVE value	5.2	-3.2	2.0	-3.2	2.0	-3.2	2.0
Transfer to High Needs	0.0	0.0	0.0	2.0	2.0	3.4	3.4
<b>Total Schools Block with indicative growth</b>	<b>258.9</b>	<b>0.0</b>	<b>258.9</b>	<b>-0.4</b>	<b>258.5</b>	<b>0.0</b>	<b>258.9</b>
Percentage increase in mainstream formula, compared with standstill of £252.7m			1.7%		0.7%		0.3%
ILLUSTRATION 2 (Minimum growth)	Unadjusted Schools Block totals £m	Proposal A.2		Proposal B.2		Proposal C.2	
		No transfer to HNB from SB		£2m transfer to HNB from SB		£3.4m transfer to HNB from SB	
		Movement from unadjusted £m	Revised total £m	Movement from unadjusted £m	Revised total £m	Movement from unadjusted £m	Revised total £m
NFF mainstream	253.7	1.9	255.6	-0.5	253.2	-1.5	252.2
Growth MINIMUM value	3.9	-1.9	2.0	-1.9	2.0	-1.9	2.0
Transfer to High Needs	0.0	0.0	0.0	2.0	2.0	3.4	3.4
<b>Total Schools Block with minimum growth</b>	<b>257.6</b>	<b>0.0</b>	<b>257.6</b>	<b>-0.4</b>	<b>257.2</b>	<b>0.0</b>	<b>257.6</b>
Percentage increase in mainstream formula, compared with standstill of £252.7m			1.1%		0.2%		-0.2%
Summary position on transfer to HNB		Scenario 1: Revised total £m		Scenario 2: Revised total £m		Scenario 3: Revised total £m	
Transfer from Schools Block (same for each illustration above)		0.0		2.0		3.4	
Prudential Borrowing allocation in Central Schools Services Block		0.6		0.6		0.6	
<b>Total available for transfer?</b>		<b>0.6</b>		<b>2.6</b>		<b>4.0</b>	



### **Schools Funding Arrangements for 2019/20 Financial Year consultation**

#### **Summary report 1 – Transfer of funding between blocks 2019/20**

Consultation with schools on schools block funding arrangements for 2019/20 ran from 19/10/2018 to 07/11/2018. This report deals with the first 5 questions regarding the options for transferring funding between DSG Blocks.

#### **Contents**

#### **Response level**

**Question 1:** Do you agree with a financial transfer of up to £2m from the Schools Block to the High Needs Block for 2019/20 financial year?

**Question 2:** Are there any factors that you would want decision-makers to take into account if the authority was to seek to make such a transfer?

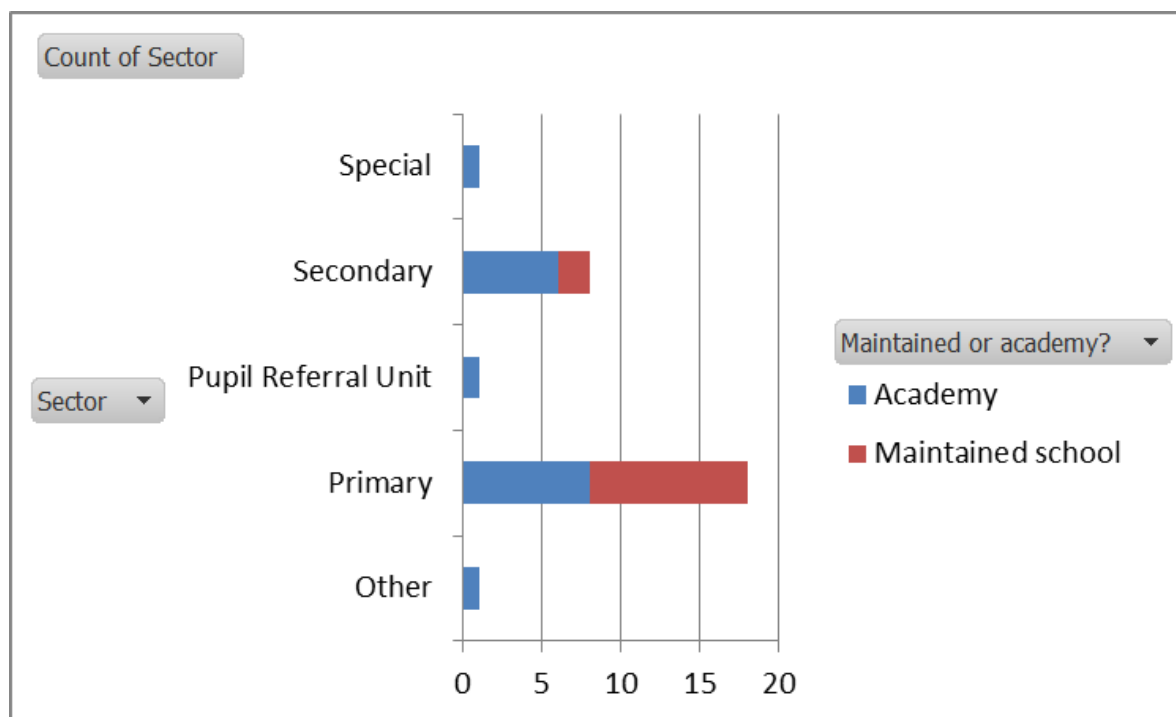
**Question 3:** Do you agree with a transfer of up to £3.4m from the Schools Block to the High Needs Block for 2019/20 financial year?

**Question 4:** Are there any factors that you would want decision-makers to take into account if the authority was to seek to make such a transfer?

**Question 5:** Do you have any comments relating to any other aspect of the possible transfer of funding between blocks?

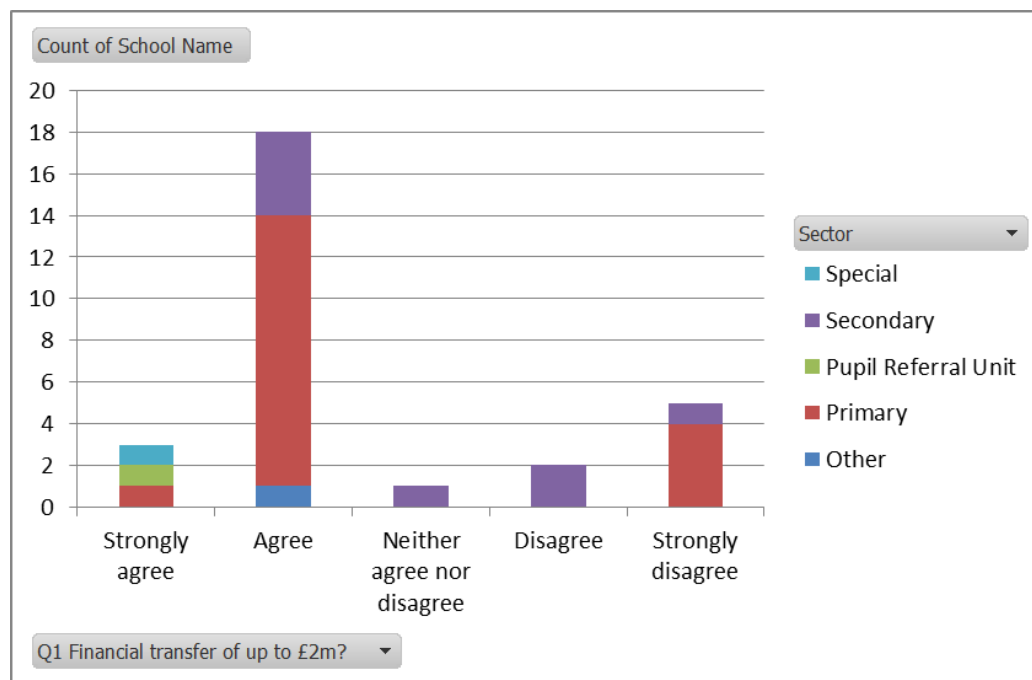


## Responses by sector and maintained / academy to this part of the consultation





## Question 1: Do you agree with a financial transfer of up to £2m from the Schools Block to the High Needs Block for 2019/20 financial year?



Option	Total	Percent
Strongly agree	3	10.34%
Agree	18	62.07%
Neither agree nor disagree	1	3.45%
Disagree	2	6.90%
Strongly disagree	5	17.24%
<b>Total</b>	<b>29</b>	<b>100%</b>



## Question 2: Are there any factors that you would want decision-makers to take into account if the authority was to seek to make such a transfer?

Sector	Maintained or academy?	Q1 Financial transfer of up to £2m?	Q2 Factors for decision-makers to take into account if £2m pursued.
Primary	Maintained school	Strongly disagree	<p>Schools are ever more stretched on the budgets we already have. What schools can offer to pupils with SEND is becoming more challenging and moving money from one education budget to another will not tackle the problem. More funding is needed in order to meet the needs of the communities we serve.</p> <p>Taking money out of the schools block will directly impact on children - in the last two years I have had to manage change due to reduced budgets this has come at a time when I have needed to take on additional classes therefore I have more pupils with fewer staff.</p> <p>Schools are being asked to do more and more and are always willing to do this because it impacts on the children however this cannot go on forever.</p> <p>I am worried that without investing more money into the overall education budget that our most vulnerable pupils will get a worse deal over time.</p>
Primary	Maintained school	Agree	Depends on any chances to the formula
Secondary	Maintained school	Strongly disagree	It is really important to protect the AWPU at a time of increased budgetary pressures on schools as we don't yet know what impact the NFF will have and what impact the increased pension contributions will have.
Secondary and Primary mix - joint response of 8 schools	Academy	Agree	The transfer should be temporary and not an ongoing transfer every year. Expenditure needs to be in line with the high needs budget moving forward.

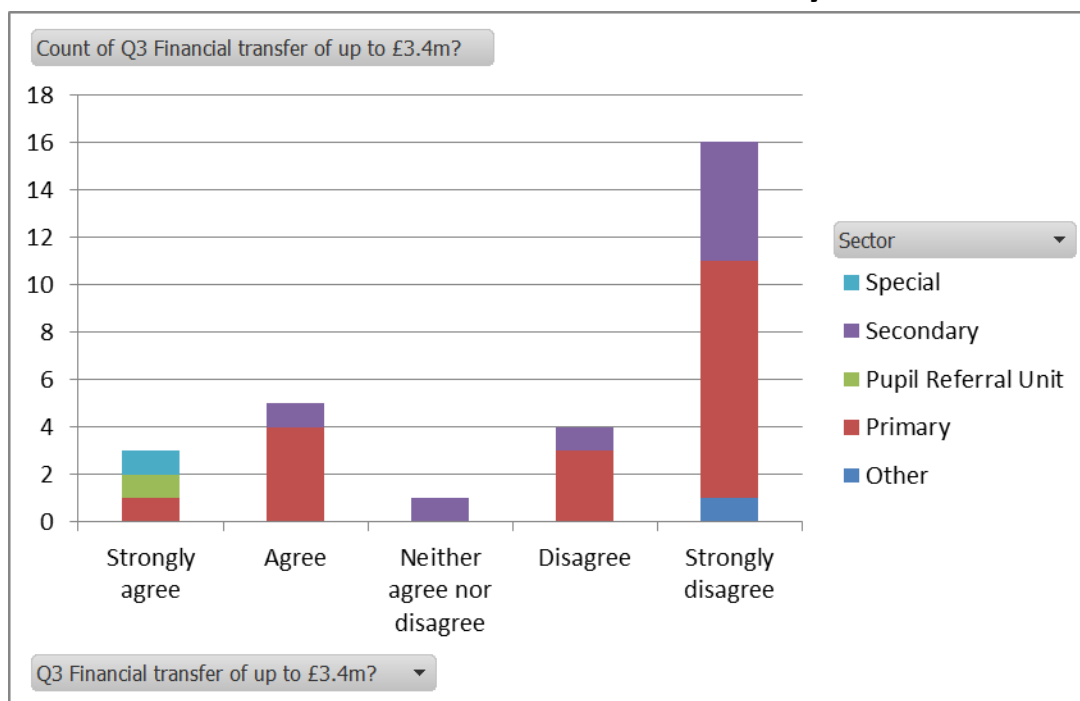


## Question 2: Are there any factors that you would want decision-makers to take into account if the authority was to seek to make such a transfer? (continued)

Sector	Maintained or academy?	Q1 Financial transfer of up to £2m?	Q2 Factors for decision-makers to take into account if £2m pursued.
Secondary	Academy	Disagree	Material transfers between blocks are not sustainable in the medium-to-long term. We therefore believe that any transfer from the Schools Block in 19/20 should be significantly less than the 18/19 transfer (£2m). Other solutions (use of 18/19 understandings; extending the period over which any deficit is recovered; reductions in High Needs spending; BCC general fund contributions) should be considered.
Primary	Maintained school	Strongly disagree	<p>What modelling has the LA undertaken to assess the impact on schools functions?</p> <p>Further concerns about future funding decisions- eg - would this be a move to resolve future funding shortfalls in a similar fashion?</p> <p>School governors do not recognise the validity of this option to transfer the core money for children into high needs.</p> <p>Governors would like to know what further options are for the authority - and the long term plan for this aspect of the local authorities core responsibilities.</p>
Secondary	Academy	Agree	The need to bring the HN Budget closer to the allocated funding
Pupil Referral Unit	Academy	Strongly agree	It is unfortunate that any decision needs to be made to transfer money from one part of Education to another - there is simply not enough money coming from central Government. It is purely in the interests of our young people that we think that this transfer should be made.
Special	Academy	Strongly agree	It is unfortunate that any decision needs to be made to transfer money from one part of Education to another - there is simply not enough money coming from central Government. It is purely in the interests of our young people that we think that this transfer should be made.



### Question 3: Do you agree with a transfer of up to £3.4m from the Schools Block to the High Needs Block for 2019/20 financial year?



Option	Total	Percent
Strongly agree	3	10.34%
Agree	5	17.24%
Neither agree nor disagree	1	3.45%
Disagree	4	13.79%
Strongly disagree	16	55.17%
<b>Total</b>	<b>29</b>	<b>100%</b>



## Question 4: Are there any factors that you would want decision-makers to take into account if the authority was to seek to make such a transfer?

Sector	Maintained or academy?	Q3 Financial transfer of up to £3.4m?	Q4 Factors for decision-makers to take into account if £3.4m pursued.
Primary	Maintained school	Strongly disagree	<p>Schools are ever more stretched on the budgets we already have. What schools can offer to pupils with SEND is becoming more challenging and moving money from one education budget to another will not tackle the problem. More funding is needed in order to meet the needs of the communities we serve.</p> <p>Taking money out of the schools block will directly impact on children - in the last two years I have had to manage change due to reduced budgets this has come at a time when I have needed to take on additional classes therefore I have more pupils with fewer staff.</p> <p>Schools are being asked to do more and more and are always willing to do this because it impacts on the children however this cannot go on forever.</p> <p>I am worried that without investing more money into the overall education budget that our most vulnerable pupils will get a worse deal over time.</p>
Primary	Maintained school	Strongly disagree	It would damage schools
Secondary	Maintained school	Strongly disagree	It is really important to protect the AWPU at a time of increased budgetary pressures on schools as we don't yet know what impact the NFF will have and what impact the increased pension contributions will have.
Secondary and Primary mix - joint response of 8 schools	Academy	Strongly disagree	Anything above £2m will have too big an impact on all schools and the high needs block should not be used to supplement the school block. Whereas £2m is acceptable on a temporary basis.



## Question 4: Are there any factors that you would want decision-makers to take into account if the authority was to seek to make such a transfer? (Continued)

Sector	Maintained or academy?	Q3 Financial transfer of up to £3.4m?	Q4 Factors for decision-makers to take into account if £3.4m pursued.
Secondary	Academy	Strongly disagree	Material transfers between blocks are not sustainable in the medium-to-long term. We therefore believe that any transfer from the Schools Block in 19/20 should be significantly less than the 18/19 transfer (£2m). Other solutions (use of 18/19 understandings; extending the period over which any deficit is recovered; reductions in High Needs spending; BCC general fund contributions) should be considered.
Primary	Maintained school	Strongly disagree	What modelling has the LA undertaken to assess the impact on schools functions? Further concerns about future funding decisions- eg - would this be a move to resolve future funding shortfalls in a similar fashion? School governors do not recognise the validity of this option to transfer the core money for children into high needs. Governors would like to know what further options are for the authority - and the long term plan for this aspect of the local authorities core responsibilities.
Secondary	Academy	Disagree	Part of the reason for the HN shortfall is the judicial ruling that £5 cost reductions cannot be made in 18/19. This shortfall was a result of BCC failure and should not be charged ot schools
Pupil Referral Unit	Academy	Strongly agree	It is unfortunate that any decision needs to be made to transfer money from one part of Education to another - there is simply not enough money coming from central Government. It is purely in the interests of our young people that we think that this transfer should be made.
Special	Academy	Strongly agree	It is unfortunate that any decision needs to be made to transfer money from one part of Education to another - there is simply not enough money coming from central Government. It is purely in the interests of our young people that we think that this transfer should be made.



## Question 5: Do you have any comments relating to any other aspect of the possible transfer of funding between blocks?

Sector	Maintained or academy?	Q5 Any other comments on transferring?
Primary	Maintained school	Transferring money between blocks is not a long term plan. The notional budget for SEND can cause some real difficulties - especially if there are a significant proportion of pupils with SEND in your school. The strategies and resources that are being used in mainstream schools are supporting inclusion and are incredibly creative. This makes it look as though schools are managing and coping well where in reality schools are really squeezed financially.
Secondary	Maintained school	I'm happy for the growth fund surplus to be used
Secondary	Maintained school	It would seem more appropriate given that issues have arisen with regards to a deficit budget within High Needs Block that schools are allocated the additional monies and they are recouped on a by need basis dependent on services required.
Secondary and Primary mix joint response of 8 schools	Academy	Agreed on an annual basis.
Secondary	Academy	Maximising funding through the formula will, in the short-to-medium term, maximise the level of funding for Bristol schools through the MFG arrangements.
Special	Academy	An amount needs to be transferred from one block to another. The preference is for the higher amount of £3.4m.

## Appendix 2

### Formula totals

	2018-19 Actual	2018-19 NFF
Basic entitlement	182,690,599	173,309,203
Deprivation	24,562,803	28,163,498
EAL	3,267,499	3,372,211
Prior attainment	12,829,696	19,186,968
Lump Sum	15,875,000	14,170,190
Split Sites	569,867	569,867
Rates	2,335,800	2,335,800
PFI	6,099,859	6,099,859
<b>Basic formula allocation</b>	<b>248,231,124</b>	<b>247,207,596</b>
pupil funding level	n/a	31,153
Net MFG adjustment	3,191,876	3,828,527
<b>Total Allocation</b>	<b>251,423,000</b>	<b>251,067,276</b>
De-delegation	- 1,712,756	- 1,712,756
<b>Post De-delegation budget</b>	<b>249,710,244</b>	<b>249,354,520</b>
Growth Fund	2,000,000	2,000,000

### Formula unit values

	2018-19 Local	2019-20 NFF	Variance	2019-20 NFF Values	ACA (Bristol)
Basic Entitlement (Primary)	2,955.05	2,786.35	168.69	2,746.99	1.01433
Basic Entitlement (KS3)	4,215.76	3,918.00	297.76	3,862.65	1.01433
Basic Entitlement (KS4)	4,445.92	4,448.66	(2.73)	4,385.81	1.01433
Free School Meals (Primary)	246.18	446.31	(200.12)	440.00	1.01433
Free School Meals(Secondary)	272.58	446.31	(173.73)	440.00	1.01433
Free School Meals Ever 6 (Primary)	n/a	547.74	(547.74)	540.00	1.01433
Free School Meals Ever 6(Secondary)	n/a	796.25	(796.25)	785.00	1.01433
IDACI (P F)	352.62	202.87	149.76	200.00	1.01433
IDACI (P E)	423.15	243.44	179.71	240.00	1.01433
IDACI (P D)	564.19	365.16	199.04	360.00	1.01433
IDACI (P C)	705.24	395.59	309.65	390.00	1.01433
IDACI (P B)	846.29	426.02	420.27	420.00	1.01433
IDACI (P A)	1,410.48	583.24	827.24	575.00	1.01433
IDACI (S F)	352.62	294.16	58.47	290.00	1.01433
IDACI (S E)	423.15	395.59	27.56	390.00	1.01433
IDACI (S D)	564.19	522.38	41.81	515.00	1.01433
IDACI (S C)	705.24	568.02	137.22	560.00	1.01433
IDACI (S B)	846.29	608.60	237.69	600.00	1.01433
IDACI (S A)	1,410.48	821.61	588.88	810.00	1.01433
eal2 (P)	807.62	n/a	807.62	n/a	
eal2 (S)	1,211.43	n/a	1,211.43	n/a	
EAL3 (P)	n/a	522.38	(522.38)	515.00	1.01433
EAL3 (S)	n/a	1,404.85	(1,404.85)	1,385.00	1.01433
Low Attainment (P)	706.67	1,036.65	(329.98)	1,022.00	1.01433
Low Attainment (S)	1,009.53	1,572.21	(562.68)	1,550.00	1.01433
Lump Sum	125,000.00	111,576.30	13,423.70	110,000.00	1.01433

## APPENDIX 3A

### Components of the formula for producing the illustrations in Appendix 3b

This spreadsheet gives the data used to run the illustrations. There is an explanation at the bottom to assist in understanding how this works.

54,046      234,348,662      224,787,065      6,307,255      3,377,901      2,401,764      15,875,000      252,748,986      250      250

A	B	C	D	E	F	G	H	I	J	K	L	M
		Data		£'000	Standstill budget £'000						Adjustments	Adjustments
DfE number	School	Pupil numbers	Per pupil MFG value 2018-19	0% MFG baseline	Formula elements without PFI within MFG	PFI factor within MFG	MFG adjustment	Rates	Lump sum	Total funding	Value of £0.250m added by LOCAL ELEMENTS (£'000)	Value of £0.250m allocated on NFF ELEMENTS (£'000)
8012001	Brunel Field Primary School	414	£3,305.88	£1,369	£1,369	£0.00	£0.00	£64.36	£125	£1,558	1.487	0.674
8012002	Cheddar Grove Primary School	405	£3,654.65	£1,480	£1,480	£0.00	£0.00	£10.60	£125	£1,616	1.650	1.734
8012003	Ashley Down Primary School	412	£3,278.02	£1,351	£1,351	£0.00	£0.00	£21.77	£125	£1,497	1.506	0.814
8012004	Ashton Gate Primary School	697	£3,242.70	£2,260	£2,260	£0.00	£0.00	£73.03	£125	£2,458	2.477	0.995
8012005	Ashton Vale Primary School	198	£3,528.06	£699	£699	£0.00	£0.00	£1.77	£125	£825	0.779	0.694
8012006	Nova Primary School	365	£3,874.68	£1,414	£1,414	£0.00	£0.00	£29.46	£125	£1,569	1.577	2.055
8012010	Fonthill Primary School	200	£4,032.30	£806	£796	£0.00	£10.57	£14.58	£125	£946	0.887	1.254
8012018	Broomhill Junior School	189	£3,591.53	£679	£679	£0.00	£0.00	£12.91	£125	£817	0.757	0.736
8012019	St Werburgh's Primary School	302	£3,883.54	£1,173	£1,163	£0.00	£9.37	£36.67	£125	£1,335	1.259	1.451
8012020	Chester Park Junior School	248	£3,717.72	£922	£922	£0.00	£0.00	£35.57	£125	£1,083	1.028	1.158
8012021	Chester Park Infant School	217	£4,060.00	£881	£881	£0.00	£0.00	£21.38	£125	£1,027	0.982	1.468
8012023	Hillcrest Primary School	412	£3,200.86	£1,319	£1,319	£0.00	£0.00	£19.40	£125	£1,463	1.470	0.620
8012027	Shirehampton Primary School	417	£3,784.34	£1,578	£1,578	£0.00	£0.00	£19.67	£125	£1,723	1.752	2.078
8012028	Two Mile Hill Primary School	575	£3,575.19	£2,056	£2,056	£0.00	£0.00	£28.59	£125	£2,209	2.292	2.183
8012037	Glenfrome Primary School	361	£3,851.62	£1,390	£1,364	£0.00	£26.88	£18.64	£125	£1,534	1.520	1.817
8012041	Henleaze Infant School	270	£3,161.51	£854	£854	£0.00	£0.00	£21.09	£125	£1,000	0.952	0.341
8012052	Luckwell Primary School	218	£3,281.75	£715	£715	£0.00	£0.00	£3.76	£125	£844	0.798	0.436
8012069	St Anne's Infant School	264	£3,732.07	£985	£952	£0.00	£33.66	£20.50	£125	£1,131	1.061	1.050
8012073	Sefton Park Infant School	178	£3,342.22	£595	£595	£0.00	£0.00	£24.28	£125	£744	0.663	0.422
8012074	Sefton Park Junior School	235	£3,136.23	£737	£737	£0.00	£0.00	£0.00	£125	£862	0.822	0.261
8012079	Southville Primary School	447	£3,416.20	£1,527	£1,527	£0.00	£0.00	£61.79	£125	£1,714	1.663	1.044
8012081	Summerhill Infant School	261	£3,728.17	£973	£973	£0.00	£0.00	£17.26	£125	£1,115	1.085	1.235
8012086	Upper Horfield Primary School	191	£4,084.71	£780	£756	£0.00	£23.76	£15.97	£125	£921	0.843	1.175
8012098	Holymead Primary School	600	£3,423.78	£2,054	£2,054	£0.00	£0.00	£34.67	£125	£2,214	2.249	1.495
8012109	Brentry Primary School	207	£3,716.56	£769	£769	£0.00	£0.00	£14.02	£125	£908	0.858	0.965
8012115	Broomhill Infant School & Children's Ce	175	£3,652.26	£639	£639	£0.00	£0.00	£16.89	£125	£781	0.713	0.747
8012130	Wansdyke Primary School	209	£3,564.38	£745	£745	£0.00	£0.00	£9.16	£125	£879	0.830	0.780
8012138	Elmlea Infant School	270	£3,232.02	£873	£873	£0.00	£0.00	£8.89	£125	£1,007	0.973	0.458
8012139	Cabot Primary School	185	£4,697.79	£869	£810	£0.00	£58.63	£21.07	£125	£1,015	0.904	1.615
8012299	Hannah More Primary School	367	£4,492.17	£1,649	£1,610	£0.00	£38.47	£15.90	£125	£1,790	1.795	3.218
8012312	Bishop Road Primary School	803	£3,223.69	£2,589	£2,589	£0.00	£0.00	£67.24	£125	£2,781	2.842	1.081
8012314	Blaise Primary and Nursery School	398	£3,970.81	£1,580	£1,580	£0.00	£0.00	£23.40	£125	£1,729	1.762	2.475
8012320	Compass Point: South Street School and	240	£4,202.14	£1,009	£951	£0.00	£57.41	£1.56	£125	£1,135	1.060	1.481

A	B	C	D	E	F	G	H	I	J	K	L	M
		Data		£'000	Standstill budget £'000						Adjustments	Adjustments
DfE number	School	Pupil numbers	Per pupil MFG value 2018-19	0% MFG baseline	Formula elements without PFI within MFG	PFI factor within MFG	MFG adjustment	Rates	Lump sum	Total funding	Value of £0.250m added by LOCAL ELEMENTS (£'000)	Value of £0.250m allocated on NFF ELEMENTS (£'000)
8012326	Fair Furlong Primary School	403	£4,465.88	£1,800	£1,800	£0.00	£0.00	£9.74	£125	£1,934	2.006	3.727
8012327	May Park Primary School	685	£4,138.55	£2,835	£2,773	£0.00	£61.73	£75.68	£125	£3,036	3.092	4.584
8012328	Whitehall Primary School	499	£3,743.22	£1,868	£1,868	£0.00	£0.00	£65.59	£125	£2,058	2.082	2.407
8012336	Millpond Primary School	207	£4,649.07	£962	£902	£0.00	£60.32	£23.33	£125	£1,111	1.006	1.777
8012338	Badocks Wood Primary School & Childr	246	£4,229.83	£1,041	£1,010	£0.00	£30.61	£12.94	£125	£1,178	1.126	1.732
8013000	Avonmouth Church of England Primary	198	£3,735.09	£740	£715	£0.00	£24.65	£12.08	£125	£877	0.797	0.794
8013008	Horfield Church of England Primary Sch	418	£3,409.22	£1,425	£1,425	£0.00	£0.00	£33.29	£125	£1,583	1.589	1.162
8013010	St Barnabas Church of England VC Prim	184	£4,151.38	£764	£764	£0.00	£0.00	£18.61	£125	£907	0.852	1.347
8013013	St George Church of England Primary Sc	74	£3,926.10	£291	£282	£0.00	£8.19	£1.99	£125	£418	0.314	0.383
8013014	St Johns Church of England Primary Sch	477	£3,271.37	£1,560	£1,560	£0.00	£0.00	£31.92	£125	£1,717	1.700	0.704
8013018	St Michael's on the Mount Church of Er	171	£3,892.32	£666	£666	£0.00	£0.00	£6.84	£125	£797	0.742	0.981
8013400	School of Christ The King Catholic Prima	210	£4,434.64	£931	£931	£0.00	£0.00	£1.42	£125	£1,058	1.038	1.902
8013401	Holy Cross RC Primary School	170	£3,855.45	£655	£655	£0.00	£0.00	£7.08	£125	£788	0.731	0.937
8013402	Ss Peter and Paul RC Primary School	209	£3,432.50	£717	£717	£0.00	£0.00	£2.62	£125	£845	0.800	0.611
8013403	St Bernard's Catholic Primary School	200	£3,709.95	£742	£742	£0.00	£0.00	£0.51	£125	£867	0.827	0.924
8013405	St Joseph's Catholic Primary School	211	£3,672.67	£775	£775	£0.00	£0.00	£3.45	£125	£903	0.864	0.927
8013412	Our Lady of the Rosary Catholic Primary	212	£3,999.54	£848	£848	£0.00	£0.00	£13.68	£125	£987	0.945	1.355
8013413	St Pius X RC Primary School	120	£4,678.97	£561	£561	£0.00	£0.00	£6.72	£125	£693	0.626	1.266
8013415	St Bernadette Catholic Voluntary Aided	204	£3,600.61	£735	£735	£0.00	£0.00	£2.93	£125	£862	0.819	0.806
8013417	St Bonaventure's Catholic Primary Scho	400	£3,357.60	£1,343	£1,343	£0.00	£0.00	£13.68	£125	£1,482	1.497	0.986
8013433	Stoke Park Primary School	198	£3,912.90	£775	£775	£0.00	£0.00	£22.23	£125	£922	0.864	1.161
8013437	Bridge Farm Primary School	599	£3,434.10	£2,057	£2,057	£0.00	£0.00	£19.58	£125	£2,202	2.293	1.756
8013438	Knowle Park Primary School	624	£3,697.61	£2,307	£2,307	£0.00	£0.00	£23.97	£125	£2,456	2.572	2.836
8013439	Sea Mills Primary School	200	£3,886.15	£777	£758	£0.00	£19.71	£32.33	£125	£935	0.844	1.019
8013441	Air Balloon Hill Primary School	809	£3,432.76	£2,777	£2,777	£0.00	£0.00	£58.31	£125	£2,960	3.096	2.366
8013442	St Peter's Church of England Primary Sc	415	£4,056.39	£1,683	£1,683	£0.00	£0.00	£16.38	£125	£1,825	1.877	2.798
8014030	Ashton Park School	1,070	£4,836.62	£5,175	£5,175	£0.00	£0.00	£40.67	£125	£5,341	5.769	3.480
8014603	St Mary Redcliffe and Temple School	1,084	£4,811.70	£5,216	£5,216	£0.00	£0.00	£49.70	£125	£5,391	5.797	3.242
8014801	St Bernadette Catholic Secondary Schoo	723	£5,037.05	£3,642	£3,642	£0.00	£0.00	£17.85	£125	£3,785	4.060	3.242
8012013	Begbrook Primary Academy	570	£3,582.32	£2,042	£2,042	£0.00	£0.00	£12.17	£125	£2,179	2.276	2.189
8012017	Waycroft Academy	419	£3,473.99	£1,456	£1,456	£0.00	£0.00	£5.94	£125	£1,587	1.623	1.331
8012022	Colston's Primary School	511	£3,482.47	£1,780	£1,780	£0.00	£0.00	£5.03	£125	£1,910	1.984	1.650
8012029	Ilminster Avenue E-ACT Academy	317	£4,434.92	£1,406	£1,406	£0.00	£0.00	£4.12	£125	£1,535	1.567	2.872
8012030	St Ursula's E-ACT Academy	540	£3,388.57	£1,830	£1,830	£0.00	£0.00	£14.46	£125	£1,969	2.040	1.433
8012034	Filton Avenue Primary School	755	£3,960.05	£2,990	£2,990	£0.00	£0.00	£7.19	£125	£3,122	3.290	4.408
8012038	Oasis Academy Connaught	314	£4,507.58	£1,415	£1,415	£0.00	£0.00	£6.08	£125	£1,546	1.578	2.984
8012040	Henleaze Junior School	383	£3,197.29	£1,225	£1,225	£0.00	£0.00	£4.31	£125	£1,354	1.365	0.568
8012044	Hotwells Primary School	211	£3,273.17	£691	£691	£0.00	£0.00	£3.35	£125	£819	0.770	0.411
8012055	Dolphin School	370	£3,933.56	£1,455	£1,455	£0.00	£0.27	£4.48	£125	£1,585	1.617	2.186
8012056	Oasis Academy Bank Leaze	191	£4,250.64	£812	£794	£0.00	£18.32	£4.77	£125	£942	0.885	1.403
8012061	Parson Street Primary School	406	£3,905.17	£1,585	£1,585	£0.00	£0.00	£6.90	£125	£1,717	1.768	2.361

A	B	C	D	E	F	G	H	I	J	K	L	M
		Data		£'000	Standstill budget £'000						Adjustments	Adjustments
DfE number	School	Pupil numbers	Per pupil MFG value 2018-19	0% MFG baseline	Formula elements without PFI within MFG	PFI factor within MFG	MFG adjustment	Rates	Lump sum	Total funding	Value of £0.250m added by LOCAL ELEMENTS (£'000)	Value of £0.250m allocated on NFF ELEMENTS (£'000)
8012062	Minerva Primary Academy	281	£4,178.19	£1,174	£1,113	£0.00	£61.45	£3.37	£125	£1,302	1.240	1.728
8012064	Frome Vale Academy	169	£4,059.95	£686	£686	£0.00	£0.00	£3.76	£125	£815	0.765	1.143
8012067	Fishponds Church of England Academy	407	£3,829.03	£1,558	£1,558	£0.00	£0.11	£7.19	£125	£1,691	1.737	2.177
8012077	Bannerman Road Community Academy	321	£4,514.69	£1,449	£1,369	£0.00	£80.22	£8.53	£125	£1,583	1.526	2.573
		<b>54,046</b>		<b>£234,349</b>	<b>£224,787</b>	<b>£6,307</b>	<b>£3,378</b>	<b>£2,402</b>	<b>£15,875</b>	<b>£252,749</b>	<b>£250</b>	<b>£250</b>

#### Explanation

The information above provides the information needed to assess the impact of the different scenarios in Appendix 3b.

The standstill budget is based on a grand total of £252.7m (column K) for all funding at 2018/19 values with October 2017 pupil numbers (adjusted for growing schools)

The Minimum Funding Guarantee is illustrated at 0% in Column E (ie the per pupil MFG value (Column D) for those elements of the formula which are within the MFG) multiplied by the pupil numbers (column C)

The illustrations in Appendix 3b provide for a Minimum Funding Guarantee of between -0.2% and +0.5%, ie 99.8% of 2018-19 values or 100.5% of 2018-19 values.

Schools are entitled to the higher of the formula allocation (for those elements within the MFG)(Columns F&G) or the MFG itself (Column E), so there is either a positive MFG adjustment or there is nil in Column H.

Rates (Column I) and lump sum (column J) allocations will be the same for all the iterations of the formula.

There are then three different types of illustration in Appendix 3b:

a) Illustrations where any extra funding beyond a standstill budget is distributed through local, pupil-led factors.

Column L illustrates how much would go through the formula for each school if £0.250m were distributed this way.

Schools would only benefit from any additions if their MFG adjustment (Column G) was lower than the amount distributed.

b) Illustrations where any extra funding beyond a standstill budget is distributed through the difference in the per pupil values in the NFF for deprivation, EAL and Prior Attainment (compared to the local formula)

Column M illustrates how much would go through the formula for each school if £0.250m were distributed this way.

Schools would only benefit from any additions if their MFG adjustment (Column G) was lower than the amount distributed.

Column E (at whatever MFG rates is indicated in the illustration).

APPENDIX 3B

Illustrations of proposals A.1 - C.2

		Proposal A.1	Proposal A.1	Proposal A.1	Proposal B.1	Proposal B.1	Proposal B.1	Proposal C.1	Proposal A.2	Proposal A.2	Proposal A.2	Proposal B.2	Proposal C.2
		£'000s	£'000s		£'000s	£'000s		£'000s	£'000s	£'000s		£'000s	£'000s
total		£256,900	£256,900		£254,500	£254,500		£253,500	£255,600	£255,600		£253,200	£252,200
LA/Estab	School Name	tfr to HN = £0 +0.5% MFG LOCAL + NFF ELEMENTS £'000s	tfr to HN = £0 +0.5% MFG LOCAL ONLY £'000s	Comparison Negative = local only better £'000s	tfr to HN = £2m +0.25% MFG LOCAL + NFF ELEMENTS £'000s	tfr to HN = £2m +0.25% MFG LOCAL ONLY £'000s	Comparison Negative = local only better £'000s	tfr to HN = up to £3.4m 0.3% MFG LOCAL FORMULA DRIVEN BY MFG £'000s	tfr to HN = £0 +0.5% MFG LOCAL + NFF ELEMENTS £'000s	tfr to HN = £0 +0.5% MFG LOCAL ONLY £'000s	Comparison Negative = local only better £'000s	tfr to HN = £2m +0.25% MFG LOCAL FORMULA DRIVEN BY MFG £'000s	tfr to HN = up to £3.4m -0.2% MFG LOCAL FORMULA DRIVEN BY MFG £'000s
8012001	Brunel Field Primary School	£1,572	£1,587	-£15	£1,564	£1,570	-£6	£1,563	£1,567	£1,578	-£10	£1,561	£1,555
8012002	Cheddar Grove Primary School	£1,652	£1,648	£3	£1,631	£1,630	£2	£1,621	£1,640	£1,638	£2	£1,619	£1,613
8012003	Ashley Down Primary School	£1,514	£1,527	-£13	£1,505	£1,510	-£5	£1,502	£1,509	£1,517	-£9	£1,500	£1,495
8012004	Ashton Gate Primary School	£2,479	£2,507	-£28	£2,467	£2,479	-£12	£2,466	£2,472	£2,491	-£19	£2,463	£2,454
8012005	Ashton Vale Primary School	£840	£841	-£1	£832	£832	£0	£828	£835	£835	-£1	£827	£824
8012006	Nova Primary School	£1,611	£1,600	£11	£1,587	£1,582	£5	£1,574	£1,598	£1,590	£8	£1,572	£1,566
8012010	Fonthill Primary School	£961	£953	£8	£948	£948	£0	£949	£953	£950	£3	£948	£944
8012018	Broomhill Junior School	£832	£832	£0	£823	£823	£0	£819	£827	£827	£0	£818	£815
8012019	St Werburgh's Primary School	£1,355	£1,350	£5	£1,338	£1,337	£1	£1,339	£1,346	£1,342	£4	£1,337	£1,332
8012020	Chester Park Junior School	£1,107	£1,103	£4	£1,093	£1,091	£2	£1,086	£1,099	£1,096	£3	£1,085	£1,081
8012021	Chester Park Infant School	£1,058	£1,047	£11	£1,040	£1,036	£5	£1,031	£1,048	£1,040	£8	£1,029	£1,026
8012023	Hillcrest Primary School	£1,476	£1,492	-£16	£1,469	£1,475	-£7	£1,468	£1,472	£1,483	-£11	£1,466	£1,461
8012027	Shirehampton Primary School	£1,766	£1,757	£8	£1,741	£1,737	£4	£1,728	£1,752	£1,746	£6	£1,726	£1,720
8012028	Two Mile Hill Primary School	£2,254	£2,255	£0	£2,229	£2,228	£0	£2,217	£2,240	£2,240	£0	£2,214	£2,205
8012037	Glenfrome Primary School	£1,545	£1,541	£4	£1,538	£1,538	£0	£1,539	£1,541	£1,541	£0	£1,537	£1,531
8012041	Henleaze Infant School	£1,007	£1,019	-£12	£1,003	£1,008	-£5	£1,003	£1,004	£1,012	-£8	£1,002	£998
8012052	Luckwell Primary School	£853	£860	-£7	£848	£851	-£3	£847	£850	£855	-£4	£846	£843
8012069	St Anne's Infant School	£1,136	£1,136	£0	£1,133	£1,133	£0	£1,134	£1,136	£1,136	£0	£1,133	£1,129
8012073	Sefton Park Infant School	£753	£757	-£4	£748	£750	-£2	£746	£750	£753	-£3	£745	£743
8012074	Sefton Park Junior School	£867	£878	-£11	£864	£869	-£5	£865	£866	£873	-£7	£864	£861
8012079	Southville Primary School	£1,735	£1,747	-£11	£1,723	£1,728	-£5	£1,719	£1,729	£1,736	-£7	£1,717	£1,711
8012081	Summerhill Infant School	£1,141	£1,137	£4	£1,126	£1,124	£2	£1,119	£1,133	£1,130	£3	£1,117	£1,113
8012086	Upper Horfield Primary School	£925	£925	£0	£923	£923	£0	£924	£925	£925	£0	£923	£920
8012098	Holymead Primary School	£2,245	£2,258	-£14	£2,227	£2,233	-£6	£2,221	£2,235	£2,244	-£9	£2,218	£2,210
8012109	Brentry Primary School	£928	£925	£3	£917	£916	£1	£911	£922	£920	£2	£910	£907
8012115	Broomhill Infant School & Children's Centre	£796	£795	£1	£788	£787	£1	£783	£792	£790	£1	£782	£780
8012130	Wansdyke Primary School	£895	£896	£0	£886	£886	£0	£882	£890	£890	£0	£881	£878
8012138	Elmlea Infant School	£1,016	£1,026	-£10	£1,011	£1,015	-£4	£1,010	£1,013	£1,019	-£6	£1,008	£1,005
8012139	Cabot Primary School	£1,020	£1,020	£0	£1,017	£1,017	£0	£1,018	£1,020	£1,020	£0	£1,017	£1,013
8012299	Hannah More Primary School	£1,818	£1,798	£20	£1,794	£1,794	£0	£1,795	£1,798	£1,798	£0	£1,793	£1,786
8012312	Bishop Road Primary School	£2,803	£2,837	-£34	£2,790	£2,805	-£14	£2,790	£2,796	£2,819	-£23	£2,786	£2,776
8012314	Blaise Primary and Nursery School	£1,780	£1,764	£16	£1,751	£1,744	£7	£1,734	£1,764	£1,752	£11	£1,732	£1,726
8012320	Compass Point: South Street School and Children's Centre	£1,140	£1,140	£0	£1,138	£1,138	£0	£1,139	£1,140	£1,140	£0	£1,137	£1,133
8012326	Fair Furlong Primary School	£2,012	£1,974	£37	£1,968	£1,951	£16	£1,941	£1,987	£1,961	£26	£1,938	£1,931
8012327	May Park Primary School	£3,069	£3,050	£19	£3,043	£3,043	£0	£3,046	£3,050	£3,050	£0	£3,042	£3,030
8012328	Whitehall Primary School	£2,108	£2,100	£9	£2,080	£2,076	£4	£2,065	£2,092	£2,086	£6	£2,062	£2,055
8012336	Millpond Primary School	£1,115	£1,115	£0	£1,113	£1,113	£0	£1,114	£1,115	£1,115	£0	£1,113	£1,109
8012338	Badocks Wood Primary School & Children's Centre	£1,184	£1,184	£0	£1,181	£1,181	£0	£1,182	£1,184	£1,184	£0	£1,181	£1,176
8013000	Avonmouth Church of England Primary School	£880	£880	£0	£878	£878	£0	£879	£880	£880	£0	£878	£875
8013008	Horfield Church of England Primary School	£1,607	£1,615	-£7	£1,594	£1,597	-£3	£1,588	£1,600	£1,604	-£5	£1,586	£1,580
8013010	St Barnabas Church of England VC Primary School	£935	£924	£11	£919	£915	£5	£910	£926	£919	£8	£906	£906
8013013	St George Church of England Primary School	£419	£419	£0	£418	£418	£0	£419	£419	£419	£0	£418	£417
8013014	St Johns Church of England Primary School, Clifton	£1,732	£1,751	-£19	£1,724	£1,732	-£8	£1,723	£1,727	£1,740	-£13	£1,721	£1,714
8013018	St Michael's on the Mount Church of England Primary School	£818	£812	£6	£806	£804	£3	£800	£811	£807	£4	£799	£796
8013400	School of Christ The King Catholic Primary	£1,097	£1,078	£19	£1,075	£1,066	£8	£1,061	£1,084	£1,071	£13	£1,060	£1,056
8013401	Holy Cross RC Primary School	£807	£802	£5	£796	£794	£2	£790	£801	£797	£3	£789	£786
8013402	Ss Peter and Paul RC Primary School	£858	£861	-£3	£850	£852	-£1	£848	£854	£856	-£2	£847	£844
8013403	St Bernard's Catholic Primary School	£887	£884	£3	£876	£874	£1	£870	£880	£878	£2	£869	£866
8013405	St Joseph's Catholic Primary School	£923	£920	£2	£912	£911	£1	£906	£916	£915	£2	£905	£902
8013412	Our Lady of the Rosary Catholic Primary School, Bristol	£1,015	£1,005	£9	£999	£994	£4	£990	£1,006	£999	£7	£988	£985
8013413	St Pius X RC Primary School	£719	£706	£14	£704	£698	£6	£695	£711	£702	£9	£694	£692
8013415	St Bernadette Catholic Voluntary Aided Primary School	£879	£879	£0	£870	£869	£0	£865	£874	£873	£0	£864	£861
8013417	St Bonaventure's Catholic Primary School	£1,502	£1,511	-£9	£1,490	£1,494	-£4	£1,486	£1,496	£1,502	-£6	£1,485	£1,479
8013433	Stoke Park Primary School	£946	£939	£7	£932	£929	£3	£925	£938	£933	£5	£924	£920
8013437	Bridge Farm Primary School	£2,238	£2,247	-£9	£2,217	£2,221	-£4	£2,209	£2,226	£2,232	-£6	£2,206	£2,197
8013438	Knowle Park Primary School	£2,515	£2,507	£8	£2,482	£2,478	£4	£2,464	£2,496	£2,490	£6	£2,461	£2,452
8013439	Sea Mills Primary School	£938	£938	£0	£937	£937	£0	£937	£938	£938	£0	£936	£933
8013441	Air Balloon Hill Primary School	£3,009	£3,022	-£12	£2,981	£2,986	-£5	£2,970	£2,994	£3,001	-£8	£2,966	£2,955
8013442	St Peter's Church of England Primary School (VC)	£1,883	£1,862	£21	£1,850	£1,840	£9	£1,831	£1,864	£1,850	£14	£1,828	£1,821
8014030	Ashton Park School	£5,413	£5,455	-£42	£5,372	£5,389	-£17	£5,359	£5,390	£5,417	-£28	£5,352	£5,331
8014603	St Mary Redcliffe and Temple School	£5,458	£5,505	-£48	£5,419	£5,439	-£20	£5,409	£5,436	£5,468	-£31	£5,402	£5,380
8014801	St Bernadette Catholic Secondary School	£3,852	£3,865	-£13	£3,813	£3,819	-£5	£3,798	£3,830	£3,839	-£8	£3,792	£3,777
8012013	Begbrook Primary Academy	£2,224	£2,224	£0	£2,199	£2,198	£0	£2,186	£2,210	£2,209	£1	£2,183	£2,175
8012017	Waycroft Academy	£1,614	£1,619	-£5	£1,598	£1,600	-£2	£1,592	£1,605	£1,608	-£3	£1,590	£1,584
8012022	Colston's Primary School	£1,944	£1,949	-£5	£1,924	£1,926	-£2	£1,916	£1,933	£1,936	-£3	£1,913	£1,906
8012029	Ilminster Avenue E-ACT Academy	£1,594	£1,566	£28	£1,561	£1,548	£12	£1,540	£1,575	£1,556	£20	£1,538	£1,532
8012030	St Ursula's E-ACT Academy	£1,999	£2,010	-£11	£1,982	£1,986	-£4	£1,976	£1,989	£1,996	-£7	£1,973	£1,966
8012034	Filton Avenue Primary School	£3,213	£3,187	£26	£3,161	£3,150	£12	£3,133	£3,184	£3,166	£18	£3,128	£3,116
8012038	Oasis Academy Connaught	£1,608	£1,578	£31	£1,573	£1,560	£13	£1,551	£1,588	£1,567	£21	£1,549	£1,544
8012040	Henleaze Junior School	£1,366	£1,381	-£15	£1,359	£1,365	-£6	£1,358	£1,362	£1,372	-£10	£1,356	£1,351
8012044	Hotwells Primary School	£827	£834	-£7	£823	£825	-£3	£821	£825	£829	-£4	£820	£818
8012055	The Dolphin School	£1,630	£1,617	£13	£1,604	£1,598	£6	£1,590	£1,615	£1,606	£9	£1,588	£1,582

Illustrations of proposals A.1 - C.2		Proposal A.1	Proposal A.1	Proposal A.1	Proposal B.1	Proposal B.1	Proposal B.1	Proposal C.1	Proposal A.2	Proposal A.2	Proposal A.2	Proposal B.2	Proposal C.2
total		£'000s £256,900	£'000s £256,900		£'000s £254,500	£'000s £254,500		£'000s £253,500	£'000s £255,600	£'000s £255,600		£'000s £253,200	£'000s £252,200
LA/Estab	School Name	tfr to HN = £0 +0.5% MFG LOCAL + NFF ELEMENTS £'000s	tfr to HN = £0 +0.5% MFG LOCAL ONLY £'000s	Comparison Negative = local only better £'000s	tfr to HN = £2m +0.25% MFG LOCAL + NFF ELEMENTS £'000s	tfr to HN = £2m +0.25% MFG LOCAL ONLY £'000s	Comparison Negative = local only better £'000s	tfr to HN = up to £3.4m 0.3% MFG LOCAL FORMULA DRIVEN BY MFG £'000s	tfr to HN = £0 +0.5% MFG LOCAL + NFF ELEMENTS £'000s	tfr to HN = £0 +0.5% MFG LOCAL ONLY £'000s	Comparison Negative = local only better £'000s	tfr to HN = £2m +0.25% MFG LOCAL FORMULA DRIVEN BY MFG £'000s	tfr to HN = up to £3.4m -0.2% MFG LOCAL FORMULA DRIVEN BY MFG £'000s
8012056	Oasis Academy Bank Leaze	£952	£946	£7	£944	£944	£0	£945	£946	£946	£0	£943	£940
8012061	Parson Street Primary School	£1,766	£1,752	£14	£1,738	£1,732	£6	£1,723	£1,751	£1,741	£10	£1,721	£1,714
8012062	Minerva Primary Academy	£1,308	£1,308	£0	£1,305	£1,305	£0	£1,307	£1,308	£1,308	£0	£1,305	£1,300
8012064	Frome Vale Academy	£839	£830	£9	£825	£821	£4	£817	£831	£825	£6	£816	£814
8012067	Fishponds Church of England Academy	£1,736	£1,725	£11	£1,710	£1,705	£5	£1,696	£1,721	£1,714	£8	£1,694	£1,687
8012077	Bannerman Road Community Academy	£1,590	£1,590	£0	£1,586	£1,586	£0	£1,588	£1,590	£1,590	£0	£1,586	£1,580
8012078	Henbury Court Primary Academy	£1,525	£1,511	£14	£1,500	£1,494	£6	£1,486	£1,511	£1,501	£10	£1,484	£1,478
8012080	Summerhill Academy	£1,380	£1,379	£1	£1,364	£1,363	£1	£1,356	£1,371	£1,370	£1	£1,355	£1,350
8012082	The Kingfisher School	£635	£633	£2	£632	£632	£0	£632	£633	£633	£0	£632	£630
8012087	Cathedral primary School	£1,318	£1,318	£0	£1,315	£1,315	£0	£1,316	£1,318	£1,318	£0	£1,314	£1,310
8012089	Redfield Educate Together Primary Academy	£1,392	£1,392	£0	£1,389	£1,389	£0	£1,390	£1,392	£1,392	£0	£1,388	£1,383
8012091	Westbury Park Primary School	£1,471	£1,489	-£18	£1,465	£1,473	-£8	£1,468	£1,468	£1,480	-£12	£1,463	£1,457
8012092	Oasis Academy Marksbury Road	£1,526	£1,526	£0	£1,522	£1,522	£0	£1,524	£1,526	£1,526	£0	£1,522	£1,516
8012093	Fairlawn Primary School	£1,139	£1,139	£0	£1,137	£1,137	£0	£1,138	£1,139	£1,139	£0	£1,136	£1,132
8012094	Oasis Academy Long Cross	£1,791	£1,768	£23	£1,758	£1,747	£10	£1,738	£1,772	£1,756	£16	£1,736	£1,729
8012099	Headley Park Primary School	£1,732	£1,728	£4	£1,710	£1,708	£2	£1,700	£1,720	£1,717	£3	£1,697	£1,691
8012101	Easton Church of England Academy	£2,370	£2,370	£0	£2,364	£2,364	£0	£2,366	£2,370	£2,370	£0	£2,363	£2,354
8012106	Barton Hill Academy	£2,109	£2,109	£0	£2,104	£2,104	£0	£2,106	£2,109	£2,109	£0	£2,103	£2,095
8012107	Wicklea Academy	£1,168	£1,171	-£3	£1,157	£1,158	-£1	£1,152	£1,162	£1,164	-£2	£1,151	£1,147
8012108	Woodlands Academy	£790	£786	£4	£779	£778	£2	£774	£784	£781	£3	£773	£770
8012110	Harehive E-ACT Academy	£1,996	£1,949	£47	£1,947	£1,927	£20	£1,917	£1,969	£1,937	£32	£1,914	£1,907
8012112	Elmlea Junior School	£1,269	£1,283	-£15	£1,263	£1,269	-£6	£1,265	£1,265	£1,275	-£10	£1,261	£1,256
8012114	St Mary Redcliffe Church of England Primary School	£1,694	£1,689	£5	£1,672	£1,670	£3	£1,661	£1,682	£1,678	£4	£1,659	£1,653
8012118	Perry Court E-Act Academy	£1,625	£1,607	£18	£1,597	£1,589	£8	£1,580	£1,609	£1,597	£12	£1,578	£1,572
8012324	Four Acres Academy	£1,336	£1,317	£19	£1,310	£1,302	£8	£1,304	£1,321	£1,308	£13	£1,302	£1,297
8013003	Christ Church Church of England Primary School	£1,345	£1,357	-£12	£1,337	£1,342	-£5	£1,335	£1,341	£1,348	-£8	£1,333	£1,328
8013025	Stoke Bishop Church of England Primary School	£1,529	£1,538	-£9	£1,517	£1,520	-£3	£1,512	£1,522	£1,528	-£6	£1,510	£1,504
8013026	Westbury-On-Trym Church of England Academy	£1,513	£1,526	-£13	£1,503	£1,509	-£6	£1,501	£1,507	£1,516	-£9	£1,499	£1,493
8013408	St Nicholas of Tolentine Catholic Primary School	£984	£970	£13	£966	£960	£6	£955	£974	£964	£9	£954	£950
8013411	St Patrick's Catholic Primary School	£925	£922	£3	£913	£912	£1	£907	£918	£916	£2	£906	£903
8013414	St Teresa's Catholic Primary School	£954	£949	£5	£941	£938	£2	£934	£946	£943	£4	£933	£929
8013431	Greenfield E-Act Primary Academy	£1,839	£1,810	£29	£1,801	£1,789	£13	£1,779	£1,818	£1,798	£20	£1,777	£1,770
8013432	Little Mead Primary Academy	£1,803	£1,790	£13	£1,775	£1,769	£6	£1,764	£1,787	£1,778	£9	£1,761	£1,755
8013434	Oasis Academy New Oak	£969	£969	£0	£967	£967	£0	£968	£969	£969	£0	£967	£963
8013436	West Town Lane Academy	£2,322	£2,330	-£8	£2,299	£2,303	-£3	£2,290	£2,309	£2,315	-£5	£2,287	£2,278
8013440	Victoria Park Primary School	£1,699	£1,696	£3	£1,678	£1,677	£2	£1,671	£1,687	£1,685	£2	£1,668	£1,662
8014001	Bristol Free School	£4,193	£4,234	-£41	£4,166	£4,183	-£17	£4,160	£4,178	£4,205	-£27	£4,155	£4,138
8014003	Orchard School Bristol	£4,904	£4,896	£8	£4,848	£4,844	£4	£4,836	£4,872	£4,866	£6	£4,829	£4,810
8014007	Oasis Academy Brislington	£5,039	£5,049	-£10	£4,998	£5,002	-£4	£4,967	£5,016	£5,022	-£6	£4,967	£4,943
8014010	The City Academy Bristol	£4,125	£4,125	£0	£4,115	£4,115	£0	£4,119	£4,125	£4,125	£0	£4,113	£4,097
8014031	Henbury School	£3,957	£3,952	£5	£3,938	£3,938	£0	£3,942	£3,948	£3,948	£0	£3,937	£3,921
8014037	Bedminster Down School	£5,884	£5,884	-£1	£5,822	£5,821	£1	£5,775	£5,849	£5,848	£1	£5,775	£5,743
8014100	Cotham School	£5,544	£5,575	-£31	£5,495	£5,507	-£12	£5,477	£5,516	£5,536	-£20	£5,469	£5,447
8014101	Fairfield High School	£5,040	£5,040	£0	£5,028	£5,028	£0	£5,033	£5,040	£5,040	£0	£5,026	£5,006
8014602	St Bede's Catholic College	£4,449	£4,495	-£46	£4,422	£4,441	-£19	£4,416	£4,433	£4,464	-£30	£4,410	£4,393
8014627	Redland Green School	£4,824	£4,903	-£79	£4,813	£4,844	-£31	£4,817	£4,824	£4,869	-£45	£4,811	£4,792
8016907	Bristol Brunel Academy	£7,051	£7,068	-£17	£6,993	£6,999	-£6	£6,948	£7,018	£7,029	-£10	£6,948	£6,912
8016908	Bristol Cathedral Choir School	£3,192	£3,227	-£35	£3,175	£3,190	-£15	£3,173	£3,182	£3,206	-£23	£3,168	£3,156
8016909	Colston's Girls' School	£3,461	£3,498	-£37	£3,441	£3,457	-£15	£3,438	£3,450	£3,475	-£25	£3,433	£3,420
8016911	Oasis Academy John Williams	£4,828	£4,828	£0	£4,816	£4,816	£0	£4,821	£4,828	£4,828	£0	£4,815	£4,795
8016912	Oasis Academy Brightstowe	£4,758	£4,759	-£1	£4,708	£4,708	£0	£4,686	£4,730	£4,730	£0	£4,680	£4,661
8016913	Bristol Metropolitan Academy	£6,228	£6,228	£0	£6,213	£6,213	£0	£6,220	£6,228	£6,228	£0	£6,211	£6,186
8014005	Bridge Learning Campus	£5,819	£5,750	£69	£5,719	£5,688	£30	£5,644	£5,763	£5,715	£48	£5,644	£5,612
8014006	Steiner Academy Bristol	£1,726	£1,730	-£4	£1,709	£1,711	-£2	£1,716	£1,716	£1,719	-£3	£1,700	£1,693
8016910	Merchants' Academy	£5,823	£5,755	£68	£5,716	£5,686	£30	£5,655	£5,763	£5,715	£47	£5,647	£5,624
Count of schools better with local + NFF elements				59			54				55		
Count of schools better with local only				47			44				44		
Count of schools where there is no difference				21			29				28		
				127			127				127		

**Bristol Schools Forum**  
**Schools Block Formula 2019/20**

<b>Date of meeting:</b>	27 <sup>th</sup> November 2018
<b>Time of meeting:</b>	5.00 pm
<b>Venue:</b>	City Hall, Writing Room

**1. Purpose of report**

- 1.1 To advise the Schools Forum of the outcome of the “School Funding Arrangements for 2019-20” consultation, which ran 19<sup>th</sup> October to 7<sup>th</sup> November.
- 1.2 To seek School Forum’s views on the Funding Formula for 2019-20.
- 1.3 To seek agreement from the maintained primary school members of the Forum to the primary school de-delegated services for 2019-20.
- 1.4 To seek agreement from the maintained secondary school members of the Forum to the secondary school de-delegated services for 2019-20

**2. Recommendation**

**Schools Forum is invited to:**

- 2.1 Note the outcomes of the consultation on school funding arrangements.**
- 2.2 Advise on whether it supports the Local Authority’s view of how to apply the local formula for 2019-20 which is set out below:**
  - Existing formula factors to be maintained with weightings to move towards National Funding Formula values for deprivation, EAL and prior attainment
  - Appropriate allocations are made for NNDR
  - A minimum funding guarantee (MFG) greater than 0%
  - No cap on gains
  - Factor values to be a function of the available funding; the factors, the weightings and the final value of the MFG

**Maintained primary school representatives of Schools Forum are invited to:**

**2.3 Agree to de-delegation of the following services at the amounts per pupil indicated in Table 1 for 2019-20:**

- a) Employee and Premises Insurance**
- b) Assessment of eligibility for free school meals**
- c) Maternity supply cover**
- d) Schools in financial difficulty\***
- e) Trades Union facility time**
- f) Health and safety roving reps**
- g) Education psychology**

\*Schools Forum is requested to note that Schools in Financial Difficulty is being offered on a de-delegated basis at nil charge (£0.00) to maintained primary schools in 2019-20. This is a change to previously supplied information. The decision to offer at no cost in 2019-20 was made after the consultation was released and so the consultation documentation and subsequent voting will have occurred at the previously published rate of £5.17 per pupil.

**Maintained secondary school representatives of Schools Forum are invited to:**

**2.4 Agree to de-delegation of the following services at the amounts per pupil indicated in Table 1 for 2019-20:**

- a) Employee and Premises Insurance**
- b) Assessment of eligibility for free school meals**
- c) Maternity supply cover**
- d) Trades Union facility time**
- e) Health and safety roving reps**
- f) Education psychology**

Table 1: de-delegation rates per pupil for primary and secondary schools

	Primary rate £	Secondary rate £
Employee and Premises Insurance	31.06	39.48
FSM Eligibility	1.14	1.14
Maternity Supply Insurance	27.68	40.20
Schools in Financial Difficulty*	0.00	n/a
Trade Union Facility time	3.85	3.85
Health and Safety Roving Reps	0.91	0.91
Educational Psychology	5.48	5.48

### **3. Background**

- 3.1 The ESFA has indicated that the 'hard' National Funding Formula will not be in place until 2021-22 at the very earliest. Until the point a 'hard' NFF is introduced local authorities are responsible for setting the funding formula for maintained schools and academy schools within its area.
- 3.2 As in previous years, the LA is able to choose certain factors and vary the value of all factors (within certain constraints) in determining the local formula. The LA is required to consult and gain the views of Schools Forum in determining the local formula.
- 3.3 The DfE continues to base the allocation for each authority on a soft National Funding Formula (NFF). This means the funding coming into the LA is based on the sum total of what each school would attract under NFF, calculated on a per school basis. This calculation determines how much the Local Authority receives, not how much each individual school is actually entitled to, as the LA is still responsible for the local formula that distributes the Schools Block DSG ).
- 3.4 Agenda item 6: DSG Overview 2019-20 discusses the overall levels of funding for the schools block in 2019-20. Decisions made on the transfer of funding into High Needs block and use of any growth fund will have a material effect on the final formula values for 2019/20 and so this paper should be considered in conjunction with the DSG Overview paper.

- 3.5 The Local Authority will need to determine the key elements of the funding formula separate to the amount of funding available, including:
- The formula factors to be used
  - The level of the Minimum Funding Guarantee
  - Whether to cap gains
  - The extent of services de-delegated for maintained schools.
- 3.6 In order that schools and academies can be funded for the same basis , the funding for a number of services have been identified by the DfE as services that must be delegated as part of the initial formula.
- 3.7 Maintained mainstream schools, by majority vote of the Schools Forum in each sector, can opt to de-delegate the funding for their sector. The agreed retention will not be given to maintained schools in the formula and instead the LA will hold the funds centrally for the agreed services and spend the funds on those de-delegating sectors only.
- 3.8 The amount of funding distributed, the formula factors used, the MFG and the gains cap are all decisions for the LA to make but the LA must take account of Schools Forum's views. Decisions on de-delegation are for representatives of each sector's maintained schools to make.
- 3.9 Schools Forum will recall that it decided for 2018/19 that no cap should apply to gains in the funding formula and the recommendation is based on no change to that arrangement.
- 3.10 To assist the LA and Schools Forum with determining courses of action for the 2019-20 schools formula, de-delegation and transfers of DSG between blocks, the LA consulted with schools to seek the views of stakeholders.

#### **4. School Funding Arrangements for 2019-20 consultation**

- 4.1 The consultation was launched 19<sup>th</sup> October and ran for three weeks to 7<sup>th</sup> November. The consultation was facilitated by the LAs communication team, who provided an on-line format to collect responses. The availability of the consultation was communicated to schools through the Service Director for Education, Skills regular newsletter.
- 4.2 The consultation sought school stakeholder views on three main issues:

- On the transfer of funding from the Schools Block to the High Needs Block
- On how the local funding formula for mainstream schools should operate for 2019-20
- The de-delegation of funding from mainstream schools for specific services

4.3 Agenda item 6 on the DSG Overview for 2019/20 explains the overall response rate and the issues arising from the questions on transferring funding between blocks.

4.4 This report deals with the latter two issues: how the formula should operate and what de-delegation decisions Schools Forum ought to consider.

## **5. Mainstream Funding formula 2019/20**

5.1 On the issue of how the local funding formula for mainstream schools should operate for 2019-20, respondents were asked a range of questions that considered the main factors.

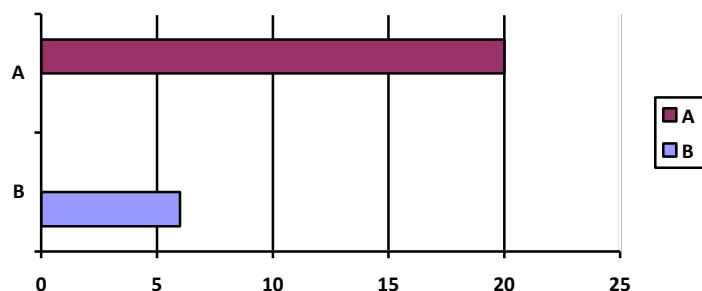
5.2 These questions were open to all primary, secondary and all-through schools (both maintained and academy) for response.

5.3 On how any excess of funding above 2018-19 levels should be distributed schools were presented with two options:

- A. Distribute any excess via the additional pupil-led factors only (deprivation, EAL and prior attainment)
- B. Distribute via all the present pupil-led factors (including AWPU)

5.4 Table 2 illustrates that the majority response was for option A supported by 20 respondents. Option B was supported by 6 respondents.

**Table 2: Responses to whether the formula should use NFF values (A) or local values (B)**



5.5 On what value the MFG should be, to a maximum of +0.5% nine comments were received of which seven commented on the MFG value. All expressed a preference for an MFG that preserved the existing funding (i.e. at least 0%). One school explicitly requested +0.5% whilst another requested +1.0% although this is not possible under current regulations.

5.6 The consultation also asked for any other comments on the formula for 2019-20. Views expressed included:

- *I would want decision makers to continue to try and ensure as many schools as possible benefit from the distribution of funding through the formula.*
- *I would like some modelling of the impact of the national decisions around pay rises and pension contributions on school budgets. The top up grant for salaries above 1% is only mentioned for two years and there is no clarity yet about any money to offset pension contributions which will have a massive impact.*
- *Under the NFF, the factors relating to deprivation are much more favourable than the current Bristol formula. Any additional funding should be allocated to these streams to support the progress of these students who have a weaker base to start from.*
- *Channelling some funding to the NFF factors for deprivation, EAL and prior attainment would be consistent with Bristol's historic commitment to maximise funding for those in greatest need.*
- *The move to NFF should be a priority for the LA.*

5.7 The room for manoeuvre will depend on the available funding. If Schools Forum were to agree to transfer £2m to High Needs Block, the illustrations in agenda item 6 suggest that this would mean a 0.9% increase per pupil;

with Growth of £5.2m, a positive MFG of up to 0.5% would leave some scope for headroom to be distributed through either NFF or local factors.

- 5.8 If, however, more than £2m was transferred to High Needs Block, or less than £5.2m was allocated as Growth, this would reduce the percentage increase (possibly to a decrease), leaving no headroom beyond the MFG, which would over-write what the formula provided.

## 6. De-delegated items 2019/20

- 6.1 The third part of the consultation concerned the de-delegation of services. This part was open to maintained mainstream schools only to respond.

- 6.2 The response to de-delegation is shown in the tables below.

Table 3: Primary de-delegation

<b>Service</b>	<b>Votes Pool</b>	<b>Votes Delegate</b>	<b>Votes: No preference</b>	<b>% Pool</b>	<b>% Delegate</b>	<b>% No preference</b>
Employee and Premises Insurance	8	1	1	80	10	10
FSM Eligibility	8	1	1	80	10	10
Maternity Supply Insurance	8	1	1	80	10	10
Schools in Financial Difficulty*	2	8	0	20	80	0
Trade Union Facility time	6	4	0	60	40	0
H&S Reps	4	6	0	40	60	0
Education Psychology	7	3	0	70	30	0

Table 4: Secondary de-delegation

<b>Service</b>	<b>Votes Pool</b>	<b>Votes Delegate</b>	<b>Votes: No preference</b>	<b>% Pool</b>	<b>% Delegate</b>	<b>% No preference</b>
Employee and Premises Insurance	2	0	0	100	0	0
FSM Eligibility	2	0	0	100	0	0
Maternity Supply Insurance	2	0	0	100	0	0
Trade Union Facility time	1	1	0	50	50	0
H&S Roving Reps	1	1	0	50	50	0
Education Psychology	1	1	0	50	50	0

- 6.3 Subsequent to the issue of the consultation, officers made the decision not to seek any de-delegated funding for Schools in Financial Difficulty in 2019-20 and instead propose to offer this at nil cost to primary schools for 2019-20.
- 6.4 As this decision was made after the issue of the consultation, schools will have voted on the basis of this being charged at £5.17, rather than at no cost. Maintained Primary School representatives are asked to note this when voting on the de-delegation of Schools in Financial Difficulty.
- 6.5 The Local Authority recommendation to Schools Forum is that all of the services are de-delegated to maintained schools in 2019-20. De-delegation supports the provision of a coherent core offer by the Council to all maintained schools. This is particularly important when unplanned issues arise in a school and enables support to be provided rapidly and without the need to agree terms of engagement. Whilst the LA recognises that in any particular given year not all schools will access all services to the same degree, funding these services does enable all maintained schools to benefit at a time of need.
- 6.6 Consultation responses to the questions about the MFG and the funding formula can be found in Appendix A. The consultation document itself will be circulated with this agenda.



### Schools Funding Arrangements for 2019/20 Financial Year consultation

#### Summary report 2 – Transfer of funding between blocks 2019/20

Consultation with schools on Schools Block formula funding arrangements for 2019/20 ran from 19/10/2018 to 07/11/2018. This report deals with questions 7 and 8 on the MFG and the formula itself.

#### Contents

**Question 7:** The Schools Forum proposed that the Minimum Funding Guarantee be greater than zero (up to a maximum of 0.5%) if there is sufficient funding to achieve that (i.e. that the amount of funding per pupil for each school in 2019/20 be protected at more than 100% and up to 100.5% of the 2018/19 values). Do you have any comments relating to that proposal?

**Question 8:** Do you have any other comments about the arrangements for the operation of the mainstream funding formula that decision-makers should take into account for 2019/20?



**Question 7:** The Schools Forum proposed that the Minimum Funding Guarantee be greater than zero (up to a maximum of 0.5%) if there is sufficient funding to achieve that. Do you have any comments relating to that proposal?

Sector	Maintained or academy?	Q7 Factors for decision-makers to take into account.
Primary	Maintained school	Protection should be set at a minimum of 100%.
Primary	Maintained school	With the reduction in sen funding, every child is losing out. The bulk of middle ability children do not get their fair share of attention or support. High ability children are left to their own devices. So there must be some mechanism for protecting the funding for the vast majority of children in our education system.
Primary	Maintained school	This proposal seems reasonable
All-through	Academy	Agree a positive MFG would be a good step but only if the additional funding was allocated to deprivation factors first.



**Question 7:** The Schools Forum proposed that the Minimum Funding Guarantee be greater than zero (up to a maximum of 0.5%) if there is sufficient funding to achieve that. Do you have any comments relating to that proposal?(continued)

Sector	Maintained or academy?	Q7 Factors for decision-makers to take into account
Secondary	Academy	I support a greater than zero figure , ideally at +0.5%
Secondary	Maintained school	<p>Yes we would like more money.</p> <p>Could it be increased to plus 1% as the salary increases we are expected to fund are 1% out of our own budget</p> <p>We already calculate that the money coming in from the government for the additional pay rise will not cover the full amount.</p> <p>There is no clarity yet about the increased pension contributions that have been announced and whether any central money will off set this. The pension contributions will impact on our budget to the tune of £250, 000 per year.</p>
Secondary	Maintained school	Why do academies get asked to comment on this when my understanding is that they're funding is allocated separately and not affected by this ?
Secondary	Academy	In view of the pressures affecting all schools, we would support a positive MFG.
Secondary	Academy	MFG should be >0



**Question 8:** Do you have any other comments about the arrangements for the operation of the mainstream funding formula that decision-makers should take into account for 2019/20?

Sector	Maintained or academy?	Q8 Factors for decision-makers to take into account.
Primary	Maintained school	I would want decision makers to continue to try and ensure as many schools as possible benefit from the distribution of funding through the formula.
Primary	Maintained school	My personal feeling is that education funding will not become a prominent issue until the “silent majority” have their attention drawn to it. The best mechanism for this is for a huge number of schools to request extra funding directly from parents. Parents will in turn apply pressure to local and national politicians. At the moment, politics is so divided that if one half speaks, the other half immediately stops listening or worse, shouts back over them.
Primary	Maintained school	Please see previous comments regarding modelling impact.  The move to NFF should be a priority for the LA.
All-through	Academy	Under the NFF, the factors relating to deprivation are much more favorable than the current Bristol formula. Any additional funding should be allocated to these streams to support the progress of these students who have a weaker base to start from.
Secondary	Maintained school	I would like some modelling of the impact of the national decisions around pay rises and pension contributions on school budgets.  The top up grant for salaries above 1% is only mentioned for two years and there is no clarity yet about any money to offset pension contributions which will have a massive impact.
Secondary	Academy	Channelling some funding to the NFF factors for deprivation, EAL and prior attainment would be consistent with Bristol's historic commitment to maximise funding for those in greatest need.

**Bristol Schools Forum**  
**High Needs Block 2019-2020**

<b>Date of meeting:</b>	27 <sup>th</sup> November 2018
<b>Time of meeting:</b>	5.00 pm
<b>Venue:</b>	City Hall, Writing Room

**1. Purpose of report**

- 1.1 To update Schools Forum on the period 6 2018/2019 forecast position.
- 1.2 To provide an update on the 2019/2020 High Needs Budget position.
- 1.3 To provide an update to Schools Forum on the High Needs Block project plans and transformative work started for academic year 2018/2019.

**2. Recommendations**

- 2.1 To note the budget forecast outturn for period 6: 2018/2019
- 2.2 To comment on the projected 2019/2020 High Needs Budget Forecast.
- 2.3 To note the progress made with the High Needs Project Transformation Planning, and make comment.

**3. Summary and Context**

- 3.1 The paper illustrates pressure on High Needs Block on the basis of the information known at the time of writing. Estimates at this time of year have proved over cautious previously, so the figures will be revised and any update provided at the meeting. However the report notes a potentially major increase in HNB projections, with £1.8m due to top-ups.
- 3.2 Bristol's growth in HNB demands/needs reflects national trends particularly since 2014, with increases in ASD and SEMH a typical pattern, alongside small but growing numbers in the primary phase. Behind this is an unprecedented growth in births since 2007 with the number of children in Bristol growing at more than double the national rate in the last 10 years.
- 3.3 The report notes project work initiated to improve clarity of processes and areas of service delivery impinging on the effectiveness of SEN

work. They include a review of top-ups and descriptors, hospital education service and sensory support service.

#### 4. Background

- 4.1 Full Council agreed to the restating of the quashed 2018/2019 High Needs Budget in November 2018 at £54.471m. This matter had previously been considered by Schools Forum and Cabinet.

#### 5. Budget Monitoring Position for Period 6 2018/2019.

- 5.1 **Table 1** sets out the period 6 forecast for 2018/2019.

**Table 1: High Needs budget forecast at Period 6 2018/19**

Component	Budget 2018/19	Period 6 Forecast 2018/19	Variance
1. Places only	14,609	15,552	943
2. SEN Top-Up	22,664	23,938	1,273
3. AP Top-Up	737	890	152
4. Other SEN provision	5,904	6,088	183
5. Other AP provision	4,040	4,648	608
6. Services	2,997	3,116	119
Funding not yet allocated *	3,520		-3,520
<b>Total Commitment</b>	<b>54,471</b>	<b>54,230</b>	<b>-241</b>
Brought Forward	-2,055	-2,055	0
Funding to be confirmed by Council	54,471	54,471	0
<b>Total Funding</b>	<b>52,416</b>	<b>52,598</b>	<b>0</b>
<b>Overspend (cumulative)</b>	<b>2,055</b>	<b>1,814</b>	<b>-241</b>

- 5.2 **1. Places only** has seen an increase in demand for specialist provision. This continues to be monitored regularly through 6 weekly review cycles, with education settings themselves and an increase in budget is being sought for 2019/2020. Current specialist provision occupancy is:
- ASC specialist provision across the city is now 92.6% full.
  - SEMH specialist provision across the city is now 93.0% full.
  - SLCN/MLD specialist provision across the city is now 70.6% full.
  - PMLD/SLD specialist provision across the city is now 99.2% full.

- 5.3 Places continue to be forecasted 12 months as well as 5 years in advance and are dependent on the local area's needs, as well as having regard to parental preference. Timely discussions with headteachers as well as Governors, in line with ESFA requirements, ensure that whenever possible children and young people with EHCPs are placed in the right setting at the right time.
- 5.4 **2. SEN Top-Up** continues to increase. The local authority has recently received 40-50 new applications for statutory Education, Health and Care Needs Assessments every month and the demand for high needs support is continuing to rise although that may be at a lower rate than national comparators.
- 5.5 *Excellence in Schools* and the *Inclusion in Education Group* agreed to the interim measures in place for School Age Mainstream Top up pending the major review initiated by the previous director (see 6.3 below). For all Top-Ups currently allocated, these will continue to be rolled forward unchanged until the new co-produced process is embedded in September 2019 (anticipated). For any new applications there are now 6 panels throughout the remainder of the academic year where Head Teachers and other Local Area professionals will moderate requests through peer panels. There is a reduced number of applications for the next panel.
- 5.6 The interim Top Up process ensures school age mainstream settings can access high needs funding for their most complex children and young people, prior to any formal changes being made (see below in regard to Project Work) in the long term. This means that the impact for children and young people is minimized and the associated funding targeted to ensure that individuals are not disadvantaged. No changes have been made to Early Years, Post 16 or Specialist Provision Top Up arrangements.
- 5.7 **3. AP Top-up.** 276 children and young people are current accessing Local Authority funded Alternative Provision and 10% of these are in Years 1-6. Whilst the total number of pupils being permanently excluded in Bristol has continued to fall, the number of pupils entering LA funded ALP has risen. The majority of ALP placements is Spot Purchased and is for secondary aged pupils.
- 5.8 **4. Other SEN Provision.** The demand for pre 16 jointly commissioned placements in Independent Non Maintained/ Specialist settings for those with the highest level of needs has continued to increase but is below comparators. This is also indicative of the shortage of specialist provision places in Bristol at present, which is being addressed with partners and providers in line with capital planning.

- 5.9 **5. Other AP Provision.** The demand for Early Intervention bases support has remained high. The funding from the previous year has been forecast prior to any formal changes being made (see below in regard to Project Work) in the long term. This means that the impact for children and young people is minimized and ensures that individuals are not disadvantaged.
- 5.10 **6. SEN services.** SEN Equipment and Therapy costs have increased in line with assessed needs (the numbers of CYP with specific equipment or therapeutic needs that are beyond core service offers) and inflation. Staffing figures have been increased within High Needs Services in order to respond to the needs of the Local Area.
- 5.11 **Summary position P6 2018/19.** The increase in figures represents the rising level of need including new starters who have the highest level of needs that cannot be met through provision generally available to mainstream education settings. This forecast may vary for the remainder of the year as a natural consequence of individual children and young people's circumstances (e.g. pupils changing schools, annual reviews identifying different levels of need, EIB and ALP placements).

## 6. High Needs assessed financial position for 2019/20

- 6.1 **Table 2** sets out the anticipated High Needs Budget position for 2019/20. **Appendix 1** includes more detail about the activity levels, cost drivers and risks associated with each of the six main areas of the high needs budget.
- 6.2 The position has worsened since the September 2018 Schools Forum when an initial high level assessment was made, based on natural changes to place numbers and a 2% increase for demand and cost pressures (combined).
- 6.3 Without any transfers from other blocks or any improvement to the 2019/20 DSG or any mitigating actions, this would suggest that the financial position would be a deficit of **£7.487m**. The estimated change amounts to £6m, of which approximately half is top-ups and half DSG funding.

**Table 2: Forecast 2019/20 High Needs Commitments, prior to any movement between blocks**

Component	Period 6 Forecast 2018/19	Forecast 2019/20	Change (Adverse = +ive)
1. Places only	15,552	16,942	1,390

2. SEN Top-Up	23,938	25,714	1,776
3. AP Top-Up	890	1,039	150
4. Other SEN provision	6,088	6,568	480
5. Other AP provision	4,648	4,624	-24
6. Services	3,116	3,300	184
<b>Total Commitment</b>	<b>54,230</b>	<b>58,187</b>	<b>3,957</b>
Brought Forward	-2,055	-1,814	241
DSG Funding (gross) *	54,471	51,494	-2,977
Free School additional funding		1,020	1,020
<b>Total Funding</b>	<b>52,416</b>	<b>50,700</b>	<b>-1,716</b>
<b>Overspend (cumulative)</b>	<b>1,814</b>	<b>7,487</b>	<b>5,673</b>

- 6.4 **1. Places only.** A review of all places for September 2019 has been completed and submitted to the ESFA to meet their deadline. Existing commitments have been confirmed, the financial impact of expected natural changes to case numbers has been calculated and some cost pressures have been anticipated. Moreover, the inclusion of a new special free school into the DSG from 1<sup>st</sup> April 2019 is expected to be accompanied by a commensurate amount of place funding.
- 6.5 £1.040m of the additional £1.390m (table 2 row 1) relates to the expected transfer into the DSG of the place funding for Venturers Special School. This is currently a Free School, funded directly by the ESFA. DfE has indicated that such schools will be within the DSG from 1<sup>st</sup> April 2019 and that no authority will lose through this transfer. So, we have also assumed the same amount of extra DSG.
- 6.6 A key area to note is that there has been a significant rise in the number of Autism Spectrum Condition (ASC) and Social Emotional and Mental Health (SEMH) need placement requests, which were projected, but we have also experienced an increase in the numbers of pre- and early primary children with ASC and or Profound and Multiple Learning Disabilities, which was not anticipated (but reflects national trends). The result of this is that across the board these provisions are *now* at capacity which for this point of time in the academic year (Term 2 2018) is of concern.
- 6.7 On the core places, assumptions have been made that places may be removed from establishments in agreement with Head teachers and ESFA regulations where they are not required. As this currently stands, this is approximately 30. These are yet to be agreed so a provision has been made in case these are not agreed of £105k (@7/12ths).

- 6.8 The CCG is working with Local Authority partners to improve and develop the Early Identification pathway so that forecasting is increasingly accurate.
- 6.9 **2. SEN Top-ups.** The increased costs of SEN top-ups by £1.8m, compared to 2018/19 arises from a continuation of existing top-up payments, adjusted for natural cases ceasing (Years 13/14) and new cases both with and without EHCPs from now until March 2020, based on levels of new cases in the last year. These can only be estimates because each individual child or young person has individual needs which must be considered on their merits and in the context of the current banding arrangements. These estimates are on the basis of no change in top-up rates for each band, nor any change in policy on eligibility.
- 6.10 **3. Alternative Provision Top Up and 5. Other Alternative Provision** is based on 85% occupancy at average rates during 2019/20. Containing this volatile spend is very difficult and unpredictable. The Project Work associated with Early Intervention Bases, Hospital Education Service and reviews of dynamic-purchasing systems will provide invaluable detail about anticipating future need in this area.
- 6.11 **4. Other SEN Provision** is forecast to increase by £0.5m because of the limited number of specialist provider places in the city that are able to successfully meet the needs of our most complex looked after children and young people with SEND who require jointly commissioned education as well as social care placements. A high number of these have experienced placement break-down. This growth compares well with core cities.
- 6.12 **6. Services** is expected to require an additional £0.183m in 2019/20, based on existing commitments rolled forward.
- 6.13 The only inflationary assumption in these high needs estimates is £0.2m, for externally procured provision. This, therefore, means that funding rates would stay at current levels until any transformation projects concluded that any different rates were appropriate.

## 7. High Needs Project Work Reflecting these Pressures

- 7.1 As discussed at the previous Schools Forum in September 2018 report, we will be carrying out four co-produced and collaborative High Needs project-based reviews throughout this academic year to

continue to drive improvements and deliver transformation plans that are aligned with Social Care and the CCG. Schools Forum as well as Local Authority Governance Boards will be updated throughout the process. Accounts of the reviews are given in paragraphs 7.3-7.6 below.

- 7.2 All projects involve stakeholder engagement/ surveys, data analysis, Equalities Checks and Impact assessments, progression of drafts through Council Decision Pathways (Governance) for sign-off, public consultation, with alternative formats where necessary, sign off of final models through Council Decision Pathways (Governance), workforce development prior to implementation and then a period of review following implementation in order to assess and evaluate impact.

### 7.3 Top-Up Funding and Bristol Universal Descriptors

**What we think needs to happen:**

- The council wants to make the Top Up process more transparent and make sure this money is allocated fairly across all different education settings.
- To do this the council needs to review its guidance about the standards all education settings must provide for children and young people with SEND and high needs, and how they make sure everyone is included. This guidance is known as the 'Bristol Universal Descriptors' (BUDs).

**What changes could we make:**

- Currently there are different levels of support that all settings must provide and the revised guidance would set out clearly what is expected under each level of need.
- This would cover every setting from early years and mainstream schools through to post 16 settings and special schools and include:
  - Standards which must be met for all children and young people in education, whether or not they have SEND and extra needs
  - Standards which must be met for children and young people who need targeted support when SEND is identified and SEN Support is put in place
  - Standards which must be met for children and young people who need specialist support that is in addition to and different from that generally provided in different education settings

**What difference could the changes make:**

- We hope a new approach will improve inclusion and ensure that the children and young people who need it most are prioritised for Top Up funding which is focused on meeting their individual needs
- The benefits of revising the guidance are that:
  - Parents, carers, young people and professionals have a clear, shared understanding of how all children and young people, including those who have SEND and the highest needs, should be supported by the education settings they attend
  - Education settings have a clear understanding of what is expected of them at each level of support – and what would count as extra Top Up support
  - It will be easier to see what Top Up money pays for, how much this extra support should cost and how it is helping children and young people to access education, be fully included and improve achievement as well as children & young people's overall wellbeing

This means that we will try to make sure all education settings provide support as part of the agreed minimum standard.

**Key dates:**

- Stakeholder feedback is going to be sought from late November 2018 for 4 weeks.
- 2x stakeholder events planned for January 2019
- Public consultation taking place for 8 weeks with alternative formats from the end of January 2019.
- The aim is to take the proposed new process, model of universal descriptors, Bristol SEN Support Plan and procedures to Cabinet on 21<sup>st</sup> May 2019 and then on to Full Council for a key decision.
- Workforce development will commence in Terms 5 & 6 2019 ready for implementation in September 2019.

## 7.4 Early Intervention Bases – end of pilot review

### **What we think needs to happen:**

- Since April 2016 the council has been running a pilot scheme where it pays for a set number of places at the EIBs. Schools that commission this support for individual children or young people pay for the rest of the costs from the top up funding they may receive, or from their own school budgets.
- The pilot ended in July 2018, at the end of last academic year, and the council now needs to review the success of the pilot, which we will do this year, before deciding what to do next for the long term.

### **What changes could we make:**

- The council's review of the pilot scheme will look at how well EIBs and alternative provision help the children or young people they support as well as whether they provide value for money for both the council and schools. Key areas we want to look at are whether attending an EIB or alternative provision helps to reduce fixed term exclusions and persistent absence, improve academic achievement and improve school attendance.

### **What difference could the changes make:**

- Depending on the outcome of the review, the EIBs may help to reduce fixed term exclusions and persistent absence, improve school attendance and academic achievement. They would do this by developing the skills of teachers and support staff to better support children with challenging behaviour. If they are not shown to be effective we will look at other ways to improve these issues.

### **Key dates:**

- Stakeholder feedback is going to be sought from the end of November 2018 until the Christmas period.
- 1x Stakeholder event planned for January 2019.
- Public consultation taking place for 6 weeks from February 2019.
- The aim is to take the proposed findings and recommendations to 21<sup>st</sup> May 2019 cabinet with any agreements actioned ready for September 2019.

## 7.5 Hospital Education Service

### **What we think needs to happen:**

- The Bristol Hospital Education Service (BHES) provides education for children and young people (4-16 years old usually) who can't attend school for medical reasons. Much of this teaching takes place at home while students are unwell. Teaching can also take place within hospitals if young people cannot be treated at home and are likely to spend time in hospital for extended periods.
- Young people can attend the BHES if they are referred there by a health professional or by their school. It is always hoped that young people will only stay with the BHES until they get better and will re-join a suitable education setting afterwards.
- Key areas we want to look at are whether attending BHES helps impact on academic achievement and school attendance. We also want to review how the costs are shared between the council and the NHS Services who refer children and young people to the service.

**What difference could the changes make:**

- The review will help the council see how BHES is helping the individuals it supports to make progress despite their medical conditions and decide if anything needs to change to improve this.

**What changes could we make:**

- We need to explore whether wider packages of support are also being commissioned by schools, as most children and young people who attend BHES do not get their full education hours and are therefore classed as Pupils Missing Education (PME).
- Other packages of support could include individual mentoring and tutoring as well as less traditional models of education and non-classroom based activity.

**Key dates:**

- Service review will start in January 2019.
- Public consultation taking place in March and April 2019.
- The aim is to take the proposed findings and recommendations to Cabinet in June 2019.

**7.6 Sensory Support Service – Service Redesign** (commissioned across 4 local authorities)

**What we think needs to happen:**

- The council has a range of other services which support children and young people with SEND up to the age of 25 and those with the highest needs. The Sensory Support Service teams work directly with children and young people and education settings to provide the support needed for those with SEND as well as the highest needs who have sensory impairments.

**What changes could we make:**

- The council is restructuring its Education department and wants to review how effective each team is in improving the lives, education and other outcomes in partnership with Local Area services. We also want to review how the costs are shared between the council and the CCG providers who refer children and young people to the service.
- We need to ensure that children and young people with hearing impairments, visual impairments or dual/ multi-sensory impairments get the best possible support from the Local Area so that higher numbers of these individuals are successfully prepared for adulthood, experience authentic inclusion in mainstream education as well as the public sector in order to go on and successfully complete further or higher education, training and employment.
- We want to be confident that families and young people can access the right support through universal, targeted or specialist models by Local Area services and agencies who have specific areas of expertise.

**What difference could the changes make:**

- We will be looking at the shape of these services, how they work together and how effective they are in helping children and young people with SEND and the highest needs to meet their potential.
- We will be looking at whether the current models are working or if we could make any improvements

**Key dates:**

- Stakeholder engagement ends 30 November 2018 and data analysis is to be completed thereafter.
- Public consultation taking place in March to May 2019.
- The aim is to take the proposed findings and recommendations through the decision pathway in July 2018, undertake a management of change where necessary and deliver workforce development across the four Local Authority Local Areas ready for a new Service start in January 2020.

## 8. Further resource implications

- 8.1 The clarification of the High Needs Block budget for 2018/19 has brought into focus the need for additional work to be undertaken to support future Council consultation and decision-making activity around items identified in the budget and service planning arrangements for the High Needs Block.
- 8.2 The additional profile and scrutiny attached to HNB/SEND means a potentially higher number of requirements for services such as alternative formats, provision for stakeholder engagement, public relations and Bristol Design support are more likely than might originally have been planned for and this will need to be captured in the costing.  
It is likely that the requirements for resourcing will be over-and-above the 'core offer' of the high needs services concerned.

## 9. Conclusions

- 9.1 The approach outlined explains the process the Local Authority has employed after the quashing of the 2018/2019 High Needs Block Budget, following the Judicial Review Order and the resulting need for a new Full Council decision in November 2018.
- 9.2 The consequences of increasing demand and cost pressures have significant implications for the already overspent High Needs Block. Without any mitigating actions, which may arise through the development of the four transformation projects, and before taking account of any transfers of funding between blocks or any additional funding, the headline **cumulative deficit by the end of March 2020** would be **£7.5m**

## 8. Glossary of Terms

**City Outcome:** *What is the proposed outcome for the city and how does this contribute to the Corporate Plan?*

- **Empowering and Caring:** Safeguarding and promoting the welfare of children and young people with SEND and equipping the children and young people in our care with the skills and tools to live fulfilling, successful, and rewarding lives.
- **Fair and Inclusive:** Demonstrating due regard to the need to eliminate discrimination, advance equality of opportunity and continue to improve outcomes across education, health and social care for children and young people with Special Educational Needs and/ or Disabilities aged 0-25 years. To ensure everyone has access to a high quality education with appropriate levels of support and resources. Reducing in the gap between disadvantaged pupils (including pupils with special educational needs, disability and children in care) and the Bristol Average at Key Stage 4. An increase in the proportion of young people who have experience of work/apprenticeship by school age 16.
- **Well connected:** Supporting social inclusion and community cohesion for children and young people with SEND, and their families.
- **Wellbeing:** Children and young people with SEND aged 0-25 years and their families will have access to appropriate support for their needs from birth and will be better able to co- ordinate support around the child, achieve better outcomes and make firm plans for their future. Encourage life-long learning in environments where both academic and emotional development are understood and delivered together and increase overall educational performance.

**Health Outcome summary:** not applicable

**Sustainability Outcome summary:** not applicable

**Equalities Outcome summary:** No savings are planned and therefore these proposals and processes employed aim to minimize any impact on protected groups within the next financial year. All project work streams have completed equalities checks and draft initial Equalities Impact Assessments that will evolve as each project progresses.

**Impact / Involvement of partners:** consultation with schools is indicated in the report

**Consultation carried out:** This report is part of the engagement with schools and other partners prior to this matter being considered by Cabinet and Council.

**Legal Issues:** legal issues relate to the requirements of SEND legislation and financial regulations

**Financial Issues:** The forecast financial position suggests a continued budget pressure in this area. Schools Forum is being asked to consider transferring

funding from other blocks.

# Appendix 1

## Forecasts without extra funding transferred

- This presentation has taken a step back to look at the components of the current High Needs Forecast for 2019/20 before any decision about transferring funding from elsewhere
- It has then considered, for each component:
  - Activity based costs
  - Underlying position for 2019/20
  - Cost drivers
  - Any natural changes

Component	Period 6 Forecast 2018/19	Forecast 2019/20	Change (Adverse = +ive)
1. Places only	15,552	16,942	1,390
2. SEN Top-ups	23,938	25,714	1,776
3. AP Top-ups	890	1,039	150
4. Other SEN provision	6,088	6,568	480
5. Other AP provision	4,648	4,624	-24
6. Services	3,116	3,300	184
<b>Total Commitment</b>	<b>54,230</b>	<b>58,187</b>	<b>3,957</b>
Brought Forward	-2,055	-1,814	241
DSG Funding (gross) *	54,471	51,494	-2,977
Free School additional funding		1,020	1,020
<b>Total Funding</b>	<b>52,416</b>	<b>50,700</b>	<b>-1,716</b>
<b>Overspend (cumulative)</b>	<b>1,814</b>	<b>7,487</b>	<b>5,673</b>

Note \*: Includes budget agreed by Cabinet in October 2018 and indicative EFSA High Needs Block for 2019/20.

## Appendix 2.1

# Core Place Funding

2019/20 Forecast  
**£16.942m**

### Activity Based Costs

2019/20	No. of places April 19	No of places Sep-19	Rate (£)	Forecast Cost 2019/20 £'000
Special Schools (Pre-16)	944	964	£10,000	£9,557
Special Schools (Post-16)	116	106	£10,000	£1,102
EiBs (Pre-16)	15	15	£10,000	£150
Resource Bases (Pre-16) filled places	177	165	£6,000	£1,020
Resource Bases (Pre-16) unfilled places	25	24	£10,000	£244
Resource Bases (Post-16)	51	43	£6,000	£278
FE places	484	475	£6,000	£2,868
Pupil Referral Units	181	166	£10,000	£1,723
Total of £10k places	1,323	1,309	£10,000	£12,776
Total of £6k places	670	634	£6,000	£4,166
<b>Total</b>	<b>1,993</b>	<b>1,943</b>		<b>£16,942</b>

Schools Forum – 27<sup>th</sup> November 2018

High Needs Budget Paper



## Appendix 2.1

# Core Place Funding

2019/20 Forecast  
**£16.942m**

## Considerations

Cost Drivers	Risks
<ul style="list-style-type: none"><li>• Number of planned places at £10k each for pre-16 and all Special Schools and £6k each for post 16.</li><li>• Import/Export adjustment implemented for Special School settings where OLA occupy spaces. This does not apply to resource bases.</li><li>• The free school funding is now allocated to LAs as per the guidance and spend is included within the forecast</li></ul>	<ul style="list-style-type: none"><li>• The rates are determined by the EFA.</li><li>• Places filled by out of authority pupils must still be funded by the LA area that the school is in.</li><li>• High levels of occupancy could result in in-year increases.</li><li>• FE numbers are particularly difficult to predict.</li></ul>

## Appendix 2.2

# SEN Top-ups

## 2019/20 Forecast

**£25.714m**

### Activity Based Costs – Summary of all SEN Top-ups

Summary forecast	No of pupils	Average	Total cost
			£'000
Special	869	£18,025	£15,664
Resource Base	206	£9,238	£1,903
Mainstream	909	£4,269	£3,881
Other Local Authorities	126	£11,905	£1,500
Further Education	537	£4,955	£2,661
Provision for additional E2 cases			£105
<b>Total forecast for 2019/20</b>			<b>£25,714</b>

## Appendix 2.2

# SEN Top-ups

2019/20 Forecast  
**£25.714m**

## Considerations

### Cost Drivers

- Actual pupils while they are in the school at full cost of their additional SEN, less £6k for the Element 2 which is to be met by the school. For specialist settings it is the combined unit cost of the facility at a particular occupancy level, less £10k for elements 1 & 2.
- DfE expect the funding to go to the setting only for as long as they are there in as near to real time as possible.
- The current forecast includes an element of growth – data suggests that of the potential 250 EHCP conversions likely to occur in 19/20 100 would be new draws on top up funding. Of these 40% would be in special settings at average rate of £18k and 60% mainstream at average £5k per top up.
- Also a further 89 fte non-EHCP cases are forecast to require funding during 2019/20 at a cost of £0.4m.
- Numbers of GFE are increasing from 461 to 537 including internships

### Risks

- The Local Authority is responsible for the Element 3 cost of every High Needs pupil, in accordance with the LA's assessment of need (usually through the Education Health and Care Plan).
- Actual numbers of pupils who are eligible for funding support will vary.

## Appendix 2.3

# AP Top-ups

2019/20 Forecast  
**£1.039**

### Activity Based Costs -PRU

	No of Places Apr to Aug	No of Places Sept to Mar	Band 3 rate	PRU Total costs 2019/20 £'000
Total pupil units	111	99	£10,000	£1,039

## Appendix 2.3

# AP Top-ups

2019/20 Forecast  
**£1.039m**

## Considerations

Cost Drivers	Risks
<ul style="list-style-type: none"><li>• The cost of the place at the PRU, less the £10,000 elements 1&amp;2 provided to the PRU.</li><li>• DfE expect the funding to go to the setting only for as long as they are there in as near to real time as possible.</li><li>• The Bristol improvement panel actions have contained costs within 18/19 and it has been assumed this will continue in 19/20.</li><li>• It is assumed that occupancy levels will be at 85% and at band 3.</li></ul>	<ul style="list-style-type: none"><li>• The Commissioner is responsible for meeting the Element 3 cost.</li><li>• Volatility of these placements makes it difficult to predict the spend.</li><li>• There is a national picture of increasing demand for alternative provision.</li></ul>

## Appendix 2.4 Other SEN Provision

2019/20 Forecast  
**£6.568m**

### Activity Based Costs – Independent and Non-Maintained Schools

	Pupils	Average rates	Total costs 2019/20 £'000
Independent Non-maintained Schools – Pre 16	51.3	£67,105	£3,442
Independent Non-maintained Schools – Post 16	33.0	£59,909	£1,977
INM Prevent inc Direct payments	-	-	£345
Individual Specialist Places	9.5	£74,555	£708
SEN Equipment	-	-	£96
<b>Total forecast for 2019/20</b>	<b>93.8</b>		<b>£6,568</b>

## Appendix 2.4

# Other SEN Provision

2019/20 Forecast  
**£6.568m**

## Considerations

Cost Drivers	Risks
<ul style="list-style-type: none"><li>• Agreed price for a place between the LA and the setting</li><li>• Agreed proportion of the overall costs where the placement involves health and/or social care, too.</li><li>• Providers have made early indication of inflationary increase of 2%, consistent with corporate assumptions.</li></ul>	<ul style="list-style-type: none"><li>• The market will determine what providers are willing to accept as a price.</li><li>• Cost of a place in the DSG is often accompanied by a transport cost to the GF.</li><li>• The forecast is based on 18/19 cohort plus indexation. There is limited availability of placements in the independent market. There are pressures within Social care placements which may have an impact on Education placements.</li></ul>

## Appendix 2.5 Other AP Provision

2019/20 Forecast  
**£4.624m**

### Activity Based Costs - Alternative Provision

	Pupils	Average rates	Total costs 2019/20 £'000
Hospital Tuition	-	-	£2,155
Alternative Provision – Block contracts	90	£11,950	£1,075
Alternative Provision – Spot contracts	119	£7,992	£943
Early Intervention Bases	37	£10,000	£450
<b>Forecast 2019/20</b>	<b>246</b>		<b>£4,624</b>

## Appendix 2.5

# Other AP Provision

2019/20 Forecast  
**£4.624m**

## Considerations

### Cost Drivers

- Hospital Tuition is largely staffing costs, but funding levels do not seem to be linked to actual numbers of pupils
- Early Intervention Bases are paid a fixed sum per place
- Spot contracts are a price per actual pupil in provision plus 2% inflationary increase
- Block contracts are an agreed total price for a set number of places plus 2% inflationary increase.
- The Bristol improvement panel actions have contained costs within 18/19 and it has been assumed this will continue in 19/20.

### Constraints

- Funding for hospital tuition is subject to the MFG, but this is on an amount per place basis.
- Block contracts mean that costs are incurred, regardless of whether places are filled.
- There is a national picture of increasing demand for alternative provision.

## Appendix 2.6 Services

2019/20 Forecast  
**£3.299m**

### Activity Based Costs - Services

	Total costs 2019/20 £'000
TWS Commissioning – Educational Psychology	£558
Therapies	£300
Additional Learning Needs Team costs (offset by buyback)	£973
Hope Virtual School	£235
ALN Commissioning – ASDOT	£278
ALN Commissioning – Sensory Support	£591
ALN Commissioning – Youth Offending Team	£57
PFI	£307
<b>Forecast position 2019/20</b>	<b>£3,299</b>

## Appendix 2.6 Services

2019/20 Forecast  
**£3.299m**

### Considerations

#### Cost Drivers

- The four commissioned service are mainly Council services mostly comprising staffing costs.
- ALN and Hope are staff and operating costs
- Therapies
- Tribunal costs are fees

#### Constraints

- There will be an element of ALN team costs that is necessary to manage , co-ordinate and develop policy in the High Needs sector.

**Bristol Schools Forum**  
**Early Years DSG Funding 2019/20**

<b>Date of meeting:</b>	27 <sup>th</sup> November 2018
<b>Time of meeting:</b>	5 pm
<b>Venue:</b>	City Hall

## **1. Purpose of report**

- 1.1 This report sets out funding options for the Early Years Block for 2019/20, in the absence of any new information from the Department for Education (DfE). It identifies a proposed way of funding early years settings if there are no changes to the early years funding arrangements and values in the Early Years National Funding Formula (EYNFF) for 2019/20.
- 1.2 A consultation with settings on the formula to be used for 2019/20 is expected to be required before final budgets are set. In the absence of any new information from the DfE, the authority will wait until such information is provided before deciding on consultative processes.
- 1.3 The report flags up issues which would ideally be addressed by more funding in the Early Years formula: the continuation of the local maintained nursery factor; and funding levels for Special Educational Needs and Disabilities (SEND) across the Early Years and High Needs Blocks. In the absence of any new money, these may be candidate costs for any unspent Early Years DSG in 2018/19 or 2019/20.

## **2. Recommendations**

- 2.1 Schools Forum is invited to note:
  - a. that the authority will consult with all early years settings once DfE have provided updated information about the 2019/20 Early Years DSG, expected in December 2018;
  - b. the proposal for how the funding would be distributed to settings, if EYNFF values are unchanged from those indicated in December 2017; and
  - c. that, in the absence of any new money, costs of continuing the local maintained nursery supplement into 2019/20 and cost pressures in the SEND allocations for nursery settings, may have to be considered as calls on any unspent Early Years budgets in 2018/19 or 2019/20.

## **3. Available Funding 2019/20**

3.1 The Early Years Block of the Dedicated Schools Grant (DSG) provides local authorities with six relevant funding streams which together form the early years block of the DSG. They are:

- a) the early years entitlement for disadvantaged two year olds
- b) the early years universal entitlement for three and four year olds
- c) the early years additional entitlement for three and four year old children of eligible working parents
- d) supplementary funding for Maintained Nursery Schools (MNS)
- e) the Early Years Pupil Premium (EYPP)
- f) the Disability Access Fund (DAF)

3.2 The allocations for 2018/19 are set out in **Table 1**. These have been supplemented with an indication of the previously advised indicative funding for 2019/20 (ie the position from December 2017, if DfE make no further changes).

**Table 1: Comparison between latest indicative Early Years DSG for 2018/19 and 2019/20 (if there is no change to the notified EYNFF values).**

Component	2018/19 EY Block Latest DSG (July 2018)			2019/20 EY Block If there are no changes to EYNFF			Difference
	Rate per hour	Part-time equivalent pupils	Latest DSG £'000	Rate per hour	Part-time equivalent pupils	Possible DSG £'000	DSG £'000
3&4 Year Old <15 hour provision	£5.70	7,185.35	23,345	£5.69	7,185.35	23,304	-41
3&4 Year Old Supplementary 15 hour provision	£5.70	2,442.70	7,936	£5.69	2,442.70	7,922	-14
2 Year Old provision	£5.43	1,288.40	3,988	£5.43	1,288.40	3,988	0
EY Pupil Premium			354			354	0
Disabled Access Fund			97			97	0
Maintained Nursery Supplement			854			854	0
<b>Total indicative EY DSG</b>			<b>36,574</b>			<b>36,519</b>	<b>-55</b>

3.3 The Early Years National Funding Formula has been reducing Bristol's allocation from £6.30 per hour for 3 and 4 year olds in 2016/17 at a rate of 5% a year. This reduction was designed to reach the target value of £5.69, which is what the pure EYNFF produces. 2019/20 is the point at which the £5.69 per hour figure would come into effect, if there are no other changes to the formula or funding.

3.4 For planning purposes, the DfE indicative participation levels for 2,3 and 4 year olds have been used. Actual DSG funding for 2019/20 will be based on 5/12ths January 2019 census, 7/12ths January 2020 census.

Actual payments to settings will be based on participation levels in each of the May 2019, October 2019 and January 2020 censuses.

3.5 No assumptions have been made about funding for Early Years Pupil Premium, Disabled Access Fund or Maintained Nursery Supplement.

3.6 The Authority is required to distribute the available funding on the basis of an agreed formula, corresponding to the requirements of the Early Years National Funding Formula. The guidance on how to allocate that funding is explained in the next section.

#### **4. How funding must be distributed.**

4.1 DfE Guidance on funding for Three and Four Year Olds states that Local authorities:

- should set a single funding rate (including the same base rate and supplements) for both the universal 15 hours, and the additional 15 hours for working parents of three and four year olds
- must plan to spend at least 95% of their three and four year old funding from government on the delivery of the government entitlements for three and four year olds
- must use a deprivation supplement in their local three and four year old formula, and any other supplements used must fall within one of the allowable categories
- must not channel more than 10% of their funding for three and four olds through funding supplements
- can continue to use 'lump sums' to distribute Government funding, including the supplementary MNS funding for Maintained Nursery Schools to enable the protection of their 2016 to 2017 funding rates
- must provide a SEN Inclusion Fund (SENI) for three and four year olds (which does not count towards the 10% for supplements)
- must pass on the Early Years Pupil Premium (EYPP) in full to providers for eligible three and four year olds
- must pass on the Disability Access Fund (DAF) funding in full to providers for eligible three and four year olds

4.2 DfE Guidance on funding for disadvantaged Two Year Olds states that:

- there is no 'pass-through requirement' for two year olds
- there are no compulsory supplements for two year olds, and local authorities are encouraged to fund providers on the basis of a flat hourly rate for all providers
- Local authorities are not required to establish a SEN Inclusion Fund for two year olds. However, they may wish to do so as part of their provision for children with Special Educational Needs.

- 4.3 DfE Guidance on Funding supplements explains that funding supplements are amounts of funding paid to providers in addition to the base rate to reflect local needs or policy objectives. The total value of funding supplements used must not be more than 10% of the total value of planned funding to be passed through to providers. The allowable supplements are:
- deprivation (mandatory supplement); local authorities must use this supplement to recognise deprivation in their areas
  - rurality or sparsity (discretionary supplement); to enable local authorities to support providers serving rural areas less likely to benefit from economies of scale
  - flexibility (discretionary supplement); to enable local authorities to support providers in offering flexible provision for parents
  - quality (discretionary supplement); to support workforce qualifications, or system leadership (supporting high quality providers leading other providers in the local area); any system leadership supplement should be open and transparent in terms of the process for choosing the 'leaders', the funding arrangements, and the support to be provided
  - English as an additional language (EAL) (discretionary supplement)

## 5. Funding arrangements for Bristol 2019/20

- 5.1 During 2018/19 financial year, a sum of £0.5m was earmarked from unspent funds brought forward from 2017/18 to create a local Maintained Nursery supplement. This was to recognize that the DfE National Supplement for Maintained Nursery Schools had reduced from its original value of £1.297m and was expected to get lower; the July 2018 notification was £0.854m. The most recent 2018/19 cost of the overall factor was calculated to be £1.282m for the 12 maintained nurseries; £0.854m funded from the DfE EY DSG and £0.427m funded from the brought forward amount. The precise amount will vary according to participation levels for the remainder of the financial year.
- 5.2 **Table 2** sets out the hourly funding for 3 and 4 year olds, comparing the original allocations in January 2018 for 2018/19, then the updated allocation (if the same hourly rate is applied to the latest participation estimates), then adjusting the 2019/20 distribution to fit within the £5.69 per hour total. The reduced 1p has been taken from the central spend budget and that is the only proposed change.

**Table 2: Proposed funding rates and estimated budgets for 2017/18 and 2018/19  
(3 and 4 Year olds)**

<b>Component of 3 and 4 year old funding</b>	<b>2018/2019 Hourly rates (£p)</b>	<b>Original 18/19 Allocation based on 9,093.66 pte pupils £'000</b>	<b>Revised 18/19 allocation based on 9,628.05 pte pupils £'000</b>	<b>Proposed 2019/20 Hourly rates (£p)</b>	<b>Proposed 19/20 Allocation based on 9,628.55 pte pupils £'000</b>
3 and 4 year olds base allocation per part-time equivalent pupil (15 hours)	£4.88	£25.295m	£26.781m	£4.88	£26.781m
Deprivation Supplement (part of 10% devolved limit)	£0.13	£0.674m	£0.713m	£0.13	£0.713m
Quality Supplement (part of 10% devolved limit)	£0.16	£0.881m	£0.878m	£0.16	£0.878m
Emerging SEN	£0.25	£1.244m	£1.372m	£0.25	£1.372m
LA centrally retained funding (5% of gross funding)	£0.28	£1.451m	£1.537m	£0.27	£1.482m
<b>Total funding for each pte pupil</b>	<b>£5.70</b>	<b>£29.545m</b>	<b>£31.282m</b>	<b>£5.69</b>	<b>£31.226m</b>

5.3 Consideration was given to accommodating the cost of the local maintained nursery school factor into the formula. This, however, has a knock on impact, taking nearly another 8p from other hourly rates. Moreover, as maintained nursery schools account for around 20% of the total 3 and 4 year old budget for settings, for every 5p lost on general factors would increase the MNS local factor by 1p. Clearly, this arrangement will be difficult to accommodate without additional funding in the system, principally through more Early Years DSG for 2019/20.

5.4 Omitting this local maintained nursery factor, however, will create difficulties for the 12 schools affected. The Department for Education is expected to announce the outcomes of its review of funding for maintained nursery schools in the spring of 2019.

5.5 In the meantime, some mechanism for supporting the funding model for maintained nursery schools will be needed. Rather than try to accommodate it within the main formula, when there is no scope for doing that without adversely impacting on all settings, this might be the first call on unspent funding in the Early Years DSG in either 2018/19 or 2019/20.

5.6 There is a risk that the tentative underspends being reported for 2018/19 in the Early Years DSG may not materialize. Given the reasons for establishing the local maintained nursery factor (ie the national factor was not achieving what it set out to achieve and maintained nursery schools have to meet the requirements of being a school), it will be important to provide this support until the DfE has announced its intentions with regard to the long-term future of maintained nursery schools.

5.7 The figures in **Table 2** meet the pass-through requirement of 95%. Only just over ½ of the maximum 10% supplements have been allocated.

5.8 **Deprivation Factor.** The basic principles for the Deprivation Factor have been in place for many years. The authority will use the same weighted IDACI bands for pupils attending the setting to determine how much to allocate. A full analysis of the January 2019 cohort is not yet available, but the position for January 2018 identifies in **Table 3** the distribution of pupils on a headcount basis.

**Table 3: Summary position on deprivation factor, based on January 2018 census**

£p per hour	0	0.0554	0.1109	0.2218	0.3327	0.4435	0.5544	
Weighting	0	0.5	1	2	3	4	5	
<b>EY FORMULA BANDING</b>	<b>0</b>	<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>6</b>	<b>TOTAL</b>
PVI totals	3,670.60	666.73	512.48	642.77	423.03	58.77	28.83	6,003.19
Mainstream totals	870.32	520.17	395.20	614.20	895.17	325.67	120.67	3,741.38
<b>Grand totals</b>	<b>4,540.92</b>	<b>1,186.89</b>	<b>907.68</b>	<b>1,256.97</b>	<b>1,318.19</b>	<b>384.43</b>	<b>149.50</b>	<b>9,744.58</b>
Total weighted pupils	0	593	908	2,514	3,955	1,538	748	10,255
Total annual funding (Unweighted pupils x 570 hours @ 13p per hour)								£722,073
PVI	0	21,054	32,395	81,262	80,222	14,856	9,112	<b>238,901</b>
Mainstream	0	16,426	24,982	77,651	169,759	82,327	38,132	<b>409,275</b>
<b>Check totals (roundings)</b>	<b>0</b>	<b>37,480</b>	<b>57,377</b>	<b>158,913</b>	<b>249,981</b>	<b>97,183</b>	<b>47,243</b>	<b>648,176</b>

5.9 For existing settings with pupils in January 2019, officers propose to use the January 2018 census for each setting to determine the average deprivation allocation for their pupils at that point in time. The number of pupils in each band will be multiplied by the values per hour to produce an aggregate total, which is then divided by the total number of unweighted pupils being considered. That will be the value per hour that will be used for all pupils at that setting for each of the three terms in 2019/20 financial year.

5.10 As an example, a setting with 15 pte pupils as per table 3 would have an average deprivation value per hour of £2.2178 / 15 = £0.1479. If the setting had 15 part-time equivalent pupils in each of the three terms of 2019/20, they would receive an allocation of 15 pupils x 15 hours x 38 weeks x £0.1479 = £1,264.

**Table 4: Example of deprivation average value per hour for a setting.**

IDACI Band	Value per hour	Number of pupils in setting	Aggregate total
0	£0.0000	5	£0.0000
1	£0.0554	2	£0.1109
2	£0.1109	3	£0.3327
3	£0.2218	2	£0.4436
4	£0.3327	1	£0.3327
5	£0.4435	1	£0.4435
6	£0.5544	1	£0.5544
<b>TOTAL</b>		15	£2.2178
<b>AVERAGE</b>			<b>£0.1479</b>

- 5.11 For settings that are not operating in January 2019, officers will calculate a termly deprivation allowance in retrospect (ie by the end of term). This will consider the actual part-time equivalent pupils in the setting in each of the IDACI bands. Funding will be based on the same value per hour. Such settings will revert to an average allocation approach from the following financial year once they have been included in a January pupil count.
- 5.12 Settings will be advised of their average deprivation rates for 2019/20 by the start of the summer term 2019.
- 5.13 The approach described above recognizes that settings small and large can all have high or low levels of deprivation and they will all be funded proportionately and appropriately.
- 5.14 **Emerging SEN.** The 2018/19 allocation for Emerging SEN is £1.244m. This pays for the Portage Team £0.331m, ASD Support, Early Support Key Workers (joint funded posts with Health), Specialist Children's Centre Inclusion Practitioners (£0.194m) and funding for individual settings of £0.719m.
- 5.15 Providers from the maintained sector are reporting that current funding levels for SEND do not cover their staff costs to implement the support needed for a child.
- 5.16 The reason is that maintained providers (i.e. Bristol City Council schools, Nursery Schools and Children's Centres) are contracted to pay their staff the Foundation Living Wage which is currently £8.75 per hour as well as 'on costs' consisting of higher pension costs etc. Current SEND allocations for Early Years settings are based on £9.12 per hour, which does not cover that rate with on-costs.

5.17 If this situation were to be addressed, a solution might be to increase the standard rate for all providers from £9.12 to £9.50 and, for settings which pay the Foundation Living Wage, they would be paid at a higher rate of £12, which would be sufficient to cover at least the Foundation Living Wage and on-costs.

5.18 Table 4 sets out the current pattern of provision and costs at current and proposed rates.

**Table 4: Illustration of possible increases to EY SEND funding rates**

Band (i.e. Level of support)	Number of Hours funded at £9.12 an hour	Cost for 1 child over 570 hours (i.e. 15 hours per week over 1 academic year)	Current No of Children	Current costs	No of children in settings which do not pay FLW	Extra cost of basic increase to £9.50	No of children in settings which DO pay FLW	Extra cost of higher increase to £12	Total Future cost	Difference
1	5	£1,550	14	£21,706	10	£2,166	4	£6,566	£30,438	£8,732
2	7.5	£2,599	70	£181,944	34	£7,364	36	£59,098	£248,406	£66,462
3	10	£3,751	71	£266,293	28	£6,065	43	£70,589	£342,946	£76,654
4	15	£5,198	42	£218,333	9	£1,949	33	£54,173	£274,455	£56,122
<b>Total</b>			<b>197</b>	<b>£688,275</b>	<b>81</b>	<b>£17,545</b>	<b>116</b>	<b>£190,426</b>	<b>£896,245</b>	<b>£207,970</b>

5.19 Settings would need to be consulted on this possible change. The Early Years budget is required to meet the costs of Emerging SEN. Higher levels of need are expected to be met from the High Needs Block.

5.20 **2 Year-olds.** There is no indication yet that the allocations for 2 year olds will be any different to those for 2018/19, but participation is estimated to reduce by 13%. This is believed to be attributable to the introduction of extended hours for 3 and 4 year olds, leaving less space for 2 year olds. The 3p per hour administration charge amounts to £22k and this funds part of the central Early Years Team.

**Table 5: Proposed funding rates and estimated budgets for 2017/18 and 2018/19 (2 Year olds)**

Component of 2 year old funding	2018/19 Hourly rates (£p)	Original 18/19 allocation based on 1,486.40 pte pupils £'000	Revised 18/19 allocation based on 1,288.40 pte pupils £'000	Proposed 2019/20 Hourly rates (£p)	Allocation based on 1,288.40 pte pupils £'000
Retained to administer 2 year old arrangements	£0.03	£0.025m	£0.022m	£0.03	£0.022m
2 year olds base allocation per part-time	£5.40	£4.575m	£3.966m	£5.40	£3.966m

equivalent pupil (15 hours)					
<b>Total funding for each pte pupil</b>	<b>£5.43</b>	<b>£4.600m</b>	<b>£3.988m</b>	<b>£5.43</b>	<b>£3.988m</b>

- 5.21 The funding for Disabled Access Funding will be allocated on the basis of £615 per eligible child, if there are no changes.
- 5.22 The funding for Early Years Pupil Premium will be allocated on the basis of 53p per hour for eligible children (ie £302.10 for 570 hours), again, if there are no changes.
- 5.23 If the allocations for 2019/20 result in a 1p reduction in the rate for 3 and 4 year olds, the Authority would provisionally intend to budget for the services set out in **Table 6**.

**Table 6: Components of 2018/19 and provisional 2019/20 central spend**

<b>Component</b>	<b>Allocation 2018/19 £'000</b>	<b>Allocation 2019/20 £'000</b>	<b>Change £'000</b>	<b>Comment</b>
Early Years Central Team	£1.276m	£1.304m	+£0.028m	More funding due to higher participation levels; 1p less per hour, pending any new funding for EYDSG
Speech and Language Therapy	£0.200m	£0.200m	Nil	
Contingency / unallocated	£0m	£0m	Nil	
<b>Total central spend</b>	<b>£1.476m</b>	<b>£1.504m</b>	<b>+£0.028m</b>	The 2018/19 figure includes the £1.454m from 3&4 year olds and the £22k from 2 year olds

## 6. Risks

- 6.1 Accommodating the local maintained nursery school supplement or the SEND cost pressures from within any unspent Early Years DSG funds in 2018/19 or 2019/20 will create a pressure if such underspends do not materialise.
- 6.2 Funding rates for early years settings have reduced for 3 and 4 year olds in recent years. In 2017/18, the local universal hourly rate was £5.02, for 2018/19 it has been £4.88 and these proposals maintain that rate for 2019/20. Early years settings have to absorb cost pressures like other parts of the education service, so this would represent a real terms reduction in funding.
- 6.3 Funding rates for 2 year olds, if the proposed 2019/20 rates were used, would represent no change since 2017/18. Again, settings providing early years education for 2 year olds face cost pressures, too.
- 6.4 Additional budget pressures could be created if large numbers of children taking up the 30 hours entitlement are living in areas of deprivation and therefore attracting a higher hourly funding rate.
- 6.5 Take up of the Early Years Free Entitlement is not consistent across the academic year, with fewer children accessing their place in the Autumn Term. It is therefore difficult to predict with any accuracy what the annual take up rate will be.

## **7. Financial implications**

- 7.1 The strategic financial position on the Early Years DSG is dependent on linking the levels of activity (eg numbers of part-time equivalent pupils, actual profile of deprivation allocations etc) against the income that will be generated from the variable DSG, sometimes in future terms. Higher levels of participation will generate some leeway for central spend, SEN and quality components of the formula; lower participation than anticipated may produce financial difficulties if the differences are material.
- 7.2 While there is a forecast underspend in Early Years for 2018/19, this is heavily dependent on levels of participation in the January 2019 census. Even if Early Years were to underspend, Schools Forum is expected to consider at year-end whether any such underspend might be used to offset pressures within the High Needs Budget.
- 7.3 The basis for funding early years settings must be established before the start of the financial year, meaning that these risks have to be managed through the year.

**Bristol Schools Forum**  
**Forum Constitution**

<b>Date of meeting:</b>	27 <sup>th</sup> November 2018
<b>Time of meeting:</b>	5.00 pm
<b>Venue:</b>	City Hall

**1. Purpose of report**

The Schools Forum Constitution has been reviewed in the light of changing pupil numbers.

**2. Recommendation**

That the Forum adopts the attached Constitution.

**3. Background**

The Constitution is attached as Appendix A. The only revisions are the updated pupil numbers in Appendix 1. These have not changed sufficiently to change the balance of maintained and academy representatives.

The membership of the Forum is a matter for the City Council. The DfE Guidance is at Appendix 2. During 2017/18, there were suggestions that the number of Special School and Early Years representatives should be increased, in view of the importance of the issues and of the range of interests relating to these sectors. In view of the information about student numbers in Appendix 1, the Council has not proposed any change for 2018/19.

Early Years funding is a standing agenda item at meetings of the Early Years Partnership and David Tully will be invited to attend all Early Years Partnership meetings. In this way, Early Years finances can be discussed openly and this input will inform the papers coming to Schools Forum (the Early Years Partnership has oversight of Early Years strategic priorities, with representation from Private, Voluntary, Independent and Maintained Early Years settings as well as Childminders and other partners).

It should also be noted that Forum meetings are open, so other people can attend and, with the agreement of the Chair, make representations.

#### **4. Financial Implications**

None.

#### **5. Glossary of Terms**

## **APPENDIX A**

# **BRISTOL SCHOOLS FORUM CONSTITUTION**

**ADOPTED AT FORUM MEETING 27<sup>th</sup> November 2018**

### **TITLE & PROCEDURAL MATTERS**

1. The title of this organisation shall be the “Bristol Schools Forum” hereinafter referred to as “the Forum”.
2. Where any procedural matter relating to the working of the Forum is not specifically covered in the Constitution, the *Schools Forums: Operational and Good Practice Guidance*, issued by the Department for Education (DfE) in December 2015 (or the latest version thereof) shall apply.
3. On any re-constitution of the Forum the LA shall have the power to transfer an existing Member of the Forum to a new category of membership, and to extend the terms of office of existing Members to allow the Forum to operate effectively after re-constitution.

### **ROLE/FUNCTION**

4. The Forum is not a committee of the Council. It is a separate statutory body established by the Local Authority (LA) under the powers laid out in the *Schools Forums (England) Regulations 2012*, which brings together key partners in the provision of education at local level, giving each an equal voice.
5. The role of the Forum is to act as a Strategic Partner with the ACE Directorate of Bristol City Council as determined by the appropriate legislation.
6. The LA must consult the Forum on the terms of any proposed contract for supplies or services paid or to be paid out of schools’ budgets where the estimated value of the proposed contract is not less than the threshold which applies for the LA under Regulation 8 of the Public Contracts Regulations 2015.
7. The LA must consult the Schools Forum annually in respect of the authority’s functions relating to the schools budget, in connection with the following:

- (a) arrangements for the education of pupils with special educational needs;
- (b) arrangements for the use of pupil referral units and the education of children otherwise than at school;
- (c) arrangements for early years provision;
- (d) administrative arrangements for the allocation of central government grants paid to schools via the authority.

The authority may consult the Forum on such other matters concerning the funding of schools as they see fit.

## **MEMBERSHIP**

8. The composition of the membership of the Forum is determined by the LA in accordance with the *Schools Forums (England) Regulations 2012*.

## **OBSERVERS**

9. The LA and the Forum may invite observers, but they can be asked to withdraw for specific items.

## **PROFESSIONAL ADVISER**

10. The professional adviser to the Forum will be the Service Director for Education & Learning Skills, the Chief Financial Officer and/or his/her representative(s) who will be entitled to attend, and speak at, all meetings of the Forum and any sub-committees which it convenes.

## **CHILDREN AND YOUNG PEOPLE'S SERVICES EXECUTIVE MEMBER**

11. The Cabinet member for Education & Learning Skills is entitled to attend and speak at the Forum, but does not have voting rights.

## **TERMS OF MEMBERSHIP AND CONDUCT OF MEMBERS**

12. Members of the Bristol Schools Forum shall act in accordance with the seven principles of public life: selflessness, integrity, objectivity, accountability, openness, honesty and leadership.
13. Members are representatives of their particular group or subgroup but they are not delegates and should duly consider proposals and vote in accordance with what they consider to be in the best interests of children in the City of Bristol.
14. It is recognised that all Schools Group members will have an interest in at least one school. It is important that members should declare if the item under discussion could make a material difference to that school, or where they may have a personal or prejudicial interest.

Notwithstanding this, a member may continue contributing to the discussion, but should not take any part in any decision made concerning that particular proposal which uniquely changes funding for their particular school/schools. (An advice note concerning declarations of interest is attached at appendix 3).

15. Members may formally nominate a named substitute to attend meetings in their absence, subject to the approval of the relevant body that elected them. Such substitutes must be from the same category of membership. Such substitutes have voting rights. It is the responsibility of the member concerned to pass on a copy of meeting papers to any such substitute.
16. If a member fails to attend three consecutive meetings without giving their apologies or without their apologies being accepted, the Forum may decide they are deemed to have resigned, and the clerk should advise the LA so that they can seek nominations from the appropriate group or sub group for a replacement.  
*Note:* For clarity, a member is deemed not to have attended a meeting even if a formally nominated substitute has attended.
17. Subject to Clause 3 above, members of the Forum will be appointed for a three year term of office, subject to their remaining eligible. A member is, however, eligible for re-appointment and there is no limit to the number of terms an eligible member may serve. A member may resign at any time.
18. If a member ceases to be eligible to serve on the Forum he/she will be deemed to have resigned with immediate effect.
19. Only the Chair, or in their absence, the Vice Chair may formally represent the Schools Forum. Members may publicly disagree with Schools Forum decisions, but should ensure that their views do not create reputational damage to the Schools Forum.
20. Claims for expenses may be made in line with the agreed Expenses Policy.

## **ELECTION OF CHAIR AND VICE CHAIR**

21. The Forum will elect the Chair and Vice-Chair. Nominations shall be sought from the floor and approved by a simple majority of votes cast by individual members, as indicated by a secret ballot. The Chair and Vice-Chair will be elected for a two year period but will hold office until the first meeting of the Forum after the two year period has

elapsed, at which time they will be eligible for re-election. A member may not serve as Chair for more than two consecutive terms without the explicit agreement of the Forum. A non-executive Member of the Council or LA officer who is member of the Forum may not hold the office of Chair or Vice Chair.

22. The Chair (or the Vice-Chair in his/her absence) will be responsible for chairing and managing meetings of the Forum, in collaboration with the appropriate LA Officers and the Clerk.
23. If both the Chair and the Vice-Chair are absent from a meeting, an acting Chair will be elected by the members present for that meeting.
24. The Chair and/or the Vice-Chair may be removed from office by a majority of votes cast by secret ballot. Any call for a ballot to remove the Chair and/or Vice-Chair must be made in writing, signed by at least 25% of the total voting membership and received by the Clerk at least 7 days in advance of the meeting at which the ballot would be taken. The clerk must advise the Chair and Vice Chair immediately any such motion is received.

## **CLERK**

25. The LA shall nominate a Clerk for the Forum.
26. The Clerk will be responsible for arranging meetings of the Forum, ensuring that members are notified of meetings and receive full agenda and supporting papers at least 7 days beforehand (excluding school holidays).
27. The Clerk will be responsible for recording the proceedings at meetings of the Forum, ensuring that such a record is kept in a form that is easily accessible to others on request. Draft minutes are sent to the Chair for approval within 10 working days of a meeting, and distributed with the papers for the subsequent meeting. The Clerk will publish the draft minutes via email/ the website within three weeks of a meeting.
28. The Clerk will also be responsible for providing and seeking advice to the Forum and/or individual members and assisting the Chair/Vice-Chair with the management of meetings of the Forum.
29. The Clerk will also be responsible for ensuring that governing bodies and schools are informed of the outcome of the work of the Forum and consultation by the LA by posting of draft minutes, approved minutes, meeting agendas and associated meeting papers on the Schools'

Forum page of the LA website.

30. In addition the Clerk to the Forum will (acting on behalf of the LA):
- a. maintain an up to date list of members, nominated substitutes, and observers, detailing terms of office;
  - b. on the list of members also record details of the executive member and nominated LA professional advisers to the forum;
  - c. advise the Chair of the Forum and the LA and representative groups when vacancies occur. The Clerk will facilitate or organise where appropriate for the vacancies to be filled, in accordance with the agreed procedure (See Appendix 1) and will ensure that sufficient time is given to enable all constituency members have sufficient notice to be able to consider self-nomination. It is unlikely that less than 10 normal school days would be sufficient;
  - d. notify changes to membership via the Schools' and Governors' bulletins.

#### **PEOPLE DIRECTORATE**

31. LA Officers will be responsible for ensuring that all necessary papers for meetings of the Forum are supplied to the clerk in accordance with section 23 in a timely fashion.
32. Where LA Officers are reliant on an external body (e.g. DfE) for receipt of information which may arrive too late for processing/distribution, papers may be e-mailed to members no less than 3 days before a meeting. Papers may only be tabled at a meeting to those members who do not have access to email.

#### **QUORUM**

33. The quorum for meetings is 40% of the total voting membership (namely Schools Group and Non Schools Group) excluding any vacancies in those groups).
34. If a meeting is inquorate, it can proceed, but it cannot legally take decisions (e.g. election of a Chair or Vice-Chair, or a decision relating to funding conferred by the funding regulations). An inquorate meeting can respond to authority consultation, and give views to the authority.

#### **PROCEEDINGS**

35. Meetings of the Forum will be held a minimum of 4 times a year. Additional meetings may be convened as and when required with the agreement of the Chair.

36. A calendar of dates for meetings will be agreed at the first meeting in each school year.
37. Where a decision needs to be made and there is general consensus, a formal vote will not be necessary. If the Chair determines a vote is necessary, voting will take place by a show of hands by members and decided by simple majority. Where there is an equality of votes, the Chair has a second and casting vote. If the Chair believes there is a conflict of interest the casting vote can be passed to the Vice-Chair.
38. Meetings of the Forum will be open to the public and press unless its members consider that an item of business should to be considered in private session. The principles of the Local Government (Access to Information) Act 1985 apply.
39. In order to address specific issues, the Forum may, on occasion, need to establish working groups from within its membership group. Such working groups must appoint a Chair who will be directly responsible for ensuring that the business of the group is recorded; also for reporting to the outcomes of the work of the group to the Forum. The Forum may vote to accept a report from a working group.
40. Agenda items are selected by the Chair in consultation with the appropriate LA officers. Items of Any Other Business must be proposed to the Chair/Clerk before a meeting.

## Appendix 1

### MEMBERSHIP OF THE BRISTOL SCHOOLS FORUM

#### MEMBERSHIP

1. The Local Authority is responsible for setting up the Bristol Schools Forum, determining the numbers of members comprising of the Schools Group, Non-Schools Group, Academies Group and Observers, as laid out in the *Schools Forums (England) Regulations 2012*, and in accordance with the *Schools Forums: Operational and Good Practice Guidance*, issued December 2015 .
2. Regulations specify that each school forum shall contain schools members, non-schools members and academies members. At least two thirds of the members must be schools or academies members, i.e. school senior leadership team members or governors. The remaining membership will come from non-school organisations which have a direct interest in the business of the schools forum.
3. Schools members must be elected to the Forum by the members of the relevant group, or sub-group, in the authority's area.
  - a. The groups are:
    1. representatives of nursery schools, where there are any such schools in the authority's area;
    2. representatives of primary schools other than nursery schools;
    3. representatives of secondary schools;
    4. representatives of special schools, where there are any such schools in the authority's area; and
    5. representatives of pupil referral units, where there are any such schools in the authority's area.
4. Academies members must be elected to the schools forum by the proprietors of the Academies in the authority's area.
5. The LA must appoint non-schools members to the Forum comprising:
  - (e) one or more persons to represent the local authority 14-19 partnership(1); and
  - (f) one or more persons to represent early years providers.

The LA may appoint additional non-schools members to the Forum to represent the interests of other bodies.

Prior to making any such appointment the LA must consider whether the following bodies should be represented:

- the Diocesan Board of Education for any diocese any part of which is situated in the authority's area;
- the Bishop of any Roman Catholic Diocese any part of which is situated in the authority's area;
- where there are any schools or Academies within the authority's area that are designated under section 69(3) of the Act(2) as having a religious character (other than Church of England or Roman Catholic schools), the appropriate faith group in respect of any such school or Academy.

6. The Local Authority has determined that the Bristol Schools Forum membership shall comprise:

**SCHOOLS and ACADEMIES MEMBERS (VOTING MEMBERS)**

- Membership to be broadly in line with pupil numbers in each phase;
- Members representing the groups and phases to be split as evenly as possible between Governors and Headteacher or SLT members.

**NON-SCHOOLS GROUP (VOTING MEMBERS)**

- Other providers should be represented e.g. Private/Voluntary/Independent (PVI) early Years providers and the post 16 providers which includes the non-school post-16 providers.
- Other groups which the LA regularly consults should be represented, e.g. TUs and Dioceses.

**The proposed revised composition of the Forum for 2017/18**

Phase	Pupils	Proposed membership entitlement		Pupils per member
		Headteacher/SLT (12)	Governors (12)	
PRU	62	1		
Nursery/early years	1,562	1	1	

Special	897	<b>1</b>	<b>1</b>	
Primary pupils	36,572	<b>7</b>	<b>7</b>	2,612
of which: in LA maintained schools:	19,937	Split as follows: 4	Split as follows: 4	2,492
in Academies	16,635	3	3	2,772
Secondary	21,002	<b>4</b>	<b>4</b>	2,625
of which in LA maintained schools:	3,661	Split as follows: 1	Split as follows: 1	1,831
in Academies	17,341	3	3	2,890
<b>Total</b>	<b>60,095</b>	<b>27</b>		

Organisation	Number of members
Church of England Diocesan Board	1
Roman Catholic Diocesan Board	1
Post 16 Providers	1
PVI Early Years	1
Trades Unions	2
<b>Total</b>	<b>6</b>

## PROCEDURE FOR DETERMINATION OF MEMBERS

7. The following processes will apply to the constituent groups in determining membership of the Forum, in accordance with, *the Schools Forums: Operational and Good Practice Guidance, issued December 2015*.

### **HEADTEACHER MEMBERS OF THE SCHOOLS GROUP**

8. Representatives will be sought by the Clerk from all Heads in each phase as necessary. If there are more candidates than vacancies, the LA will provide all Heads with ballot papers and copies of the expressions of interest of candidates to be returned in the timeline set out on the ballot paper.

### **GOVERNOR MEMBERS OF THE SCHOOLS GROUP**

9. Self-nominations and pro-forma expressions of interest will be sought from all governors of LA maintained schools in each phase as necessary. If there are more candidates than vacancies, the LA will provide Chairs of Governors of the relevant schools a ballot paper and copies of the expressions of interest of candidates. Chairs of Governors will be responsible for returning completed ballot papers on behalf of their Governing Body in the timeline set out on the ballot paper.
10. Nominations and expressions of interest for the Academy Governor places will be sought from all Academy Governing Bodies. In the case of there being more than one nominee, the Clerk shall make arrangements for a ballot as set out in paragraph 8 above for LA Maintained schools.

### **NON SCHOOLS GROUP AND OBSERVERS**

11. The appropriate bodies named in the Non-Schools' Group and list of observers shall nominate representatives to the clerk for appointment to the Forum. A Non Schools Group representative may nominate a substitute who has to be approved by the appropriate body.

### **ADDITIONAL CRITERIA**

12. The Executive member and officers employed by the LA who have a role in the strategic resource management of the authority may not be Members of the Forum

13. In all cases a person who holds multiple offices/positions which results in them being eligible for membership of one or more groups (e.g. a governor at a primary school and a secondary school) can only be appointed to represent one of those groups.

## **BUDGET**

10. The Local Authority will agree a budget with the Forum each financial year to cover planned expenditure.

## Appendix 2

### **SCHOOLS FORUM REGULATIONS (England) 2012 Guidance**

1. The main changes to these regulations relate to the membership and proceedings of Schools Forums. The regulations will come into force on 1 October 2012, and Schools Forums will need to be reconstituted for this date.

#### *Membership*

2. The requirement that schools and Academies should have broadly proportionate representation according to pupil numbers in each category is maintained (**regulation 4(6)**). There is concern that the composition of Schools Forums has not changed quickly enough to reflect the pace of academy conversions. Local authorities are required to ensure their Schools Forum is compliant with this requirement based on the pupil numbers in each category as of September 2012 and that this is updated as more conversions take place.
3. There is no longer a requirement to have a minimum of 15 people on Schools Forum. Smaller authorities in particular may therefore wish to review the total size of their Schools Forum.
4. Where there is at least one maintained secondary school in an authority, at least one schools member must be a representative of a secondary school (**regulation 4(7)**). This is consistent with the arrangements for Academies, maintained nursery schools, maintained special schools and maintained Pupil Referral Units. Many authorities now have very few maintained secondary schools, so this will provide minimum representation as with other minority types of school.
5. In order to reflect their status of having a delegated budget from April 2013, where the authority maintains one or more Pupil Referral Units (PRUs) they are required to have a representative on the Schools Forum, who counts as a schools member (**regulations 4(10) and 5(2)(e)**).
6. Among the members representing maintained schools, at least one must be a representative of governing bodies and at least one must be a representative of headteachers (**regulation 4(5)**). This is a requirement of the primary legislation but has not previously been made explicit in the regulations.

### *Proceedings*

7. There will be a restriction on local authority members and officers who are not members of the Schools Forum taking part in its meetings (**regulation 8(4)**). Participation will be limited to a Lead Member for education, children's services or resources, Director of Children's Services (or their representative), Chief Finance Officer (or their representative) or officers who are providing specific financial or technical advice to Schools Forum. Other officers will be able to participate where they are presenting a report, but their participation must be limited to their specific agenda item.
8. The Education Funding Agency (EFA) has been granted observer status at Schools Forum meetings (**regulation 8(4)(f)**). This will provide support to the local process and provide a national perspective if members think it helpful.
9. With regards to voting, the key change is with regard to the funding formulae. Only schools members (which includes mainstream schools, Academies, special schools and PRUs) and representatives of the Private, Voluntary and Independent (PVI) sector will be able to vote on the funding formulae (**regulation 8(10)**).
10. Additional requirements for the transparency of Schools Forum include holding all Schools Forum meetings in public and publishing Schools Forum papers, minutes and decisions in public areas of the local authority website (**regulations 8(2) and 8(13)**).
11. In order to reflect the complete delegation of funding for some services, the requirement to consult Schools Forums annually about arrangements for free school meals and insurance has been removed.

For further information on these regulations, please contact the Funding Reform Team at [reformteam.funding@education.gsi.gov.uk](mailto:reformteam.funding@education.gsi.gov.uk) .

*Appendix 3*

**BRISTOL SCHOOLS FORUM**

**ADVICE NOTES CONCERNING DECLARATIONS OF INTEREST**

In considering the declaration of an interest, a Member of the Forum should apply the following test: would a member of the public, knowing the facts of the situation, reasonably think that the member might be influenced by the interest?

A prejudicial interest would include the situation whereby a proposal uniquely affects either a school at which they are a headteacher/governor or which their children attend.

Any member who requires advice/guidance concerning declarations of interest or any other issue concerning the Forum should contact the Clerk in the first instance on telephone number 0117 9223947